



# LITERACY

## FUNDING SOURCE REPORT



Research Provided By:

**Urban Awareness USA**  
601 Dinwiddie Street  
Portsmouth, VA 23704  
Phone (866) 975-8722  
[www.urbanawarenessusa.org](http://www.urbanawarenessusa.org)

Helping Churches, Nonprofits, Philanthropists & Local Government Agencies Work Together to Better Serve Urban Communities.

### Funding Opportunities From:



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# Alfred P. Sloan Foundation

New York City, NY, United States | [www.sloan.org](http://www.sloan.org) | (212) 649-1649

## Funding Interests

|                           |   |
|---------------------------|---|
| <b>SUBJECTS</b>           | Communication media, Disasters and emergency management, Economics, Engineering, Environment, Family services, Foundations, Graduate and professional education, Higher education, Interdisciplinary studies, International development, Libraries, Philanthropy, Physical and earth sciences, Public policy, Science, Technology |
| <b>GEOGRAPHIC FOCUS</b>   | National, District of Columbia, United Kingdom, Massachusetts, Canada, California, New York, Illinois   |
| <b>POPULATION GROUPS</b>  | Academics, Researchers  |
| <b>SUPPORT STRATEGIES</b> | Information and Referral, Program evaluations, Public engagement and education, Regulation and administration, Research, Research and evaluation  |

## About

### Purpose and Activities

Grants are made primarily to support original research and broad-based education related to science, technology, economic performance and the quality of American life. The foundation has a deep-rooted belief that carefully reasoned systematic understanding of the forces of nature and society, when applied inventively and wisely, can lead to a better world for all. In each of its grants programs, the foundation seeks proposals for original projects with a high expected return to society which are led by outstanding individuals or teams and for which funding from the private sector, government or other foundations is not yet widely available.

### Background

Incorporated in 1934 in DE - Founded by the late Alfred P. Sloan, who was for many years the President and C.E.O. of General Motors Corp. He was active in the affairs of the foundation until his death in 1966. From 1934 to 1974, the foundation received gifts totaling about \$182 million from him personally; from his estate and that of his wife's, Irene Jackson Sloan; and from New Castle Corp., their personal holding company. The foundation's funds are spent in two ways: 1) on programs and activities developed by foundation staff for which grants are awarded, usually on a competitive basis, to institutions; and 2) in response to unsolicited, competitively judged proposals.

### Program Areas (8)

#### Basic Research

The foundation believes that a carefully reasoned and systematic understanding of the forces of nature and society, when applied inventively and wisely, can lead to a better world for all. Grantmaking initiatives in STEM Research seek to expand that understanding by funding original, high-quality research in science, technology, engineering, and mathematics. Also funded through this area are programs that examine the ethical and societal risks associated with the pursuit of scientific knowledge and regulatory measures designed to mitigate those risks. To maximize impact, grantmaking focuses on a small number of limited initiatives. Current initiatives supported in this area include research characterizing microbiological populations in built environments, investigation into the abundance, distribution, and properties of deep earth carbon, and examinations of ethical and regulatory issues raised by advances in synthetic biology. For application information visit the foundation's website.

#### Civic Initiatives

Grants in this program area respond to unique opportunities to benefit the New York City metro area in ways that advance the foundation's interests in science, technology, engineering, mathematics and economics. Representative grants in this program include support for the Sloan Public Service Awards, which recognize achievement among NYC civil servants, and the Sloan Awards for Excellence in Teaching Science and Mathematics, which honor exceptional science and math instruction in NYC public schools. For application information visit the foundation's website.

#### Digital Information Technology and the Dissemination of Knowledge

Grants in this program area aim to better our understanding of the relationship between technology, information, and society, primarily through research on and the development of digital information technology for the conduct of scholarly research and public engagement with knowledge. Grantmaking focuses on three sub-areas: data and computational research, scholarly communication, and universal access to knowledge. Grants in data and computational research aim to help researchers develop tools, establish norms, and build the institutional and social infrastructure needed to take full advantage of contemporary developments in data-driven, computation-intensive research. Grants in scholarly communication aim to support the development of new models of filtering and curating online scholarly materials and engage the emerging community of stakeholders and practitioners tackling similar issues in widely divergent disciplinary contexts. Grants in universal access to knowledge support the digitization and democratization of scientific and cultural knowledge in all its forms and aim to preserve its openness and accessibility for the widest public benefit. For application information visit the foundation's website.

#### Economic Performance and the Quality of Life

The foundation believes that a theory-based, empirically-tested understanding of the U.S. economy and the U.S. workforce is essential to improving the lives of all Americans. In this program area, the foundation funds grants to support high-quality research and programs to enhance U.S. economic performance and the quality of American life. To maximize impact, grantmaking focuses on a small number of limited initiatives. Current initiatives supported in this area include support for research on U.S. economic institutions, understanding work-life decisions made by older workers, research broadening our understanding of the science and engineering work force and efforts aimed at improving the quality of federal statistics. For application information visit the foundation's website.

#### Grants to Individuals Program

Fellowships by nomination only to regular faculty members in chemistry, physics, computer science, mathematics, economics, and neuroscience at colleges and universities in the U.S. and Canada.

#### Public Understanding of Science

Grants in this program area aim to enhance people's lives by providing a better understanding of the increasingly scientific and technological environment in which we live, and to convey some of the challenges and rewards of the scientific and technological enterprise and of the lives of the men and women who undertake it. The program supports the use of books, film, radio, television, theater, and other efforts to reach a wide general audience. For application information see the foundation's website.

#### Sloan Research Fellowships

This program seeks to stimulate fundamental research by early-career scientists and scholars of outstanding promise. Its two-year fellowships are awarded yearly to 126 researchers in recognition of distinguished performance and a unique potential to make substantial contributions to their field. Candidates are required: to hold a Ph.D. (or equivalent) in chemistry, computer science, economics, mathematics, computational and evolutionary molecular biology, neuroscience, ocean sciences, physics or in a related interdisciplinary field; hold a tenure track (or equivalent) position at a college, university, or other degree-granting institution in the United States or Canada (tenure track faculty positions at the candidate's institution must include a yearly teaching requirement); and, be no more than six years from completion of the most recent Ph.D. or equivalent as of the year of their nomination (some exceptions are made in special circumstances). Candidates must be nominated by department heads or other senior researchers. Direct applications are not accepted. For nomination and other information, see web site.

#### STEM Higher Education

Grants in the STEM Higher Education program area aim to increase the quality and diversity of higher education in science, technology, engineering and mathematics. To maximize impact, grantmaking focuses on a limited number of initiatives. Current initiatives include fellowship support for minority students engaged in post-graduate work in STEM disciplines, projects aimed at better understanding undergraduate and graduate retention and attrition in STEM fields, new applications of the science of teaching and learning STEM at the post-secondary level, and programs to help advance underrepresented minorities in leadership roles in science and academia. For application information visit the foundation's website.

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### Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

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### Applications/RFPs

Nomination forms available on foundation web site for fellowship candidates; direct applications not accepted. Application procedures are available at <http://www.sloan.org/apply>

**Application form not required.**

**Applicants should submit the following:**

1. Copy of IRS Determination Letter
2. Qualifications of key personnel
3. Results expected from proposed grant
4. Timetable for implementation and evaluation of project
5. Detailed description of project and amount of funding requested

**Initial Approach:** Letter of inquiry

**Copies of proposal:** 1

**Board meeting date(s):** Quarterly

**Deadline(s):** Rolling deadlines

**Final notification:** Early in year for research fellowships; within 3 months for others

#### GIVING LIMITATIONS

Giving primarily focused on U.S. institutions with occasional grants to foreign institutions.

No support for creative or performing arts (except for those that educate the public about science), or for humanities, religion, or primary or secondary education, or for projects aimed at pre-college students or for-profit institutions.

No grants to individuals (except for research and publication), or for endowment or building funds, medical research, or equipment not related directly to foundation-supported projects; no loans.

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### Financials

**FOR FISCAL YEAR ENDED 2017-12-31**

**\$1,909,215,902**

**\$84,532,909**

**Gifts Received: \$0**

**TOTAL ASSETS**

**TOTAL GIVING**

**Expenditures: \$120,254,399**

**Qualifying Distributions: \$96,082,900**

**Giving Activities include:**

**\$84,532,909 for grants**

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### Who's Who

See who can introduce you to someone at Alfred P. Sloan Foundation

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### Officers and Trustees (20)

Sandra O. Moose Chair. and Trustee  
Adam Falk Pres.-elect  
Marta Tienda Chair. and Trustee  
Doron Weber V.P., Progs. and Prog. Dir.  
Richard Bernstein  
Mary Schmidt Campbell  
Elizabeth M. Hewitt Sr. V.P. and C.I.O.  
Leslie Lin Sr. V.P., Finance and Opers. and Treasurer  
Christopher T. Sia Treas. and Chief Tech. Off. and Trustee  
Cynthia Barnhart  
Francine Berman  
Bonnie L. Bassler  
Kevin Burke  
Frederick Henderson  
Freeman A. Hrabowski, III  
Peter S. Kim  
Robert Litterman  
James Poterba  
Daniel L. Goroff V.P. and Prog. Dir.  
Michael Purugganan

### Staff (27)

Julia Bellamy Exec. Asst.  
Joseph Bohrer Dir., Investments  
Kathleen E. Christensen Prog. Dir.  
Nicholas Csicsko Assoc. Investment Dir.  
Jeffrey Cunningham Prog. Asst.  
Sonia Epstein Prog. Assoc.  
Anne L. McKissick Dir., Grants Mgmt. and Information Svc(s).  
Evan Michelson Prog. Off.  
Gayle Myerson Grants Coord.  
Joseph Noon Prog. Assoc.  
Paula J. Olsiewski Prog. Dir.  
Travis Shore Investment Dir.  
Sarah Winters Prog. Asst.  
Yolanda Wolf Prog. Asst.  
Elizabeth S. Boylan Prog. Dir.  
Maria F. Charry-Vera Acct.  
Delia DiBiasi Sr. Prog. and Investments Asst.  
Peter DiFranco IT/Budget Specialist  
Joshua M. Greenberg Prog. Dir.  
Dorigen Loneragan Investment Opers. Mgr.  
David Michel Prog. Asst.  
Everod L. Nelson Business Analyst  
Dorothy Noel Prog. Asst.  
Nathan R. Williams Comms. Assoc.  
Mary Charry-Vera Accountant  
Valerie Crane Dir., Human Resources and Admin.  
Eliza French Prog. Assoc., Science and the Arts

### Donors (3)

*Note: If a donor is deceased, the symbol (†) follows the name.*

Alfred P. Sloan, Jr.†  
New Castle Corp.  
Irene Jackson Sloan†

#### Alfred P. Sloan Foundation Independent foundation

**EIN** 131623877

**BRIDGE NUMBER** 5670932830

#### **PUBLICATIONS**

Application guidelines  
Annual report  
Grants list  
Informational brochure (including application guidelines)

#### **LOCATION**

630 5th Ave., Ste. 2550  
New York City, NY United States 10111-0242

#### **ADDITIONAL LOCATION INFORMATION**

County: New York  
Metropolitan area: New York-Newark-Jersey City, NY-NJ-PA  
Congressional district: New York District 12

#### **CONTACT INFORMATION**

Contact: Paul L. Joskow, Pres.  
Telephone: (212) 649-1649  
Fax: (212) 757-5117  
URL: [www.sloan.org](http://www.sloan.org)

#### **MEMBERSHIPS**

Associations and Other Philanthropic Organizations  
Candid

## Funding Interests

### SUBJECTS

Agriculture, Business and industry, Clean water supply, Community and economic development, Community college education, Disasters and emergency management, Diseases and conditions, Economic development, Education, Educational management, Elementary and secondary education, Elementary education, Family planning, Financial services, Graduate and professional education, Health, Health care access, Health care administration and financing, Higher education, HIV/AIDS, Human services, Immunology, Infectious and parasitic diseases, International development, Libraries, Maternal and perinatal health, Medical specialties, Middle school education, Nutrition, Philanthropy, Public health, Public policy, Reproductive health care, Sanitation, Secondary education

### GEOGRAPHIC FOCUS

National, International, Developing Countries, South America, Africa, Europe, Asia, Nigeria, New York, Washington, District of Columbia, Ethiopia, India, California

### POPULATION GROUPS

Academics, Adolescents, Adults, Boys, Children and youth, Economically disadvantaged people, Extremely poor people, Girls, Homeless people, Infants and toddler boys, Infants and toddler girls, Infants and toddlers, Low-income people, Men, Men and boys, People with HIV/AIDS, Preteens, Students, Victims of disaster, Women, Women and girls, Young adults, Young men, Young women

### SUPPORT STRATEGIES

Advocacy, Annual campaigns, Continuing support, General support, Programs support, Public engagement and education, Publications, Research, Research and evaluation, Scholarships, Technical assistance, Translation

### TRANSACTION TYPES

Employee matching gifts, Program-related investments

### ORGANIZATION TYPES

Coalitions and alliances

## About

### Purpose and Activities

Guided by the belief that every life has equal value, the Bill & Melinda Gates Foundation works to help all people lead healthy, productive lives. In developing countries, it focuses on improving people's health and giving them the chance to lift themselves out of hunger and extreme poverty. In the United States, it seeks to ensure that all people—especially those with the fewest resources—have access to the opportunities they need to succeed in school and life. Grantmaking areas are: 1) Global Development: to help the world's poorest people lift themselves out of hunger and poverty; 2) Global Health: to harness advances in science and technology to save lives in developing countries; and 3) U.S. Division: to improve U.S. high school and postsecondary education and support vulnerable children and families in Washington State.

### Background

Established in 1994 in WA as the William H. Gates Foundation - The William H. Gates Foundation, focused on global health, was created in 1994 by Microsoft co-founder William H. "Bill" Gates, III and his wife, Melinda French Gates. Three years later, he and Melinda created the Gates Library Foundation, which worked to bring public access computers with Internet connections to libraries in the United States. Its name changed to the Gates Learning Foundation in 1999 to reflect its focus on ensuring that low-income minority students are prepared for college and have the means to attend. In 2000, to increase efficiency and communication, the two organizations merged into the Bill & Melinda Gates Foundation. In 2006, Warren Buffett, founder of Berkshire Hathaway Inc., pledged 10 million shares of Berkshire Hathaway B stock (valued at approximately \$31 billion) to the Bill & Melinda Gates Foundation. Each year, 5 percent of the remaining pledged shares will be transferred to the asset trust, and starting in 2008, the total value of the previous year's gift must be spent. In 2007, the foundation restructured and created a separate organization, the Bill & Melinda Gates Foundation Trust, to oversee the foundation's assets. The trust will include the annual installments of Warren Buffett's gift to the foundation and in turn, will fund the program foundation. Bill and Melinda Gates will be the sole trustees of the asset trust. The original entity, the Bill & Melinda Gates Foundation, conducts the foundation's programmatic and grantmaking activities. Based in Seattle, Washington, the foundation also has offices in Washington, D.C.; Beijing, China; Delhi, India; and London, United Kingdom. The foundation plans to close 50 years after the deaths of its three current trustees - Bill and Melinda Gates, and Warren Buffett. Separately from the foundation, Bill and Melinda Gates and Warren Buffett have made a commitment to The Giving Pledge, an effort to invite the wealthiest individuals and families to give the majority of their wealth to philanthropic causes during their lifetime or after their death.

### Program Areas (6)

#### Global Development Program

The program aims to identify and fund high-impact solutions that can help hundreds of millions of people lift themselves out of poverty and build better lives. The foundation works closely with its partners to support innovative approaches and expand existing ones so they reach the people who are most in need. The program concentrates on the following areas and goals: 1) Agricultural Development: reduce hunger and poverty for millions of farming families in Sub-Saharan Africa and South Asia by increasing agricultural productivity in a sustainable way; 2) Emergency Response: reduce suffering and save lives in regions affected by natural disasters and complex emergencies; 3) Family Planning: bring access to high-quality contraceptive information, services, and supplies to an additional 120 million women and girls in the poorest countries by 2020 without coercion or discrimination, with the longer-term goal of universal access to voluntary family planning; 4) Financial Services for the Poor: alleviate poverty by expanding access to digitally-based financial tools and services.; 5) Global Libraries: ensure that all people, especially those in disadvantaged communities around the world, have access to information through technology in public libraries; 6) Maternal, Neonatal and Child Health: ensure that mothers and babies survive and remain healthy during pregnancy, childbirth, and early childhood; 7) Nutrition: ensure that all children have the nutrition they need for a healthy start in life; 8) Polio: eradicate polio worldwide; 9) Vaccine Delivery:

eliminate vaccine-preventable diseases worldwide; and 10) Water, Sanitation and Hygiene: enable universal access to sustainable sanitation services by supporting the development of radically new sanitation technologies as well as markets for new sanitation products and services, such as the initiative to Reinvent the Toilet in order to bring sustainable sanitation solutions to the 2.5 billion people worldwide who don't have access to safe, affordable sanitation.

#### **Global Health Program**

The program aims to harness advances in science and technology to save lives in developing countries. The foundation works with partners to deliver proven tools—including vaccines, drugs, and diagnostics—as well as discover pathbreaking new solutions that are affordable and reliable. Equally important is innovation in how it can bring health interventions to those who need them most. The foundation invests heavily in vaccines to prevent infectious diseases—including HIV, polio, and malaria—and support the development of integrated health solutions for family planning, nutrition, and maternal and child health. The program concentrates on the following areas and goals: 1) Discovery and Translational Sciences: direct scientific research toward areas where it can have the most impact and to accelerate the translation of discoveries into solutions that improve people's health and save lives; 2) Enteric and Diarrheal Diseases: eliminate the gap in mortality from enteric and diarrheal diseases between developed and developing countries and to significantly reduce impaired development associated with these diseases in children under age 5; 3) HIV: significantly reduce the incidence of HIV infection and extend the lives of people living with HIV; 4) Malaria: create a world free of malaria; 5) Neglected Infectious Diseases: reduce the burden of neglected infectious diseases on the world's poorest people through targeted and effective control, elimination, and eradication efforts.; 6) Pneumonia: significantly reduce childhood deaths from pneumonia; and 7) Tuberculosis: accelerate the decline in tuberculosis incidence worldwide .

#### **Global Policy and Advocacy Division**

The division engages in advocacy efforts to promote public policies that advance the foundation's work, build strategic alliances with governments and the public and private sectors, and foster greater public awareness of urgent global issues. The division has teams dedicated to advocacy, policy analysis, and government relations, as well as strengthening the charitable sector in the United States and overseas. In addition to the policy and advocacy staff at the foundation's Seattle headquarters and in Washington D.C., it has a European and Middle East office based in London, country offices in China and India, and representatives in Ethiopia and South Africa. The division works on tobacco control to reduce tobacco-related death and disease in developing countries by preventing the initiation of new smokers, decreasing overall tobacco use, and reducing exposure to secondhand smoke. And, through its charitable sector support it empowers the growing charitable sector with knowledge, tools and a supportive policy environment to unlock more resources, invest more effectively, and achieve greater impact around the world.

#### **Grants to Individuals Program**

##### **Matching Gifts Program**

The foundation matches the monetary gifts of its employees to eligible charitable organizations.

##### **U.S. Program**

The program's primary focus is on ensuring that all students graduate from high school prepared for college and have an opportunity to earn a postsecondary degree with labor-market value. The approach is to play a catalytic role—to support the development of innovative solutions in education that are unlikely to be generated by institutions working alone and that can trigger change on a broader scale. The foundation also works to address issues of social inequity and poverty in Washington State, where the Gates family has lived for generations and the foundation makes its permanent home. The program concentrates on the following areas and goals: 1) College-Ready Education: support innovation that can improve U.S. K-12 public schools and ensure that students graduate from high school ready to succeed in college; 2) Postsecondary Success: ensure that all low-income young adults have affordable access to a quality postsecondary education that is tailored to their individual needs and educational goals and leads to timely completion of a degree or certificate with labor-market value; and 3) Washington State: create opportunities for all children in Washington State to thrive in stable families, great schools, and strong communities.

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## **Other Funders to Consider**

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

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## **Applications/RFPs**

In general, the foundation directly invites proposals by directly contacting organizations. Review funding guidelines and eligibility overview on foundation's web site before initial contact with foundation. No mail-in applications are accepted

**Application form required.**

**Applicants should submit the following:**

1. Copy of IRS Determination Letter

**Initial Approach:** Online letter of inquiry not exceeding 4 pages only accepted for Global Health; submit formal funding proposal upon invitation from foundation

**Deadline(s):** Generally none

**Additional information:** The foundation will adopt an Open Access policy and require grant recipients to make their research publicly available online. The new policy, which will go into effect Jan. 1, 2015, will be effective for all new projects made possible with funding from the foundation. This means that published research resulting from the foundation's funding will be discoverable and accessible online, the foundation will pay the fees to make the information available and the data accompanying the publications will be available as well. The foundation will ease grant recipients into the policy. During a two-year transition period, publishers will be permitted to apply up to a 12 month embargo period on the accessibility of the publication and its underlying data sets. This embargo period will no longer be allowed after Jan.1, 2017.

### **GIVING LIMITATIONS**

Giving on a national and international basis.

No support for projects addressing health problems in developed countries, nor for projects that exclusively serve religious purposes.

No direct donations or grants to individuals, and no funding for building or capital campaigns, or for political campaigns and legislative lobbying efforts.

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## **Financials**

**FOR FISCAL YEAR ENDED 2017-12-31**

**\$51,830,224,097**      **\$4,415,204,265**  
**TOTAL ASSETS**      **TOTAL GIVING**

Gifts Received: \$5,426,194,332

Expenditures: \$6,301,638,262

Qualifying Distributions: \$5,456,962,565

Giving Activities include:  
 \$4,415,204,265 for grants

**990 FORMS**

[2017](#) [2016](#) [2015](#) [2014](#) [2013](#) [2012](#) [2011](#) [2010](#)  
[2009](#) [2008](#) [2007](#) [2006](#)

## Who's Who

See who can introduce you to someone at Bill & Melinda Gates Foundation

### Officers and Trustees (16)

Melinda French Gates Co-Chair. and Trustee  
 William H. "Bill" Gates, III Co-Chair. and Trustee  
 William H. Gates, Sr. Co-Chair.  
 Susan Desmond-Hellmann, M.D., M.P.H. C.E.O.  
 Christopher Elias Pres., Global Devel.  
 Allan C. Golston Pres., U.S. Prog.  
 Trevor Mundel Pres., Global Health Prog.  
 Mark Suzman Pres., Global Policy & Advocacy  
 Leigh Morgan C.O.O.  
 Connie Collingsworth Chief Business Opers. Off.  
 Dale Christian C.I.O.  
 Kurt Fischer Chief HR Off.  
 Miguel Vega-Pestana Chief Comms. Off.  
 Steven Rice Chief H.R. Off.  
 Carolyn Ainslie C.F.O.  
 Warren E. Buffett

### Staff (99)

Brian Arbogast Dir., Global Devel.  
 Oliver Babson Dir., Global Policy and Advocacy  
 Girindre Beeharry Dir., Global Policy and Advocacy, India Office  
 David Bley Dir., U.S. Prog.  
 Niranjana Bose Chief of Staff, Global Health  
 Kim Bush Dir., Global Health  
 Hugh Chang Dir., Global Devel.  
 Gary Darmstadt Sr. Fellow, Global Devel.  
 Susan Byrnes Dir., External Comms., Global Policy & Advocacy Prog.  
 Diane de Ryss Dir., Opers.  
 Adam Gerstenmier Chief of Staff, Global Policy and Advocacy  
 Gargee Ghosh Dir. Devel. Policy and Finance, Global Policy and Advocacy  
 Lance Gordon Dir., Global Health  
 Daniel Greenstein Dir., Education Posts secondary Success, U.S. Prog.  
 Dan Hartman Dir., Global Health  
 Penny Heaton Dir., Global Health  
 Jeremy Hillman Dir., External Communications  
 Toni Hoover Dir., Global Health  
 Dana Hovig Dir., Global Devel.  
 Harish Iyer Sr. Advisor and Mgr., Scientific Progs.  
 Deborah Jacobs Dir., Global Devel.  
 Gilla Kaplan Dir., Global Health  
 Keith Klugman Dir., Global Health  
 Anja Langenbacher Dir., European Office, Global Policy and Advocacy  
 Orin Levine Dir., Global Devel.  
 Jodi Nelson Dir., Strategy Measurement and Evaluations  
 Gavin Payne Dir., U.S. Prog.  
 Vicki L. Phillips Dir., Education, U.S. Prog.  
 Todd Pierce Chief Digital Off.  
 Mike Poole Dir., Global Health  
 Cheryl Scott Sr. Advisor, Global Devel.  
 David Shoultz Dir., Grantee and Partner Engagement  
 Kellie Sloan Dir., Family Planning  
 Rodger Voorhies Exec. Dir., Global Devel.  
 Ray Yip Dir., Global Policy and Advocacy  
 Pamela Anderson Dir., Global Devel.

### Global Health Scientific Advisory Committee (14)

John Bell Chair.  
 Yvonne Greenstreet, M.D., M.B.A.  
 Timothy Wright, MD  
 Alan Bernstein, Ph.D., FRSC  
 M.K. Bhan, MD  
 Zulfiqar A. Butta, Ph.D  
 Tumani Corrah, M.D., Ph.D.  
 H. Robert Horvitz, Ph.D  
 Salim S. Abdool Karim, Ph.D  
 Shabir A. Madhi, Ph.D  
 Francine Ntoumi, Ph.D  
 Harold Varmus, MD  
 Elias A. Zerhouni, MD  
 Dan Wattendorf Dir, Innovative Technology Solutions, Global Health

### U.S. Program Advisory Board (5)

Ann Fudge Chair.  
 Jim Nussle  
 Christopher Edley  
 Edward Glaeser  
 Margaret Spellings

### Donors (3)

*Note: If a donor is deceased, the symbol (#) follows the name.*  
 Bill (William H.) Gates, III  
 Melinda French Gates  
 Warren E. Buffett

**Shawn Baker** Dir., Global Devel.  
**Joseph Cerrell** Managing Dir., Global Policy and Advocacy  
**Mariam Claeson** Dir., Global Devel.  
**Michael Deich** Dir., Global Policy and Advocacy, U.S. and Canada Prog.  
**Anita Zaidi** Dir., Vaccine Development, Surveillance, and Enteric and Diarrheal Diseases, Global Health  
**Jennifer Weisman** Chief of Staff, Global Health  
**Sam Dryden** Sr. Fellow, Global Devel.  
**William Foegen** Sr. Fellow, Global Health  
**Geoffrey Lamb** Chief Economic and Policy Advisor, Global Policy and Advocacy  
**Jay Wenger** Dir., Global Devel.  
**Chris Wilson** Dir., Global Health  
**David Wertheimer** Dir., U.S. Prog.  
**Emily Parker** Dir. and Chief of Staff, Office of the C.E.O.  
**Katie Ford** Dir., Communications Strategy and Execution  
**Jason Hunke** Dir., Exec. and Employee Comms.  
**Dr. Paulin Basinga** Dir., Global Devel.  
**Pierre Grand** Chief of Staff, Global Devel.  
**Nachiket Mor** Dir., India Country Office  
**Jamie Morris** C.F.O., Global Devel. and Global Growth & Opportunity  
**Amy Pollack, MD** Dir., Maternal, Newborn & Child Health  
**Haddis Tadesse** Dir., Ethiopia and the African Union  
**Nick Austin** Dir., Agricultural Devel., Global Devel.  
**Sarah Hendriks** Gender Equality  
**Michael Wiegand** Dir., Fin. Svcs. for the Poor  
**Carol Welch** Dir., Strategy, Planning and Mgmt.  
**Emilio A. Emini, Ph.D** Dir., HIV Prog., Global Health  
**Chris Karp** Dir., Global Health  
**Tracia Luh** C.F.O. and Dir., Global Health  
**Dr. Andrin Oswald** Dir., Life Science Partnerships, Global Health  
**Katie Einterz Owen** Dir., Neglected Tropical Diseases, Global Health  
**Greg Ferrante** C.F.O., Global Policy and Advocacy, U.S. Prog.  
**Robert L. Hughes** Dir., K-12 Education, U.S. Prog.  
**Patrick Methvin** Dir., Posts secondary Success, U.S. Prog.  
**Jill Nishi** Chief of Staff, U.S. Prog.  
**Daniel Green** Dir., Prog. Advocacy and Comms., Global Policy and Advocacy Prog.  
**Elisa Keller** Dir., Office of the Pres. and Chief of Staff, Global Policy and Advocacy  
**Yinuo Li** Dir., China Office  
**Josh Lozman** Dir., Program Advocacy and Comms. for women's and children's health and gender equality.  
**Rob Nabors** Dir., U.S. Policy, Advocacy and Comms.  
**Robert Rosen** Dir.  
**Gabriella Stern** Dir., Media and External Relations  
**Ankur Vora** Dir., Strategy, Innovation, and Impact  
**Sue Taylor** C.F.O., Oper.  
**Ruth Atherton** Dir., Oper.  
**Bob Benoit** C.F.O., Oper.  
**Lauren Bright** Dir. Primary Legal Advisor, U. S. Prog. Division and Global Policy & Advocacy Division.  
**Jennifer Deger** Dir., Finance and Acctg.  
**Chris Ernst** Dir., People and Organization Potential  
**Andrew Farnum** Dir., Program-Related Investments  
**Jeremy Forman** Dir., Enterprise Architecture, Global Data and Analytics  
**Brian Ivie** Dir., Security Oper.  
**Lisa Johnson** Dir., Legal and Grants & Contract Svcs.  
**Tim Johnson** Dir., H.R. and Chief of Staff  
**Jeff Jones** Dir., Platforms and Human Centered Design, I.T.  
**Merran Kubalak** Dir., Strategy, Planning and Mgmt. and Chief of Staff to the C.I.O.  
**Bindi Lassige** Dir., Human Resources  
**Helene Madonick** Dir., Legal Svcs., for Oper. of Global Regional Offices  
**Keith Matthews** Dir.  
**Thomas Mitchell** Dir., Enterprise Business Mgmt.  
**Aaron Moses** Dir., Global Facilities, Events, Travel, and H.R. Oper.  
**Lynne Herer Smith** Dir., Business Design  
**Shana Tarbell** Dir., Global Security  
**Elmar Vinh-Thomas** Dir., Assurance and Enterprise Risk Mgmt.

**EIN** 562618866

**BRIDGE NUMBER** 7070625376

**PUBLICATIONS**

Application guidelines

Annual report

Financial statement

Grants list

Informational brochure

Newsletter

Occasional report

Program policy statement

**LOCATION**

Attn: Global Finance and Accounting, P.O Box  
23350

Seattle, WA United States 98102

**ADDITIONAL LOCATION INFORMATION**

County: King

Metropolitan area: Seattle-Tacoma-Bellevue,  
WA

Congressional district: Washington District 07

**CONTACT INFORMATION**

Contact: Inquiry Admin.

Telephone: (206) 709-3100

Fax: (206) 709-3180

E-mail: [info@gatesfoundation.org](mailto:info@gatesfoundation.org)

URL: [www.gatesfoundation.org](http://www.gatesfoundation.org)

**ADDITIONAL CONTACT INFORMATION**

For grant inquiries: (206) 709-3140. East Coast  
Office address: P.O. Box 6176, Benjamin  
Franklin Station, Washington, DC 20044, tel.:  
(202) 662-8130

**MEMBERSHIPS**

**Regional Associations of Grantmakers**

Philanthropy Northwest

Southeastern Council of Foundations

**Affinity Groups**

Asian Americans/Pacific Islanders in Philanthropy,  
Inc.

Hispanics in Philanthropy

Association of Black Foundation Executives, Inc.

Mission Investors Exchange

Grantmakers for Effective Organizations

Native Americans in Philanthropy

Peak Grantmaking, Inc.

**Associations and Other Philanthropic Organizations**

African Grant Makers Network

Hispanics in Philanthropy

Association Of Charitable Foundations

Worldwide Initiatives for Grantmaker Support

# Carnegie Hero Fund Commission

Pittsburgh, PA, United States | [www.carnegiehero.org](http://www.carnegiehero.org) | (412) 281-1302 | [carnegiehero@carnegiehero.org](mailto:carnegiehero@carnegiehero.org)

## Funding Interests

|                           |   |
|---------------------------|---|
| <b>SUBJECTS</b>           | Benefits planning, Family services, Higher education, Human rights, Human services, Personal services, Transition planning, Voluntarism |
| <b>GEOGRAPHIC FOCUS</b>   | National, International, Canada, Pennsylvania, Massachusetts, Utah, Nebraska, Ohio, California, Minnesota, Florida, New York, Iowa      |
| <b>POPULATION GROUPS</b>  | Economically disadvantaged people, LGBTQ people, Low-income people, People with disabilities  |
| <b>SUPPORT STRATEGIES</b> | Continuing support, Program support, Student aid  |
| <b>TRANSACTION TYPES</b>  | Grants to individuals   |

## About

### Purpose and Activities

A private operating foundation established to recognize, with the award of medals and sums of money, heroism voluntarily performed by civilians within the U.S. and Canada in saving or attempting to save the lives of others; and to grant monetary assistance, including scholarship aid, to awardees and to the dependents of those who have lost their lives or who have been disabled in such heroic manner.

### Background

Established in 1904 in PA - Founded in the name of Andrew Carnegie. Mr. Carnegie established the Carnegie Steel Company, which launched the steel industry in Pittsburgh, PA. During his lifetime, he personally donated over \$300 million in gifts, including over \$56 million for more than 2,500 municipal library buildings throughout the English-speaking world. Carnegie had long held the idea that ordinary citizens who perform extraordinary acts of heroism should be recognized for their deeds. After a massive explosion in 1904 in a coal mine that claimed 181 lives, including two rescuers, Carnegie set aside \$5 million to recognize "civilization's heroes" and to provide financial assistance for those disabled and the dependents of those killed helping others.

### Program Areas (2)

#### Carnegie Hero Fund Program

Medals and grants of \$5,000 each awarded in recognition of acts of selfless heroism. Monetary assistance is given to persons who have been awarded the Carnegie Medal and who have need for financial aid as a result of a disabling injury incurred. In addition, grants may be given to the dependents of those who have lost their lives in such heroic manner. Recommendations for awards may be made by any individual having knowledge of an outstanding act of bravery. Scholarship aid is available to all medal recipients as well as dependents of disabled and posthumous awardees. There must be conclusive evidence that the person performing a heroic act voluntarily risked his or her life to an extraordinary degree in saving or attempting to save the life of another person, or voluntarily sacrificed himself or herself in a heroic manner for the benefit of others. The act of rescue must be one in which no full measure of responsibility exists between the rescuer and the rescued. The heroic act must have been performed in the U.S., Canada, or the waters thereof. The following types of people are ineligible for an award: those whose regular vocations require them to perform such acts, unless the rescues are clearly beyond the line of duty; members of the armed services, children considered by the commission to be too young to comprehend the risks involved, and members of the same family, except in cases of outstanding heroism where the rescuer loses his or her life or is severely injured.

#### Grants to Individuals Program

Medals and grants awarded by nomination only for acts of heroism voluntarily performed by civilians within the U.S. and Canada in saving or attempting to save the lives of others.

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

## Applications/RFPs

Awards by nomination only. Refer to the commission web site for complete nominating guidelines and form

### Application form required.

**Initial Approach:** Use online nomination form on foundation web site, or contact the Commission to request a nomination form. Nominations may also be made by letter

**Copies of proposal:** 1

**Board meeting date(s):** Mar., June, Sept., and Dec.

**Deadline(s):** Within 2 years of the act for nominations

**Final notification:** Following board meetings

**Applications accepted in the following language(s):** French

**Additional information:** Application should include description of heroic act and contact data for nominator and for nominee. Nominations made by letter should include the name and address of the rescuer, victim, and any known witnesses as well as the nominator's name, address, and telephone number. Supporting documentation such as incident reports, newspaper clippings, photos, etc., would be of great assistance

### GIVING LIMITATIONS

Giving primarily in the U.S.; some giving also in Canada.

## Financials

### FOR FISCAL YEAR ENDED 2017-12-31

|                     |                     |                                       |
|---------------------|---------------------|---------------------------------------|
| \$48,559,894        | \$951,439           | Gifts Received: \$1,575               |
| <b>TOTAL ASSETS</b> | <b>TOTAL GIVING</b> | Expenditures: \$2,024,186             |
|                     |                     | Qualifying Distributions: \$1,995,403 |
|                     |                     | <b>Giving Activities include:</b>     |
|                     |                     | \$951,439 for grants                  |

## Who's Who

See who can introduce you to someone at Carnegie Hero Fund Commission

### Officers (22)

Walter F. Rutkowski PRESIDENT/SECRETARY  
Mark J. Laskow CHAIR  
Priscilla J. McCrady TRUSTEE  
Thomas L. Wentling, Jr. TRUSTEE  
Dan D. Sandman TREASURER  
Albert H. Burchfield, III TRUSTEE  
Nancy L. Rackoff VICE CHAIR  
Ann M. Mcguinn TRUSTEE  
Frank Brooks Robinson TRUSTEE  
Robert J. Cindrach TRUSTEE  
David Mcl. Hillman TRUSTEE  
Sybil P. Veeder, Ph.D TRUSTEE  
Linda T. Hills TRUSTEE  
Eric P. Zahren PRESIDENT/ SECRETARY BEGINNING 7/1/17  
Christopher McCrady TRUSTEE  
Peter J. Lambrou, MD TRUSTEE  
Arthur M. Scully, III TRUSTEE  
Susanne C. Wean TRUSTEE  
Joseph C. Walton TRUSTEE  
Evan S. Frazier TRUSTEE  
Nathalie Lemieux TRUSTEE  
Michael A. Thompson TRUSTEE

### Staff (2)

Jeff Dooley INVESTIGATIONS MANAGER  
Susan Rizza CASE INVESTIGATOR

### Donors (1)

*Note: If a donor is deceased, the symbol (†) follows the name.*  
Andrew Carnegie†

### Carnegie Hero Fund Commission Operating foundation

**EIN** 251062730

**BRIDGE NUMBER** 5189523822

#### PUBLICATIONS

Annual report  
Informational brochure  
Newsletter

#### LOCATION

436 7th Ave., Ste. 1101  
Pittsburgh, PA United States 15219-1841

#### ADDITIONAL LOCATION INFORMATION

County: Allegheny  
Metropolitan area: Pittsburgh, PA  
Congressional district: Pennsylvania District 18

#### CONTACT INFORMATION

Telephone: (412) 281-1302  
Fax: (412) 281-5751

E-mail: [carnegiehero@carnegiehero.org](mailto:carnegiehero@carnegiehero.org)

URL: [www.carnegiehero.org](http://www.carnegiehero.org)

#### ADDITIONAL CONTACT INFORMATION

Toll free tel.: (800) 447-8900

# Charles Edison Fund

Newark, NJ, United States | [www.charlesedisonfund.org](http://www.charlesedisonfund.org) | (973) 648-0500 | [info@charlesedisonfund.org](mailto:info@charlesedisonfund.org)

## Funding Interests

|                           |  |
|---------------------------|--|
| <b>SUBJECTS</b>           | Arts and culture, Diseases and conditions, Education, Historic preservation, Hospital care, Museums, Science, Technology |
| <b>GEOGRAPHIC FOCUS</b>   | National, Virginia, New York, New Jersey, District of Columbia   |
| <b>SUPPORT STRATEGIES</b> | Continuing support, Equipment, General support, Program support, Research, Seed money                                    |

## About

### Purpose and Activities

Grants largely for historic preservation, with emphasis on the homes of Thomas Alva Edison, and for education, medical research, and hospitals. Support also for foundation-sponsored exhibits at museums throughout the U.S., for science education teaching kits in over 60,000 classrooms, and for cassette re-recording of antique phonograph records for schools and museums.

### Background

Incorporated in 1948 in DE

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

## Applications/RFPs

Application form not required.

Applicants should submit the following:

1. Copy of current year's organizational budget and/or project budget
2. Descriptive literature about organization
3. Copy of IRS Determination Letter
4. Signature and title of chief executive officer
5. Copy of most recent annual report/audited financial statement/990
6. Detailed description of project and amount of funding requested

Initial Approach: Letter or proposal on letterhead

Copies of proposal: 1

Board meeting date(s): Feb. or Mar., and June and Dec.

Deadline(s): None

### GIVING LIMITATIONS

Giving on a national basis.

No grants to individuals; no loans.

## Financials

FOR FISCAL YEAR ENDED 2017-12-31

\$20,046,117

\$597,110

TOTAL ASSETS

TOTAL GIVING

Gifts Received: N/A

Expenditures: \$2,225,919

Qualifying Distributions: \$1,785,768

Giving Activities include:  
\$597,110 for grants

## Who's Who

See who can introduce you to someone at Charles Edison Fund

### Officers (10)

J Keegan Pres. TREA. TRT

J O'Shea Trustee/Chair

T Ungerland (3)Chair-Trustee

L Lalicata CURATOR

Wade Knowles Trustee/Comm

### Staff (2)

Kathleen Gannon EXEC. ASSIST.

Patrick Warren TECH ASSIST.

### Donors (1)

Note: If a donor is deceased, the symbol (#) follows the name.

J Schullinger Trtee CO CHAIR  
Jennifer Gonring Alpin Trustee/Comm  
Jack Howley Trustee  
T Smoot Trustee/Comm  
George Keegan Trustee/CONSULT

Charles Edison†

**Charles Edison Fund** Independent foundation

**EIN** 221514861

**BRIDGE NUMBER** 6850033319

**PUBLICATIONS**

Informational brochure (including application guidelines)

**LOCATION**

1 Riverfront Plz., 4th Fl.  
Newark, NJ United States 07102

**ADDITIONAL LOCATION INFORMATION**

County: Essex  
Metropolitan area: New York-Newark-Jersey  
City, NY-NJ-PA  
Congressional district: New Jersey District 10

**CONTACT INFORMATION**

Telephone: (973) 648-0500

Fax: (973) 648-0400

E-mail: [info@charlesedisonfund.org](mailto:info@charlesedisonfund.org)

URL: [www.charlesedisonfund.org](http://www.charlesedisonfund.org)

**MEMBERSHIPS**

**Regional Associations of Grantmakers**

Council of New Jersey Grantmakers

**Affinity Groups**

Exponent Philanthropy

## CS Fund

Freestone, CA, United States | [www.csfund.org](http://www.csfund.org) | (707) 874-2942 | [inquiries@csfund.org](mailto:inquiries@csfund.org)

### Funding Interests

|                           |   |
|---------------------------|---|
| <b>SUBJECTS</b>           | Agriculture, Antidiscrimination, Democracy, Economic development, Environmental and resource rights, Environmental justice, Food security, Foundations, Higher education, Human rights, Individual liberties, Media access and policy, Natural resources, Public affairs, Social rights |
| <b>GEOGRAPHIC FOCUS</b>   | National, India, District of Columbia, New York, Mexico, Massachusetts, Oregon, Indonesia, California, Minnesota, Brazil  |
| <b>POPULATION GROUPS</b>  | Economically disadvantaged people, Farmers, Indigenous peoples, Low-income people   |
| <b>SUPPORT STRATEGIES</b> | Continuing support, Convening, Ethics and accountability, General support, Policy, advocacy and systems reform, Publications, Research, Technical assistance  |
| <b>TRANSACTION TYPES</b>  | Matching grants   |

### About

#### Purpose and Activities

A private foundation, giving for programs with national or international impact; specific areas of funding include emerging technology, economic globalizations, food sovereignty, and civil liberties.

#### Background

Established in 1981 in CA as "pass through" fund for annual gifts of donors

### Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

### Applications/RFPs

The foundation is currently in the process of reducing its grantmaking, and its ability to entertain new proposals is especially limited during this time.

**Application form not required.**

**Applicants should submit the following:**

1. Copy of current year's organizational budget and/or project budget
2. Copy of IRS Determination Letter
3. Detailed description of project and amount of funding requested

**Initial Approach:** Letter of inquiry not exceeding 3 pages following check of foundation web site guidelines

**Copies of proposal:** 1

**Board meeting date(s):** Apr. and Dec.

**Deadline(s):** None, for letter of inquiry

#### GIVING LIMITATIONS

Giving on a national basis.

No grants for endowment funds, capital ventures, emergency requests, or video or film production.

### Financials

FOR FISCAL YEAR ENDED 2017-10-31

|                     |                     |                                     |
|---------------------|---------------------|-------------------------------------|
| \$4,832,632         | \$500               | Gifts Received: \$1,370,000         |
| <b>TOTAL ASSETS</b> | <b>TOTAL GIVING</b> | Expenditures: \$169,234             |
|                     |                     | Qualifying Distributions: \$133,419 |
|                     |                     | <b>Giving Activities include:</b>   |
|                     |                     | \$500 for grants                    |

### Who's Who

See who can introduce you to someone at CS Fund

#### Officers (8)

Maryanne Mott TRUSTEE

#### Staff (4)

Melanie Adcock PROGRAM DIRECTOR

Michael Warsh TRUSTEE  
Corinne Meadows-Efram VICE-PRESIDENT/TRUSTEE  
Marise Meynet Stewart PRESIDENT/TRUSTEE  
Teresa Robinson SECRETARY/TRUSTEE  
Kau'i Keliipio TREASURER/TRUSTEE  
Roxanne Turnage FORMER EXECUTIVE DIRECTOR  
Bailey Malone EXECUTIVE DIRECTOR

Monica Moore PROGRAM DIRECTOR  
Rose Cohen GRANT ADMINISTRATOR  
Ramona Allen BOOKKEEPER/SECRETARY

**Donors (2)**

*Note: If a donor is deceased, the symbol (#) follows the name.*  
Maryanne Mott  
Herman Warsh#

**CS Fund**

Family foundation

**EIN** 953607882

**BRIDGE NUMBER** 1365841759

**PUBLICATIONS**

Application guidelines

Grants list

**LOCATION**

469 Bohemian Hwy.

Freestone, CA United States 95472-9579

**ADDITIONAL LOCATION INFORMATION**

County: Sonoma

Metropolitan area: Santa Rosa, CA

Congressional district: California District 04

**CONTACT INFORMATION**

Telephone: (707) 874-2942

Fax: (707) 874-1734

E-mail: [inquiries@csfund.org](mailto:inquiries@csfund.org)

URL: [www.csfund.org](http://www.csfund.org)

**MEMBERSHIPS**

**Regional Associations of Grantmakers**

Texas Environmental Grantmakers Group

**Affinity Groups**

Grantmakers Without Borders

International Human Rights Funders Group

**Associations and Other Philanthropic Organizations**

Grantmakers Without Borders

International Human Rights Funders Group

# Doris Duke Charitable Foundation, Inc.

New York City, NY, United States | [www.ddcf.org](http://www.ddcf.org) | (212) 974-7000

## Funding Interests

|                           |  |
|---------------------------|--|
| <b>SUBJECTS</b>           | Arts and culture, Arts services, Biodiversity, Child abuse, Communication media, Diseases and conditions, Environment, Graduate and professional education, Higher education, In-patient medical care, Medical specialties, Modern dance, Music, Natural resources, Performing arts, Philanthropy, Science, Theater, Wildlife biodiversity |
| <b>GEOGRAPHIC FOCUS</b>   | National, Ghana, France, Mozambique, Tanzania, Uganda, South Africa, Massachusetts, District of Columbia, New York, Rwanda, Zambia, California   |
| <b>POPULATION GROUPS</b>  | Academics, Children and youth, Economically disadvantaged people, Low-income people, Researchers   |
| <b>SUPPORT STRATEGIES</b> | General support, Participatory grantmaking, Program support, Research, Research and evaluation   |
| <b>TRANSACTION TYPES</b>  | Employee matching gifts  |

## About

### Purpose and Activities

The mission of the Doris Duke Charitable Foundation is to improve the quality of people's lives through grants supporting the performing arts, environmental conservation, medical research and child well-being, and through preservation of the cultural and environmental legacy of Doris Duke's properties.

### Background

Established in 1996 in NY - Founded by the late Doris Duke, the only child of James Buchanan Duke, a founder of the American Tobacco company and Duke Energy Company. Upon his death in 1925, J.B. Duke's fortune was divided between his 12-year-old daughter and the Duke Endowment, the foundation he established to serve the people of the Carolinas. Although Doris Duke lived a private life, she contributed to a number of public causes. She was an active supporter of medical research and child welfare throughout her life. When she was just 21, she established a foundation called Independent Aid, which later became the Doris Duke Foundation. It is estimated that she gave away more than \$400 million in current dollars during her lifetime, often as anonymous contributions. Doris Duke died in October 1993 at the age of 80. In her will, she left the majority of her estate to the Doris Duke Charitable Foundation

### Program Areas (18)

#### African Health Initiative (AHI)

The African Health Initiative seeks to catalyze significant advances in strengthening health systems by supporting partnerships that will design, implement and evaluate large-scale models of care that link implementation research and workforce training directly to the delivery of integrated primary healthcare in sub-Saharan Africa. Grants will be awarded to create Population Health Implementation and Training (PHIT) Partnerships to implement strategies to: 1) Provide integrated primary healthcare and achieve significant, measurable health improvements for underserved communities of between 300,000 and 1.6 million people; 2) Strengthen health systems in a manner that enables local and national governments to sustain improvements beyond the grant period; 3) Increase the knowledge available for evidence-based health systems planning through rigorous operations and implementation research. Research conducted by each PHIT partnership will measure the impact of their interventions on reducing child mortality and other health outcomes, in addition to analyzing indicators of health systems performance. The partnerships will participate in a data collaborative to share information and will be convened annually starting in the fall of 2009. The foundation is also committed to ensuring that relevant data and information collected by the partnerships be made available to the public.

#### Arts Program- Artistic Creation & Distribution

Through national competitive initiatives administered by intermediary organizations, the foundation supports commissioning, production and presentation of new work in each of its fields of interest; Contemporary Dance, Jazz, Theatre and Multi-Disciplinary performing arts. Typically these re-granting programs offer additional benefits to supported artists, including professional development, networking opportunities and participation in conferences and other meetings. To receive funding, individual arts organizations and artists must apply to the intermediary organizations that administer DDCF-funded initiatives. The foundation does not make grants directly to producing or presenting organizations or to individual artists under this component of the Arts Program's strategy. Additional information about funding opportunities in each of these areas of interest is available on the foundation web site.

#### Arts Program- National Sector Building

The program supports activities that will build strong national performing arts fields. The Arts Program directly supports national organizations critical to the health of dance, jazz, presenting and theatre, as well as national projects that have the potential to improve the health of a given field. The program aims to help strengthen the national sector through two complementary initiatives: 1) Providing core support to select national arts organizations whose work is critical for the health of the dance, jazz, presenting and/or theatre fields; 2) Supporting select national projects that strengthen the national infrastructure of the dance, jazz, presenting and/or theatre fields. The Fund for National Projects is the only component of the Arts Program's strategy for which the foundation reviews applications from individual producing or presenting organizations and convenes expert panels to identify projects for direct DDCF support. For more information on these two areas, see foundation web site.

#### Arts Program- Organizational Transformation

To complement its support for artistic creation and distribution, the Arts Program works to build strong organizations to serve performing artists. Through competitive initiatives administered by intermediary organizations, the foundation offers grants to support planning, technical assistance and implementation for bold, innovative approaches to addressing challenges facing the performing arts: the impact of technology, the loss of audiences and/or changes in leadership. To receive funding, individual arts organizations and artists must apply to the intermediary organizations that administer DDCF-funded initiatives. The foundation does not make grants directly to producing or presenting organizations or to individual artists under this component of the Arts Program's

strategy. Information about funding opportunities in the following areas can be found on foundation web site: 1) Dance/USA Engaging Dance Audiences Program; 2) EmcArts' Innovation Lab for the Performing Arts; 3) Mid Atlantic Arts Foundation jazz.NEXT Program; 4) Leading for the future: Innovative Support for Artistic Excellence; 5) Theatre Communications Group's Audience R(E)volution.

#### **Arts Program- Performing Artists Initiative**

This is a special initiative of the Doris Duke Charitable Foundation (DDCF), providing pioneering support to individual artists while adding \$50 million to the foundation's substantial existing commitment to contemporary dance, jazz, theatre and related interdisciplinary work. Over the course of ten years, the three-part Performing Artists Initiative will provide awards to more than 200 artists, as well as a range of dance companies, theaters and presenters. Unlike other grants, the new DDCF fellowships will not be project based. Instead, they will give artists flexible and unrestricted multi-year support, enabling them to take creative risks, explore new ideas and pay for important needs such as healthcare. The third sub-initiative will support artist residencies designed to increase demand for jazz, theatre, contemporary dance and related interdisciplinary work at nonprofit arts organizations. See foundation web site for specific award categories.

#### **Child Well-being Program**

Beginning in late 2011, the Child Well-being Program (then called the Child Abuse Prevention Program) began a process of reflection and consultation, concluded in late 2012, and led to a reframing of the program mission statement to focus more directly on child well-being as the primary desired outcome and to a revision of the application of its strategy goals. The mission of the program is to promote children's healthy development and protect them from abuse and neglect. It favors a public health approach and is especially interested in place-based, whole-community approaches that seek to engage a range of participants from various disciplines to ensure that family wellbeing is supported by strong communities. Unsolicited proposals are not being considered at this time.

#### **Clinical Research Experiences for High School Students**

The program provides clinical research and enrichment activities for high school students from underrepresented minorities in medicine, with the long-term goal of increasing the diversity of the biomedical research workforce. The program is administered through nine institutions with established research programs for students from minority groups that are underrepresented in medicine. Each institution is responsible for overseeing the selection of up to 10 students per year and providing a mentored, clinically relevant research experience. See foundation web site for a list of participating institutions.

#### **Clinical Research Mentorship**

The program provides previously funded Doris Duke investigators the opportunity to foster the next generation of clinical researchers by mentoring a medical student for one year. Each mentor is required to provide a 12-month, full-time clinical research experience for the student mentee. Each mentee must be willing to take 12 months out from medical school, typically after the third year of school. Teams receive \$64,800 over one year, inclusive of a \$29,000 stipend for the student. New grants are not being offered at this time.

#### **Clinical Scientist Development Award**

The program provides grants to junior physician-scientists to facilitate their transition to independent clinical research careers. It was created to provide mentored research funding to early career physician-scientist faculty to enable their transition to independence. All applicants are required to complete a web-based questionnaire assessing their eligibility to apply for this award. If eligibility criteria are met, applicants will be automatically directed to the web-based pre-proposal form. It is strongly suggested that potential applicants see the foundation web site to review the Request for Applications page prior to accessing the eligibility questionnaire.

#### **Distinguished Clinical Scientist Award**

The program recognizes outstanding mid-career physician-scientists who are applying the latest scientific advances to the prevention, diagnosis, treatment, and cure of disease, and enables them to mentor the next generation of physician-scientists conducting clinical research. Distinguished Clinical Scientist Awards provide grants of up to \$1.5 million over five to seven years. New grants are not currently being offered. To be notified of future competitions, sign up for the Medical Research Program's mailing on program's web page.

#### **Employee Matching Gifts**

The foundation matches the contributions of its employees to charitable organizations.

#### **Environment Stewardship in the Tri-State Area**

The foundation supports wildlife habitat conservation, efficient built environments and connecting people living in urban areas with the environment through the following approaches: 1) Support exemplary green projects and policies that improve the built and natural environment of New York City. Grants support ambitious efforts undertaken by New York City and nongovernmental organizations to reduce energy consumption in the building sector. In addition, its "urban greening" efforts focus on protecting natural areas in the five boroughs, as well as promoting urban agriculture and other forms of green infrastructure that can help the City achieve its ecological goals; 2) Support a sustainable agriculture system in the Tri-State area that benefits people and wildlife. Grants support a local, sustainable food system in New York City and its "food shed" (i.e., areas such as the Hudson River Valley and New Jersey) that features organic, regenerative, and wildlife-friendly farming practices.

#### **Grants to Individuals Program**

Supports physician-scientists in the U.S. at different stages of their careers and innovative and multidisciplinary approaches to clinical research conducted with human subjects. Grants for projects selected through the RFP process are then made to non-profit medical institutions on behalf of individual investigators or project directors.

#### **Innovations in Clinical Research Award**

The program provides funding for early-stage research projects in clinical investigation to foster innovations in clinical research that advance the prevention, diagnosis and treatment of human disease. The award provides three-year grants of \$486,000 each to investigators conducting clinical research that has the potential to accelerate innovative breakthroughs in sickle cell disease.

#### **International Clinical Research Fellowship**

The program provides fellowships for U.S.-based medical students to take a year out from school to conduct mentored clinical research in developing countries. The long-term goal of this program is to develop the next generation of clinical investigators working in global health. It is administered through six U.S. medical schools with established programs in global health research. Each school is responsible for overseeing the selection of applicants and providing the mentored research experience. Each institution will offer three fellowships per year. Students must be matriculated at a U.S.-based medical school to be eligible for the fellowship. See foundation web site for a list of participating schools.

#### **Land Conservation in an Era of Climate Change**

The foundation's grant-making is designed to provide frameworks and concrete examples of how practitioners can protect biodiversity in light of climate change through strategic land conservation. The program's adaptation efforts focus on three critical land conservation activities undertaken by non-profit organizations and government natural resource agencies: A) Habitat conservation planning (i.e., the identification of which sites should be conserved in their natural state to benefit wildlife); B) Permanent land protection (i.e., the acquisition of conservation easements or fee title to secure high priority sites); and C) Management of lands already in protected status. The goal for each of these activities is to encourage the conservation community to augment the dominant species-based approach to wildlife conservation with a focus on maintaining ecosystem functionality as climate change takes hold. The program has adopted three approaches to achieve its objectives: 1) Identifying resilient landscapes; 2) Protecting resilient landscapes; and 3) Managing conserved lands.

#### Strengthening The Conservation Field

The program has adopted the following approaches to strengthen organizations focused on the conservation and management of wildlife habitat: 1) Diversifying the workforce. The foundation seeks to increase the number of people from urban, under-represented communities in the conservation workforce; 2) Increasing public conservation funding. Achieving the protection of wildlife habitat at the necessary scale requires resources beyond what private foundations can provide. Significant public investments in land conservation are also needed and the foundation supports a number of organizations that aim to increase public funding for wildlife habitat conservation in the United States; 3) Building the capacity of the land trust community. Through land capital grants, the foundation relies upon non-profit land trusts to secure interests in land from private landowners to permanently protect high-priority wildlife habitat.

#### Wildlife and Energy Development

As the United States shifts to a more climate-friendly system of power generation, the footprint of energy development across the landscape is expanding. Concerted efforts are needed now to help ensure that clean-energy facilities are built expeditiously but in a way that does not destroy or fragment wildlife habitat more than necessary. The foundation pursues these objectives by the following approaches: 1) Siting of renewable energy infrastructure. The program support efforts to inform government energy and land management policies that govern the siting of utility-scale solar, wind, and geothermal facilities, as well as related transmission lines; 2) Increasing energy efficiency in the buildings sector. The program works to reduce energy demand by focusing on increasing energy efficiency in the buildings sector.

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## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

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## Applications/RFPs

The foundation staff responds to all letters of inquiry, however, it should be noted that very few grants result from unsolicited letters of inquiry. Do not send binders, books, CDs, videotapes, or audiotapes

**Application form not required.**

**Applicants should submit the following:**

1. Explanation of why grantmaker is considered an appropriate donor for project
2. Brief history of organization and description of its mission
3. Detailed description of project and amount of funding requested

**Initial Approach:** Online Letter of inquiry (2 pages)

**Final notification:** 2 months for letter of inquiry

### GIVING LIMITATIONS

Giving on a national basis.

No support for toxic issues, litigation, the visual arts, museums or galleries, or arts programs for rehabilitative or therapeutic purposes.

No grants to individuals (except through special foundation programs), or for conferences or publications.

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## Financials

**FOR FISCAL YEAR ENDED 2017-12-31**

**\$1,874,496,418**

**\$84,437,306**

**Gifts Received: N/A**

**TOTAL ASSETS**

**TOTAL GIVING**

**Expenditures: \$84,433,213**

**Qualifying Distributions: \$94,647,669**

**Giving Activities include:**

**\$84,437,306 for grants**

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## Who's Who

See who can introduce you to someone at Doris Duke Charitable Foundation, Inc.

### Officers and Trustees (20)

**John E. Zuccotti** Chair, and Trustee

**Anthony S. Fauci, MD** Vice-Chair.

**Edward P. Henry** Pres.

**Peter A. Nadosy** Chair, and Trustee

**Peter Simmons** C.O.O.

**Jeffrey Heil** C.I.O.

**Eileen Oberlander** Cont. and Dir., Finance

**Marion Oates Charles** Emeritus

### Staff (31)

**Lola Adedokun, M.P.H.** Prog. Dir., African Health Initiative and Child Well-being

**Andrew Bowman** Prog. Dir., Environment

**Jenny Chan** Sr. Investment Off.

**Linda Diamond** Prog. Assoc., Grants Admin.

**Leslie Engel** Prog. Assoc., Medical Research

**Adrienne Fisher** Dir., Grants Admin. and Eval.

**Zahra Hadi, M.S.W.** Prog. Assoc., African Health Initiative and Child Well-being

Anne Hawley Emeritus  
 John J. Mack Emeritus  
 Harry B. Demopoulos, MD  
 James F. Gill  
 Kathy Halbreich  
 Nannerl O. Keohane  
 Angela K. Mwanza  
 Nicholas Scoppetta  
 Jide Zeitlin  
 Vishakha N. Desai  
 Marie Lynn Miranda  
 Danielle Levoit Prog. Off., Environment

and  
 Cheryl Ikemiya Sr. Prog. Off., Arts  
 Elizabeth Miller Prog. Assoc., Environment  
 Lillian Osei-Boateng Prog. Assoc., Arts  
 Guli Basu Mgr., Info. Svcs.  
 Ben Cameron Prog. Dir., Arts  
 Deborah P. Close Dir., Inf. Svcs.  
 Sindy Escobar-Alvarez Prog. Off., Medical Research  
 Phenisher Harris Prog. Assoc., Grants Mgmt.  
 Betsy Myers, Ph.D Prog. Dir., Med. Research  
 Zeyba Rahman Sr. Prog. Off., Building Bridges Prog.  
 Maurine D. Knighton Prog. Dir., Arts  
 Sacha Spector  
 Katrina Bandong Prog. Assoc., Medical Research  
 Rumeli Banik, Ph.D Prog. Off., Child Well-being  
 McKenzie Bennett Prog. Assoc., Child Well-being and Prog. Assoc. African Health Initiative  
 Aly Mifa Solot Prog. Assoc., Building Bridges  
 Ellen Schengrund Accounting Mgr.  
 Thomas Carney Accounting Mgr.  
 Lynn Pipitone Sr. Accountant  
 Jacqueline Guzzi Sr. Accountant  
 James Eodice Dir., Human Resources  
 Veronica Mayes Human Resources Assoc.  
 Nicole Cruz Human Resources Asst.  
 Felicia Lynch Business Systems Analyst

**Donors (1)**

*Note: If a donor is deceased, the symbol (‡) follows the name.*  
 Doris Duke‡

**Doris Duke Charitable Foundation, Inc.** Independent foundation

**EIN** 137043679  
**BRIDGE NUMBER** 6964329152

**LOCATION**  
 650 5th Ave., 19th Fl.  
 New York City, NY United States 10019-6108

**ADDITIONAL LOCATION INFORMATION**  
 County: New York  
 Metropolitan area: New York-Newark-Jersey City, NY-NJ-PA  
 Congressional district: New York District 12

**CONTACT INFORMATION**  
 Telephone: (212) 974-7000  
 Fax: (212) 974-7590  
 URL: [www.ddcf.org](http://www.ddcf.org)

**MEMBERSHIPS**

**Affinity Groups**  
 Africa Grantmakers' Affinity Group Consultative Group on Biological Diversity  
 Asian Americans/Pacific Islanders in Philanthropy, Inc. Funders Concerned About AIDS  
 Community Food Funders Grantmakers for Effective Organizations  
 Grantmakers in Health  
 Grantmakers in the Arts  
 Health Research Alliance

**Associations and Other Philanthropic Organizations**

Africa Grantmakers' Affinity Group Funders Concerned About AIDS  
 Nonprofit Coordinating Committee of New York, Inc.

## Funding Interests

|                           |  |
|---------------------------|--|
| <b>SUBJECTS</b>           | Communication media, Community and economic development, Economic development, Employment, Graduate and professional education, Higher education, Information and Communications, Job training, Journalism, News and public information, Web media |
| <b>GEOGRAPHIC FOCUS</b>   | National, Kentucky, California, Texas, New York, Missouri, Arizona, Florida, Illinois, Pennsylvania  |
| <b>POPULATION GROUPS</b>  | Academics, Ethnic and racial groups  |
| <b>SUPPORT STRATEGIES</b> | Conferences and exhibits, Curriculum development, Fellowships, Internships, Leadership and professional development, Network-building and collaboration, Program support   |
| <b>TRANSACTION TYPES</b>  | Cash grants  |

## Related Organizations

**Affiliated Company:** [Dow Jones & Company, Inc.](#)

Other philanthropic organizations affiliated with Dow Jones & Company, Inc.:

- [Dow Jones Foundation](#)
- [Dow Jones Foundation](#)

## About

### Purpose and Activities

The Dow Jones News Fund promotes careers in journalism in the digital age.

### Background

Incorporated in 1958 in DE

### Program Areas (4)

#### Grants to Individuals Program

Monetary awards by nomination only to high school journalism students. Scholarships by nomination only to minority high school seniors who attend the High School Journalism Workshop for Minorities or students of teachers selected through the High School Journalism Teacher of the Year Program. Fellowships to high school journalism teachers and newspaper advisors. Scholarships and internships to college sophomores, juniors, seniors, and graduate students.

#### National High School Journalism Teacher of the Year

The fund honors a high school educator who teaches innovative media techniques, mentors students to produce award-winning publications, and encourages young journalists to consider media careers. The program is administered by the Columbia Scholastic Press Association.

#### News Fund Workshops

The fund awards grants for summer workshops to inspire high school students who face barriers in pursuing a career in journalism to consider working in the field. Funding for workshops is by invitation only.

#### The Dow Jones News Fund College Internship Program

The fund awards hands-on competitive internships with leading news organizations to juniors, seniors and graduate students to jump-start their careers in multimedia news, data journalism, digital media, and business reporting. Internships include one week of rigorous pre-internship training, a weekly salary during the internship, and a \$1,000 scholarship for those returning to school.

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

## Applications/RFPs

Application form required.

Initial Approach: Complete online application

Copies of proposal: 1

Board meeting date(s): May and Nov.

Deadline(s): Generally Nov. 1, but visit website for dates

Final notification: Dec. 1

## GIVING LIMITATIONS

Giving on a national basis.

No grants for building or endowment funds, research, publications, or conferences and seminars; no loans.

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## Financials

FOR FISCAL YEAR ENDED 2017-12-31

\$53,888

\$293,800

Gifts Received: \$117,627

TOTAL ASSETS

TOTAL GIVING

Expenditures: \$399,370

Qualifying Distributions: \$397,030

Giving Activities include:

\$293,800 for grants

---

## Who's Who

See who can introduce you to someone at Dow Jones News Fund Inc.

### Officers and Directors (11)

Richard J. Levine Pres. and Dir.

Paul Schmidt Treas. and Dir.

Ken Herts

Thomas E. Engleman

Diana Mitsu Klos Secy. and Dir.

Neal Lipschutz

Reginald Owens

Robin Gibson Sawyer Secy. and Director

Richard S. Holden

Laurence J. O'Donnell

Russell G. Todd

### Staff (4)

Linda W. Shockley Managing Dir.

Heather Taylor Mgr., Digital Media and Progs.

Diane Cohn Dir. of Finance

Denise Jester Mgr., Finance and Admin.

### Donors (1)

*Note: If a donor is deceased, the symbol (†) follows the name.*

Dow Jones Foundation

**Dow Jones News Fund Inc.** Company-sponsored foundation

**EIN** 136021439

**BRIDGE NUMBER** 7387140238

#### PUBLICATIONS

Application guidelines

Annual report

#### LOCATION

P.O. Box 300

Princeton, NJ United States 08543-0300

#### ADDITIONAL LOCATION INFORMATION

County: Mercer

Metropolitan area: Trenton, NJ

Congressional district: New Jersey District 12

#### CONTACT INFORMATION

Contact: Linda Shockley, Managing Dir.

Telephone: (609) 520-5928

Fax: (609) 520-5804

E-mail: [djnf@dowjones.com](mailto:djnf@dowjones.com)

URL: [dowjonesnewsfund.org](http://dowjonesnewsfund.org)

#### ADDITIONAL CONTACT INFORMATION

Shipping Address: 4300 Route 1 North,

Monmouth Junction, NJ, 08852

# Dr. Scholl Foundation

Northbrook, IL, United States | [www.drschollfoundation.com](http://www.drschollfoundation.com) | (847) 559-7430

## Funding Interests

|                           |  |
|---------------------------|--|
| <b>SUBJECTS</b>           | Basic and emergency aid, Biodiversity, Diseases and conditions, Elementary and secondary education, Family services, Higher education, Human services, Performing arts, Special population support, Unknown or not classified, Youth development |
| <b>GEOGRAPHIC FOCUS</b>   | National, Illinois, Isle of Man, Canada, Mexico, United Kingdom, Colorado  |
| <b>POPULATION GROUPS</b>  | Academics, Children and youth, Economically disadvantaged people, Low-income people, Students  |
| <b>SUPPORT STRATEGIES</b> | Continuing support, Equipment, Fellowships, Internships, Program support, Research, Scholarships   |

## About

### Purpose and Activities

Giving primarily for educational, social services, healthcare, civic and cultural, and environmental interests.

### Background

Incorporated in 1947 in IL - Founded by the late Dr. William M. Scholl, who was a podiatrist and the founder of the foot care company Dr. Scholl's. In 1904, he invented his first arch support and founded the Scholl Manufacturing Co. Today, Scholl, Inc., a division of the Merck & Co., is an international manufacturer of foot care products and footwear. The foundation has continued Dr. Scholl's generosity by contributing over \$224 million since 1980 to organizations that help people help themselves.

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

## Applications/RFPs

Applications sent by letter, telephone, fax or e-mail not accepted; only one request per organization, per year is permitted

Application form required.

Applicants should submit the following:

1. Copy of current year's organizational budget and/or project budget
2. Listing of board of directors, trustees, officers and other key people and their affiliations
3. Copy of IRS Determination Letter
4. Qualifications of key personnel
5. Copy of most recent annual report/audited financial statement/990
6. Detailed description of project and amount of funding requested

**Initial Approach:** Submit an online application form via foundation's web site

**Copies of proposal:** 1

**Board meeting date(s):** Feb., May, Aug., and Nov.

**Deadline(s):** 3/1/\*

**Final notification:** Nov.

**Additional information:** The foundation acknowledges receipt of proposals and will grant interviews with applicants at any stage in the application process. Funds authorized will be awarded during the latter part of Dec. of each year. At the conclusion of a project, the foundation requires a final accounting and final report, together with a statement as to the results achieved under the grant

## GIVING LIMITATIONS

Giving primarily in the U.S., with some emphasis on the Chicago, IL area.

No support for public education, political organizations, or political action committees.

No grants to individuals, or for deficit financing, or unrestricted purposes, or to endowments, or capital campaigns, event sponsorship, liquidation of debt; no loans.

## Financials

FOR FISCAL YEAR ENDED 2017-12-31

\$183,639,439

\$5,611,500

Gifts Received: N/A

TOTAL ASSETS

TOTAL GIVING

Expenditures: \$9,339,208

Qualifying Distributions: \$7,209,011

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## Who's Who

See who can introduce you to someone at Dr. Scholl Foundation

### Officers (9)

Mary Ann Hynes DIRECTOR  
Daniel Mahaffee DIRECTOR  
Stephen Meer DIRECTOR  
Anne Moseley DIRECTOR/VICE PRESIDENT  
John A Nitschke DIRECTOR/TREASURER  
Daniel Scholl DIRECTOR  
Pamela Scholl BOARD CHAIRMAN/PRESIDENT  
Jeanne M Scholl DIRECTOR/SECRETARY  
Susan Scholl DIRECTOR

### Staff (3)

Janice Sobelman EXEC. ASST  
Marla Fields GRANT ADMINISTR.  
Donna Dowdle IT MGR

### Donors (1)

*Note: If a donor is deceased, the symbol (‡) follows the name.*  
William M. Scholl, MD‡

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### Dr. Scholl Foundation

Family foundation

**EIN** 366068724

**BRIDGE NUMBER** 7120982358

#### PUBLICATIONS

Application guidelines  
Informational brochure

#### LOCATION

1033 Skokie Blvd., Ste. 230  
Northbrook, IL United States 60062-4109

#### ADDITIONAL LOCATION INFORMATION

County: Cook  
Metropolitan area: Chicago-Naperville-Elgin, IL-IN-WI  
Congressional district: Illinois District 10

#### CONTACT INFORMATION

Telephone: (847) 559-7430  
URL: [www.drschollfoundation.com](http://www.drschollfoundation.com)

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#### MEMBERSHIPS

Affinity Groups  
The Philanthropy Roundtable

# Edward N. & Della L. Thome Memorial Foundation

Providence, RI, United States | [www.bankofamerica.com/grantmaking](http://www.bankofamerica.com/grantmaking) | (888) 866-3275 | [ilgrantmaking@ustrust.com](mailto:ilgrantmaking@ustrust.com)

## Funding Interests

|                           |   |
|---------------------------|---|
| <b>SUBJECTS</b>           | Basic and emergency aid, Diseases and conditions, Family services, Geriatrics, Graduate and professional education, Health, Higher education, Human services, In-patient medical care, Nursing care, Rehabilitation, Shelter and residential care, Special population support |
| <b>GEOGRAPHIC FOCUS</b>   | National, Maryland, Michigan, New York, Massachusetts   |
| <b>POPULATION GROUPS</b>  | Adults, People with disabilities, Seniors   |
| <b>SUPPORT STRATEGIES</b> | General support, Program support, Research, Research and evaluation   |

## About

### Purpose and Activities

The foundation supports dignified treatment of older adults as well as medical research on diseases affecting older adults.

### Background

Established in 2003 in MD

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

## Applications/RFPs

Application form not required.

Deadline(s): June 15, rolling (check website for more details)

Final notification: By Dec. 15

### GIVING LIMITATIONS

Giving on a national basis, with emphasis on MD and MI.

No grants to individuals.

## Financials

### FOR FISCAL YEAR ENDED 2018-12-31

\$73,583,750

\$12,915,000

TOTAL ASSETS

TOTAL GIVING

Gifts Received: N/A

Expenditures: \$13,844,556

Qualifying Distributions: \$13,394,932

Giving Activities include:

\$12,915,000 for grants

## Who's Who

See who can introduce you to someone at Edward N. & Della L. Thome Memorial Foundation

### Officers (1)

Bank of America, N.A.  
TRUSTEE

### Donors (1)

*Note: If a donor is deceased, the symbol (#) follows the name.*  
Robert P. Thome

### Edward N. & Della L. Thome Memorial Foundation Family foundation

EIN 597241019

BRIDGE NUMBER 6090506285

#### LOCATION

PO Box 1802

Providence, RI United States 02901-1802

#### ADDITIONAL LOCATION INFORMATION

County: Providence

Metropolitan area: Providence-Warwick, RI-MA

Congressional district: Rhode Island District 01

#### CONTACT INFORMATION

Telephone: (888) 866-3275

E-mail: [ilgrantmaking@ustrust.com](mailto:ilgrantmaking@ustrust.com)

URL: [www.bankofamerica.com/grantmaking](http://www.bankofamerica.com/grantmaking)

**MEMBERSHIPS**

**Regional Associations of Grantmakers**

Association of Baltimore Area Grantmakers

Council of Michigan Foundations

# Edward W. Smith, Jr. Foundation

Boca Raton, FL, United States | 9546092000

## Funding Interests

|                           |   |
|---------------------------|---|
| <b>SUBJECTS</b>           | Aerospace engineering, Agriculture, Basic and emergency aid, Cancers, Community and economic development, Diseases and conditions, Education, Engineering, Food aid, Health, Higher education, Human services, In-patient medical care, Science, Special population support, University education |
| <b>GEOGRAPHIC FOCUS</b>   | National, International, Florida, New Zealand   |
| <b>POPULATION GROUPS</b>  | Academics, Children and youth, Economically disadvantaged people, Homeless people, Low-income people, People with disabilities, People with physical disabilities   |
| <b>SUPPORT STRATEGIES</b> | Equal access, General support, Policy, advocacy and systems reform, Program support   |

## About

### Purpose and Activities

Giving primarily to organizations that feed and care for the homeless in Broward County, FL; some giving also for medical research nationally and disaster relief in FL.

### Background

Established in 1999 in FL

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

## Applications/RFPs

Application form required.

Applicants should submit the following:

1. Copy of IRS Determination Letter
2. Detailed description of project and amount of funding requested

Initial Approach: Letter

Deadline(s): July 1

## GIVING LIMITATIONS

Giving for homeless limited to Broward County, FL; giving for disaster relief limited to FL; giving for medical research nationally.  
No grants to individuals.

## Financials

FOR FISCAL YEAR ENDED 2017-12-31

|                     |                     |  |
|---------------------|---------------------|--|
| \$2,491,096         | \$120,000           | Gifts Received: N/A                                |
| <b>TOTAL ASSETS</b> | <b>TOTAL GIVING</b> | Expenditures: \$213,912                            |
|                     |                     | Qualifying Distributions: \$120,000                |
|                     |                     | Giving Activities include:<br>\$120,000 for grants |

## Who's Who

See who can introduce you to someone at Edward W. Smith, Jr. Foundation

### Governing Body (3)

Jack J Appel Co-Trustee  
John E Carter Co-Trustee  
Marlin R Lee Co-Trustee

### Donors (1)

Note: If a donor is deceased, the symbol (#) follows the name.  
Edward W. Smith, Jr. Irrevocable Trust

Edward W. Smith, Jr. Foundation Independent foundation

EIN 656317648

LOCATION

CONTACT INFORMATION

**BRIDGE NUMBER** 5631682716

2799 NW BOCA RATON BLVD RM/STE 207  
Boca Raton, FL United States 33431

Telephone: 9546092000

**ADDITIONAL LOCATION INFORMATION**

County: Palm Beach

Metropolitan area: Miami-Fort Lauderdale-West

Palm Beach, FL

Congressional district: Florida District 22

# Esperantic Studies Foundation, Inc.

Washington, DC, United States | [www.esperantic.org](http://www.esperantic.org) | 9197448003 | [admin@esperantic.com](mailto:admin@esperantic.com)

## Funding Interests

|                           |   |
|---------------------------|---|
| <b>SUBJECTS</b>           | Archives and special collections, Arts and culture, Education, Higher education, Human services, Humanities, Information and Communications, Languages, Libraries, Museums, University education, Youth development, Youth organizing |
| <b>GEOGRAPHIC FOCUS</b>   | National, International, Poland, California, Connecticut, Austria, North Carolina   |
| <b>POPULATION GROUPS</b>  | Academics, Adolescents, Children and youth, Students, Women and girls   |
| <b>SUPPORT STRATEGIES</b> | Conferences and exhibits, Network-building and collaboration, Program support, Publications, Research, Travel awards  |

## About

### Purpose and Activities

Giving for educational and research projects in interlingual communication, especially those which: (a) study or apply Esperanto within a broader disciplinary or educational context; (b) improve our understanding of language barriers and inequalities, and of ways to overcome them that are both effective and just.

### Background

Established in 1968 in DC

### Program Area

#### Grants to Individuals Program

Grants to individuals for research, teaching or publications dealing with interlinguistics.

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

## Applications/RFPs

See foundation web site for complete application policies and guidelines

Application form required.

Initial Approach: Letter

Deadline(s): None

### GIVING LIMITATIONS

Giving in the U.S. and Poland.

## Financials

### FOR FISCAL YEAR ENDED 2018-12-31

\$3,269,617

\$83,624

TOTAL ASSETS

TOTAL GIVING

Gifts Received: \$25,788

Expenditures: \$311,955

Qualifying Distributions: \$215,822

Giving Activities include:

\$83,624 for grants

## Who's Who

See who can introduce you to someone at Esperantic Studies Foundation, Inc.

### Officers (10)

Humphrey Tonkin President

Grant Goodall Vice President

Derek Roff Secretary

Ben Speakmon Treasurer

Anna Bennett Director at Large

Mark Fettes Director at Large

Wallace G Du Temple Director, Investments Office

Geoffrey Greatrex Director at Large

Esther Schor Director at Large

Charles Mays Executive Director

### Donors (1)

*Note: If a donor is deceased, the symbol (#) follows the name.*

Estate of Brian Kaneen, Dec Final Distribution

**Esperantic Studies Foundation, Inc.** Operating foundation

**EIN** 520885287

**BRIDGE NUMBER** 2737245366

**LOCATION**

1015 15th St., N.W., Ste. 1000  
Washington, DC United States 20005-2621

**ADDITIONAL LOCATION INFORMATION**

County: District of Columbia  
Metropolitan area: Washington-Arlington-  
Alexandria, DC-VA-MD-WV  
Congressional district: District of Columbia  
District At-large

**CONTACT INFORMATION**

Telephone: 9197448003

E-mail: [admin@esperantic.com](mailto:admin@esperantic.com)

URL: [www.esperantic.org](http://www.esperantic.org)

# Eugene and Emily Grant Family Foundation

Boulder, CO, United States | (303) 817-3373

## Funding Interests

|                           |   |
|---------------------------|---|
| <b>SUBJECTS</b>           | Archaeology, Arts and culture, Diseases and conditions, Elementary and secondary education, Goodwill promotion, Health, History, Human rights, Human services, In-patient medical care, International development, Judaism, Museums, Music, Natural resources, Nonprofits, Opera, Orchestral music, Performing arts, Public affairs, Special population support, University education |
| <b>GEOGRAPHIC FOCUS</b>   | National, International, Israel, New York   |
| <b>POPULATION GROUPS</b>  | Academics, Children and youth, Economically disadvantaged people, Low-income people, Students   |
| <b>SUPPORT STRATEGIES</b> | General support, Regranting   |
| <b>ORGANIZATION TYPES</b> | Associations, Judaic institutions, Public charities   |

## About

### Purpose and Activities

Giving primarily to Jewish agencies, the arts and health care; support also to American and Israeli universities, environmental conservation, intermarriage, and Holocaust studies.

### Background

Established in 1998 in NY

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

## Applications/RFPs

Application form not required.

Initial Approach: Letter

Deadline(s): None

### GIVING LIMITATIONS

Giving in the U.S. and Israel.

## Financials

### FOR FISCAL YEAR ENDED 2017-12-31

|                     |                     |  |
|---------------------|---------------------|--|
| \$1,066,080         | \$3,336,721         | Gifts Received: \$3,000,000                          |
| <b>TOTAL ASSETS</b> | <b>TOTAL GIVING</b> | Expenditures: \$3,345,898                            |
|                     |                     | Qualifying Distributions: \$3,336,721                |
|                     |                     | Giving Activities include:<br>\$3,336,721 for grants |

## Who's Who

See who can introduce you to someone at Eugene and Emily Grant Family Foundation

### Officers (6)

Emily Grant TRUSTEE  
Richard Kobrin RUSTEE  
Terry Grant TRUSTEE  
Andrea Grant TRUSTEE  
Carolyn Grant TRUSTEE  
Eugene Grant TRUSTEE

### Donors (1)

*Note: If a donor is deceased, the symbol (‡) follows the name.*  
Eugene Grant

**EIN** 133997005  
**BRIDGE NUMBER** 2289994121

**LOCATION**  
2400 Spruce Street, 200  
Boulder, CO United States 80302

**CONTACT INFORMATION**  
Telephone: (303) 817-3373

**ADDITIONAL LOCATION INFORMATION**  
County: Boulder  
Metropolitan area: Boulder, CO  
Congressional district: Colorado District 02

# Flight Attendant Medical Research Institute, Inc.

Miami, FL, United States | [www.famri.org](http://www.famri.org) | (305) 379-7007 | [ekress@famri.org](mailto:ekress@famri.org)

## Funding Interests

|                           |  |
|---------------------------|--|
| <b>SUBJECTS</b>           | Diseases and conditions, Ear, nose and throat diseases, Foundations, Graduate and professional education, Health, Higher education, In-patient medical care, Public health, Respiratory system diseases, Science |
| <b>GEOGRAPHIC FOCUS</b>   | National, Brazil, Ireland, Switzerland, Maryland, Israel, Sweden, Florida, New York, Massachusetts, California, Colorado   |
| <b>POPULATION GROUPS</b>  | Academics, People with diseases and illnesses  |
| <b>SUPPORT STRATEGIES</b> | Research, Research and evaluation  |

## About

### Purpose and Activities

FAMRI's mission is to sponsor scientific and medical research for the early diagnosis, and cure of diseases and medical conditions caused from exposure to tobacco smoke.

### Background

Established in 2000 in FL - Flight Attendant Medical Research Institute (FAMRI) was established as a result of a Class Action suit brought on behalf of non-smoking flight attendants in October 1991 in Dade County Circuit Court (Miami) against the tobacco industry. The suit sought damages for diseases and deaths caused to flight attendants by exposure to second hand tobacco smoke in airline cabins. The settlement included the establishment of a not-for-profit medical research foundation with funding by the tobacco industry of \$300 million

### Program Areas (2)

#### Clinical Innovator Awards

The Clinical Innovator Awards (CIA) program was established to stimulate novel medical and clinical scientific research studies on the effects of exposure to tobacco smoke and to foster innovative breakthroughs as well as creative collaborations through these grants. The award will provide \$100,000 per year for up to three years with the possibility of a no-cost extension for one year. Researchers with an advanced degree (MD, PhD, or MD/PhD or the equivalent) who work in nonprofit institutions with United States affiliation, such as an academic medical center, are eligible to apply. FAMRI will not support individuals who have current or pending funding from, or association with, the tobacco industry. Start date of the award is July 1. Additional application information, including proposal submission form, is available on foundation web site. .

#### Young Clinical Scientist Award

The purpose of the FAMRI Young Clinical Scientist Award (YCSA) program is to help prepare and support new clinical investigators with a M.D. or Ph.D. as they begin their careers as independent researchers. The program is limited to the development of young researchers in smoking-related disorders. FAMRI will not support individuals who have current or pending funding from, or other associations with, the tobacco industry. Fellowship awardees will receive up to two years support at the fellowship level and can then be transferred to a faculty level award for the following three years. During the fellowship period, the total annual award will be up to \$75,000. It is expected that fellowship awardees will obtain faculty appointments within 24 months of receiving the award. Faculty awardees will receive \$100,000 per year for direct costs. The award will be made for three years. The majority of awardees will be renewed for another two years, for a total of five years of support. Additional application information, including proposal submission forms, are available on foundation web site .

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

## Applications/RFPs

Each proposal must be accompanied by an institutional Tobacco Disclosure statement and Individual Disclosure statements for everyone involved with the project

Application form required.

Applicants should submit the following:

1. Listing of additional sources and amount of support
2. Timetable for implementation and evaluation of project

**Initial Approach:** A letter of intent is required. Once approved, applicant can download application forms provided on foundation web site

**Deadline(s):** Mid-Sept.

**Final notification:** 6 months

### GIVING LIMITATIONS

Giving on a national and international basis.

No grants to individuals who are currently receiving money from tobacco companies.

## Financials

FOR FISCAL YEAR ENDED 2017-09-30

\$69,229,240

\$9,379,299

Gifts Received: N/A

TOTAL ASSETS

TOTAL GIVING

Expenditures: \$11,319,863

Qualifying Distributions: \$10,977,591

Giving Activities include:

\$9,379,299 for grants

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## Who's Who

See who can introduce you to someone at Flight Attendant Medical Research Institute, Inc.

### Officers and Trustees (8)

**Stanley M. Rosenblatt** Chair. and C.E.O. and Trustee

**Elizabeth A. Kress** Exec. Dir.

**Patricia L. Young** Secy. and Trustee

**Lani Blissard** Treas. and Trustee

**Kate Jewell**

**John B. Ostrow**

**Leisa Richardson**

**Susan Rosenblatt**

### Staff (2)

**Marti Gammon** Admin. Asst.

**Rachel Gdanski** Prog. Asst.

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**Flight Attendant Medical Research Institute, Inc.** Independent foundation

**EIN** 651057724

**BRIDGE NUMBER** 4965043789

#### PUBLICATIONS

Multi-year report

#### LOCATION

201 S. Biscayne Blvd., Ste. 1310

Miami, FL United States 33131-4333

#### ADDITIONAL LOCATION INFORMATION

County: Miami-Dade

Metropolitan area: Miami-Fort Lauderdale-West

Palm Beach, FL

Congressional district: Florida District 24

#### CONTACT INFORMATION

Contact: Elizabeth A. Kress, Exec. Dir.

Telephone: (305) 379-7007

Fax: (305) 577-0005

E-mail: [ekress@famri.org](mailto:ekress@famri.org)

URL: [www.famri.org](http://www.famri.org)

# Frances & Benjamin Benenson Foundation, Inc.

New York City, NY, United States | (212) 867-0990

## Funding Interests

|                           |  |
|---------------------------|--|
| <b>SUBJECTS</b>           | Arts and culture, Diseases and conditions, Education, Elementary and secondary education, Health, Higher education, Human services, Judaism, Museums |
| <b>GEOGRAPHIC FOCUS</b>   | National, New York, Netherlands, China, California, Ukraine, Israel, District of Columbia  |
| <b>SUPPORT STRATEGIES</b> | Continuing support, Endowments   |
| <b>ORGANIZATION TYPES</b> | Associations   |

## About

### Purpose and Activities

Support primarily for human services, arts and culture, education, health care, and community development.

### Background

Established in 1983 in NY

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

## Applications/RFPs

Application form not required.

Applicants should submit the following:

1. Detailed description of project and amount of funding requested

Initial Approach: Proposal

Deadline(s): None

### GIVING LIMITATIONS

Giving on a national basis.  
No grants to individuals.

## Financials

FOR FISCAL YEAR ENDED 2017-11-30

\$36,991,678

\$4,184,952

TOTAL ASSETS

TOTAL GIVING

Gifts Received: \$2,000,000

Expenditures: \$4,859,695

Qualifying Distributions: \$4,265,801

Giving Activities include:

\$4,184,952 for grants

## Who's Who

See who can introduce you to someone at Frances & Benjamin Benenson Foundation, Inc.

### Officers (5)

Bruce W Benenson CO-PRESIDENT

Frederick C Benenson CO-PRESIDENT

Lawrence B Benenson CO-PRESIDENT

Richard Kessler VICE-PRESIDENT

Lawrence A Sipkin TREASURER & SECRETARY

### Donors (1)

*Note: If a donor is deceased, the symbol (#) follows the name.*

Bw Benenson

Frances & Benjamin Benenson Foundation, Inc. Family foundation

EIN 133267113

BRIDGE NUMBER 1253714819

### LOCATION

708 3rd Ave., 28th Fl.

New York City, NY United States 10017-4232

### ADDITIONAL LOCATION INFORMATION

### CONTACT INFORMATION

Telephone: (212) 867-0990

County: New York  
Metropolitan area: New York-Newark-Jersey  
City, NY-NJ-PA  
Congressional district: New York District 12

# Frank M. Ewing Foundation, Inc.

North Potomac, MD, United States

## Funding Interests

|                           |   |
|---------------------------|---|
| <b>SUBJECTS</b>           | Biodiversity, Cancers, Diseases and conditions, Domesticated animals, Economic development, Elementary and secondary education, Family services, Human services, In-patient medical care, International development, Medical support services, Rehabilitation, Special population support |
| <b>GEOGRAPHIC FOCUS</b>   | National, Haiti, Florida, New York, District of Columbia, California, Maryland  |
| <b>POPULATION GROUPS</b>  | Economically disadvantaged people, Low-income people, People with disabilities  |
| <b>SUPPORT STRATEGIES</b> | Annual campaigns, Capital campaigns, Continuing support, General support, Research  |

## About

### Purpose and Activities

Giving primarily for health and human services; support also for education.

### Background

Established around 1994 in MD

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

## Applications/RFPs

Application form required.

Applicants should submit the following:

1. Detailed description of project and amount of funding requested

Initial Approach: Letter

Copies of proposal: 1

Board meeting date(s): Oct.

Deadline(s): August 31

### GIVING LIMITATIONS

Giving on a national basis.

No grants to individuals.

## Financials

FOR FISCAL YEAR ENDED 2018-09-30

\$60,459

\$10,655,936

TOTAL ASSETS

TOTAL GIVING

Gifts Received: N/A

Expenditures: \$10,760,161

Qualifying Distributions: \$10,655,936

Giving Activities include:

\$10,655,936 for grants

## Who's Who

See who can introduce you to someone at Frank M. Ewing Foundation, Inc.

### Officers (3)

Frances E. Tennery EXECUTIVE VP/SECRETARY/DIR

Peggy E. Atherton DIRECTOR

Judith H. Ewing PRESIDENT/ TREASURER /DIRECT

### Donors (1)

Note: If a donor is deceased, the symbol (#) follows the name.

Frank M. Ewing

Frank M. Ewing Foundation, Inc. Family foundation

EIN 521902030

BRIDGE NUMBER 5269491570

### LOCATION

13442 Query Mill Road

North Potomac, MD United States 20878

### CONTACT INFORMATION

**ADDITIONAL LOCATION INFORMATION**

County: Montgomery

Metropolitan area: Washington-Arlington-Alexandria, DC-VA-MD-WV

Congressional district: Maryland District 06

# Gladys and Roland Harriman Foundation

New York City, NY, United States | (212) 493-8185

## Funding Interests

|                           |  |
|---------------------------|--|
| <b>SUBJECTS</b>           | Child welfare, Community recreation, Diseases and conditions, Education, Education services, Elementary and secondary education, Health, Higher education, Historical activities, Hospital care, Human services, In-patient medical care, Museums, Natural resources, Performing arts, Youth development |
| <b>GEOGRAPHIC FOCUS</b>   | National, Canada, Idaho, New York, Maine   |
| <b>POPULATION GROUPS</b>  | Academics, Children and youth, Economically disadvantaged people, Low-income people, Students  |
| <b>SUPPORT STRATEGIES</b> | Annual campaigns, Capital campaigns, Endowments, General support   |
| <b>TRANSACTION TYPES</b>  | Employee matching gifts, Matching grants   |
| <b>ORGANIZATION TYPES</b> | Associations   |

## About

### Purpose and Activities

Giving primarily for education; support also for youth and social service agencies, arts and cultural organizations, and health agencies and hospitals.

### Background

Established in 1966 in NY - Founded by the late Roland and Gladys Harriman. Roland Harriman was a co-founder of the banking firm Harriman Brothers, which merged with Brown Brothers & Co. to form Brown Brothers Harriman & Co.

### Program Area

#### Matching Gift Program

The foundation matches directors' gifts to qualified organizations.

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

## Applications/RFPs

Application form not required.

Applicants should submit the following:

1. Copy of IRS Determination Letter
2. Brief history of organization and description of its mission
3. Detailed description of project and amount of funding requested

Initial Approach: Letter

Copies of proposal: 1

Board meeting date(s): May and Nov.

Deadline(s): Oct. 1

Final notification: 1 month

### GIVING LIMITATIONS

Giving on a national basis.

No grants to individuals.

## Financials

### FOR FISCAL YEAR ENDED 2017-12-31

|                     |                     |                                       |
|---------------------|---------------------|---------------------------------------|
| \$138,854,922       | \$7,445,226         | Gifts Received: N/A                   |
| <b>TOTAL ASSETS</b> | <b>TOTAL GIVING</b> | Expenditures: \$9,031,215             |
|                     |                     | Qualifying Distributions: \$7,755,326 |
|                     |                     | Giving Activities include:            |

## Who's Who

See who can introduce you to someone at Gladys and Roland Harriman Foundation

### Officers (11)

Elbridge T. Gerry Jr C PRESIDENT/DIRECTOR  
Thomas F. Dixon CHAIRMAN/DIRECTOR  
Anna T. Korniczky TREASURER  
Barbara O'Connell SECRETARY.  
Hillary A. Dixon DIRECTOR  
Marjorie Northrop Friedman DIRECTOR  
Wilhelm E. Northrop DIRECTOR  
Anthony T. Enders DIRECTOR  
Elbridge T. Gerry, III VP/DIRECTOR  
William B. Tyree DIRECTOR  
Adam H. Dixon DIRECTOR

### Donors (2)

*Note: If a donor is deceased, the symbol (#) follows the name.*

Roland Harriman#  
Gladys Harriman#

### Gladys and Roland Harriman Foundation Independent foundation

**EIN** 510193915

**BRIDGE NUMBER** 1331244678

#### LOCATION

140 Broadway, 11th Fl.  
New York City, NY United States 10005-1108

#### ADDITIONAL LOCATION INFORMATION

County: New York  
Metropolitan area: New York-Newark-Jersey  
City, NY-NJ-PA  
Congressional district: New York District 10

#### CONTACT INFORMATION

Telephone: (212) 493-8185

# Gleason Family Foundation

San Rafael, CA, United States | (415) 578-3935

## Funding Interests

|                           |  |
|---------------------------|--|
| <b>SUBJECTS</b>           | Diseases and conditions, Education, Elementary and secondary education, Elementary education, Family services, Foundations, Higher education, Human services, Legal services, Philanthropy, Public policy, Secondary education |
| <b>GEOGRAPHIC FOCUS</b>   | National, Kenya, Japan, Mexico, Virginia, District of Columbia, New York, Massachusetts, California  |
| <b>POPULATION GROUPS</b>  | Academics, Children and youth, Economically disadvantaged people, Low-income people, Students  |
| <b>SUPPORT STRATEGIES</b> | General support  |

## About

### Background

Established in 2006 in DE as a successor to Gleason Family Foundation located in NY

### Program Area

#### Program-Related Investment

The foundation has made a PRI in the form of a mortgage loan to a preparatory school.

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

## Applications/RFPs

Application form required.

Applicants should submit the following:

1. Detailed description of project and amount of funding requested

Initial Approach: Letter

Deadline(s): None

### GIVING LIMITATIONS

Giving primarily in U.S.

No grants to individuals.

## Financials

FOR FISCAL YEAR ENDED 2018-03-31

\$195,585,623

\$1,229,344

Gifts Received: N/A

TOTAL ASSETS

TOTAL GIVING

Expenditures: \$4,064,817

Qualifying Distributions: \$4,767,653

Giving Activities include:

\$1,229,344 for grants

## Who's Who

See who can introduce you to someone at Gleason Family Foundation

### Officers (6)

James S. Gleason CHAIRMAN

Janis F. Gleason VP, SECRETARY AND TREASURE

Tracy R. Gleason PRESIDENT AND CEO

Albert W. Moore DIRECTOR

Gary J. Kimmet DIRECTOR

Jeffery P. Robinson DIRECTOR

### Staff (2)

Clare H. Mullin EXECUTIVE PROGRAM DIRECTOR

Kimberly L. Madsen CONTROLLER

**EIN** 205804684  
**BRIDGE NUMBER** 6406265737

**LOCATION**  
67 Mark Drive  
San Rafael, CA United States 94903

**ADDITIONAL LOCATION INFORMATION**  
County: Marin  
Metropolitan area: San Francisco-Oakland-Hayward, CA  
Congressional district: California District 02

**CONTACT INFORMATION**  
Telephone: (415) 578-3935

# Helen and Philip Delman Foundation, Inc.

New York City, NY, United States | 2127214086

## Funding Interests

|                           |   |
|---------------------------|---|
| <b>SUBJECTS</b>           | Basic and emergency aid, Diseases and conditions, Education, Elementary and secondary education, Family services, Health, Hospital care, Human services, In-patient medical care, Judaism, Nonprofits, Religion, Shelter and residential care, Special population support |
| <b>GEOGRAPHIC FOCUS</b>   | National, International, Canada, New Jersey, Florida, United Kingdom, California, New York, Israel  |
| <b>POPULATION GROUPS</b>  | Academics, Adults, Economically disadvantaged people, Jewish people, Low-income people, Seniors, Students   |
| <b>SUPPORT STRATEGIES</b> | General support, Program support  |

## About

### Background

Established in 1985 in NY

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

## Applications/RFPs

Application form not required.

Initial Approach: Contact foundation

Board meeting date(s): Varies

Deadline(s): None

### GIVING LIMITATIONS

Giving primarily in the U.S.; some giving also in Canada.

## Financials

### FOR FISCAL YEAR ENDED 2017-12-31

\$4,147,714

\$83,655

TOTAL ASSETS

TOTAL GIVING

Gifts Received: \$1,250,662

Expenditures: \$93,974

Qualifying Distributions: \$86,989

Giving Activities include:

\$83,655 for grants

## Who's Who

See who can introduce you to someone at Helen and Philip Delman Foundation, Inc.

### Officers (2)

Andrea Delman Trustee

Aimee Delman Trustee

### Donors (3)

*Note: If a donor is deceased, the symbol (†) follows the name.*

Neil Delman Ira

Neil Delman Ira

Neil Delman

**Helen and Philip Delman Foundation, Inc.** Family foundation

**EIN** 133249486

**BRIDGE NUMBER** 4653707980

### LOCATION

210 West 78th Street, 6d

New York City, NY United States 10024

### ADDITIONAL LOCATION INFORMATION

County: New York

Metropolitan area: New York-Newark-Jersey

City, NY-NJ-PA

Congressional district: New York District 12

### CONTACT INFORMATION

Telephone: 2127214086

# James S. McDonnell Foundation

St. Louis, MO, United States | [www.jsmf.org](http://www.jsmf.org) | (314) 862-1040 | [info@jsmf.org](mailto:info@jsmf.org)

## Funding Interests

|                           |  |
|---------------------------|--|
| <b>SUBJECTS</b>           | Biology, Brain and nervous system disorders, Climate change, Diseases and conditions, Educational management, Foundations, Graduate and professional education, Higher education, In-patient medical care, Interdisciplinary studies, Medical specialties, Philanthropy, Psychology and behavioral science, Science, Social sciences |
| <b>GEOGRAPHIC FOCUS</b>   | National, Canada, Spain, Israel, France, Australia, California, Illinois, New Jersey, New York, United Kingdom, Massachusetts, Missouri  |
| <b>POPULATION GROUPS</b>  | Academics, Researchers   |
| <b>SUPPORT STRATEGIES</b> | Program support, Research, Research and evaluation   |

## About

### Purpose and Activities

JSMF believes that private philanthropic support for science is most effective when it invests in the acquisition of new knowledge and in the responsible application of knowledge for solving the real world problems. The 21st Century Science Initiative, the foundation's revised program and funding strategy, will award two types of grants in three program areas. The three program areas are Mathematical & Complex Systems Approaches for Brain Cancer Research, Studying Complex Systems, and Understanding Human Cognition. Projects supported through the 21st Century Science Initiative are expected to meet highly selective intellectual standards.

### Background

Established in MO - In 1950, James S. McDonnell, Jr. established the McDonnell Foundation, incorporated as a private foundation. The foundation has pursued his goals by supporting scientific, educational, and charitable causes locally, nationally, and internationally. The organization was renamed the James S. McDonnell Foundation in 1984 in honor of its founder and was reorganized in 2002 with amended by-laws by its current board members, descendants of James S. McDonnell.

### Program Areas (5)

#### 2019 Postdoctoral Fellowship in Understanding Dynamic and Multi-scale Systems

The JSMF Fellowship is designed to provide students with opportunities to pursue postdoctoral training that might not be otherwise readily available. The Fellowships are intended to allow students to seek postdoctoral research opportunities aligned with the student's interest and desire to obtain additional skills and experience that will further their pursuit of careers in complex systems science. Students should not already have a Ph.D. but be on track to complete all degree requirements and be ready to start their first postdoctoral fellowship between June 2020 and Feb. 28, 2021. - Applicants should not have already identified a postdoctoral position and a postdoctoral research mentor. - Candidates from any country are eligible to apply. - JSMF Fellowships can only be used to support postdoctoral training at a qualified institution.

#### 21st Century Collaborative Activity Awards

The foundation offers these awards to initiate interdisciplinary discussions on problems or issues, to help launch interdisciplinary research networks, or to fund communities of researchers/practitioners dedicated to developing new methods, tools, and applications of basic research to applied problems. Collaborative Activity Awards developed from questions or topics discussed at foundation-sponsored meetings may be initiated by foundation Advisory Panel members, particularly when the outcome of collaborative discussions assists with foundation program planning. Collaborative awards will not be awarded in support of large, program-project style research proposals. Strong preference will be given to applications involving multi-institutional collaboration, and the lead applicant must be sponsored by a non-profit institution. The grantee institution must agree to administer the award and to waive all indirect costs. Applicants for this award should submit a letter of inquiry, which must be submitted electronically to: [collaborative@jsmf.org](mailto:collaborative@jsmf.org). Guidelines Posted for the 2019 Teachers as Learners Program Call for Pre-proposals The James S. McDonnell Foundation (JSMF) has posted a new call for pre-proposals for the 2019 Teachers as Learners program. In 2017, the James S McDonnell Foundation (JSMF) inaugurated a program supporting use oriented research expanding our understanding of teachers as learners and as agents of change in education. The 2019 call for pre-proposals remains focused on supporting team-based research addressing the topic teachers learning to facilitate communication in the classroom (e.g., eliciting student explanations, guiding collaborative discussions, making effective use of questions). Research projects described in the pre-proposal must be rooted firmly in the learning context, operate in real-time, and accommodate the constraints imposed by the local environment. All pre-proposals must provide the following information: •a brief but thorough description of the question or problem motivating the pre-proposal, and an explanation of the measures by which teacher learning and teacher change will be assessed, •an explanation of the proposed approach including a data acquisition/data management plan, (research projects acquiring new data or utilizing existing data are welcome; where feasible, teams are encouraged to utilize existing data sets), •a list of the metrics and measurements that are planned to be used by the team, •the identification and qualifications of the members of the interdisciplinary team, and •budget plan by year. (NB - The annual budgets should consider both ramp up and ramp down needs and need not request identical amounts for each year.) The deadline for submitting pre-proposals is May 8, 2019 15:59 CDT (20:59 UTC). Full program guidelines can be found at the JSMF website via the following link: <https://www.jsmf.org/apply/teachers-as-learners/>.

#### JSMF Scholar Awards Program

For Scholar Awards the program's emphasis is furthering the science of complex systems via the continued development of the theory and tools used in the study of complex research questions and not on particular fields of research per se. JSMF is particularly interested in projects attempting to apply complex systems approaches to coherently articulated questions. In general, JSMF receives many more proposals than it can fund. Research projects outside the

foundation's described area of interest will not be funded. JSMF funds internationally. Applications must be sponsored by a qualifying institution as defined by Section 501(c)(3) of the United States Internal Revenue Tax Code. For more information see JSMF web site.

### Studying Complex Systems

The program supports scholarship and research directed toward the development of theoretical and mathematical tools that can be applied to the study of complex, adaptive, nonlinear systems. It is anticipated that research funded in this program will address issues in fields such as biology, biodiversity, climate, demography, epidemiology, technological change, economic development, governance, or computation. JSMF supports research in the brain sciences through its topical program Understanding Human Cognition. The foundation recommends that researchers in the neurosciences not submit proposals to the Complex Systems RFA. Typically, such proposals are unsuccessful. While the program's emphasis is on the development and application of the theory and tools used in the study of complex research questions and not on particular fields of research per se, JSMF is particularly interested in projects attempting to apply complex systems approaches to coherently articulated questions. Proposals intending to apply complex system tools and models to problems where such approaches are not yet considered usual or mainstream are appropriate to the RFA.

### Understanding Human cognition

The program is intended to help investigators pursue experiments designed to answer well-articulated questions. The foundation is looking for well-designed experiments matched to intriguing questions. The proposed question should not stretch beyond what is answerable with available or developable research tools. See foundation web site for additional information.

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## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

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## Applications/RFPs

See foundation's web site for application information, all proposals must be electronically submitted. Institutions sponsoring an application on behalf of a particular principal investigator to JSMF programs (Research Awards, Collaborative Activity Awards) can only submit one application every 3 years on behalf of the named principal investigator.

**Application form not required.**

**Initial Approach:** Letter of inquiry for collaborative activity awards

**Copies of proposal:** 1

**Board meeting date(s):** Varies

**Deadline(s):** Early to mid-Mar. for research awards; no deadline for letters of inquiry for collaborative activity awards

**Final notification:** Varies depending on submission date

### GIVING LIMITATIONS

Giving on a local, national and international basis.

No support for religious, educational or political organizations.

No grants to individuals or for ongoing operational support for university-based centers, programs or institutes, no support for tuition, stipends, scholarships, underwriting or sponsoring of charitable functions, or museum exhibitions, expenses tied to projects whose explicit goal is the publication of a work, or expenses tied to the establishment or day-to-day running of a journal or small press.

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## Financials

### FOR FISCAL YEAR ENDED 2017-12-31

\$534,886,891

\$22,627,535

Gifts Received: N/A

**TOTAL ASSETS**

**TOTAL GIVING**

Expenditures: \$27,524,682

Qualifying Distributions: \$23,958,866

Giving Activities include:

\$22,627,535 for grants

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## Who's Who

See who can introduce you to someone at James S. McDonnell Foundation

### Officers (7)

James S. McDonnell, III DIRECTOR & SECRETARY

John F. McDonnell DIRECTOR & TREASURER

Holly M. James DIRECTOR

Jeanne M. Champer DIRECTOR

Marcella M. Stevens DIRECTOR

Alicia S. McDonnell DIRECTOR

Susan M. Fitzpatrick, Ph.D. PRESIDENT

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**James S. McDonnell Foundation** Independent foundation

**EIN** 542074788

**BRIDGE NUMBER** 5070327255

### LOCATION

1034 S. Brentwood Blvd., Ste. 1850

### CONTACT INFORMATION

Telephone: (314) 862-1040

**PUBLICATIONS**

Financial statement  
Grants list  
Program policy statement

St. Louis, MO United States 63117-1229

**ADDITIONAL LOCATION INFORMATION**

County: St. Louis  
Metropolitan area: St. Louis, MO-IL  
Congressional district: Missouri District 01

Fax: (314) 721-7421

E-mail: [info@jsmf.org](mailto:info@jsmf.org)

URL: [www.jsmf.org](http://www.jsmf.org)

**ADDITIONAL CONTACT INFORMATION**

Additional e-mail: [help@jsmf.org](mailto:help@jsmf.org)

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**MEMBERSHIPS**

**Affinity Groups**

Brain Tumor Funders' Collaborative

Exponent Philanthropy

# Jaquelin Hume Foundation

San Francisco, CA, United States | (415) 590-4860

## Funding Interests

|                           |   |
|---------------------------|---|
| <b>SUBJECTS</b>           | Democracy, Education, Education services, Elementary and secondary education, Graduate and professional education, Higher education, Performing arts, Philanthropy, Public affairs, Public policy, Teacher education                              |
| <b>GEOGRAPHIC FOCUS</b>   | National, Massachusetts, California, Virginia, District of Columbia, New York   |
| <b>POPULATION GROUPS</b>  | Academics, Adolescents, Boys, Children, Children and youth, Economically disadvantaged people, Ethnic and racial groups, Girls, Immigrants and migrants, Low-income people, People of African descent, People of Latin American descent, Students |
| <b>SUPPORT STRATEGIES</b> | General support, Policy, advocacy and systems reform, Program evaluations, Program support, Research, Systems reform  |

## About

### Purpose and Activities

Giving primarily for K-12 education reform efforts.

### Background

Established in 1962 in CA - The foundation was established by Mr. and Mrs. Jaquelin H. Hume.

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

## Applications/RFPs

Application form not required.

Applicants should submit the following:

1. Listing of board of directors, trustees, officers and other key people and their affiliations
2. Copy of IRS Determination Letter
3. Qualifications of key personnel
4. Copy of most recent annual report/audited financial statement/990
5. Detailed description of project and amount of funding requested

Initial Approach: 1-page letter

Copies of proposal: 1

Board meeting date(s): Biannually

Deadline(s): Mar. 15 and Sept. 15

Additional information: Please also include a list of past and present donors

### GIVING LIMITATIONS

Giving on a national basis to organizations with national impact.

No support for organizations outside the U.S.

No grants to individuals.

## Financials

FOR FISCAL YEAR ENDED 2018-12-31

\$3,510,553

\$2,570,000

TOTAL ASSETS

TOTAL GIVING

Gifts Received: N/A

Expenditures: \$2,889,715

Qualifying Distributions: \$2,856,195

Giving Activities include:

\$2,570,000 for grants

## Who's Who

See who can introduce you to someone at Jaquelin Hume Foundation

### Officers (4)

William J Hume PRESIDENT & TRUSTEE

George H Hume VICE PRESIDENT & TRUSTEE

Edward A Landry TREASURER & TRUSTEE

Gisele Huff SECRETARY

### Donors (3)

Note: If a donor is deceased, the symbol (‡) follows the name.

Jaquelin H. Hume‡

Caroline H. Hume‡

William J. Hume

**Jaquelin Hume Foundation** Family foundation

**EIN** 946080099

**BRIDGE NUMBER** 4553532392

**PUBLICATIONS**

Application guidelines

**LOCATION**

600 Montgomery St., Ste. 2800

San Francisco, CA United States 94111-2803

**ADDITIONAL LOCATION INFORMATION**

County: San Francisco

Metropolitan area: San Francisco-Oakland-Hayward, CA

Congressional district: California District 12

**CONTACT INFORMATION**

Telephone: (415) 590-4860

**MEMBERSHIPS**

Affinity Groups

Grantmakers for Education

The Philanthropy Roundtable

# John D. and Catherine T. MacArthur Foundation

Chicago, IL, United States | [www.macfound.org](http://www.macfound.org) | 3127268000 | [4answers@macfound.org](mailto:4answers@macfound.org)

## Funding Interests

|                           |  |
|---------------------------|--|
| <b>SUBJECTS</b>           | Antidiscrimination, Arts administration, Biodiversity, Citizen journalism, Climate change, Communication media, Community improvement, Conflict and atrocities prevention, Democracy, Forest management, Foundations, Higher education, International peace and security, Investigative journalism, Law enforcement, Natural resources, News and public information, Nuclear non-proliferation and disarmament, Performing arts, Prison alternatives, Public policy, Sustainable development, Sustainable forestry |
| <b>GEOGRAPHIC FOCUS</b>   | National, International, India, Nigeria, Mexico, Russia, District of Columbia, Massachusetts, Illinois, New York, California   |
| <b>POPULATION GROUPS</b>  | Academics, Adults, Researchers   |
| <b>SUPPORT STRATEGIES</b> | Data and measurement systems, Fellowships, General support, Grantee relations, Participatory grantmaking, Policy, advocacy and systems reform, Program support, Public engagement and education, Research  |
| <b>TRANSACTION TYPES</b>  | Employee matching gifts, Matching grants, Program-related investments  |

## About

### Purpose and Activities

The John D. and Catherine T. MacArthur Foundation supports creative people, effective institutions, and influential networks building a more just, verdant, and peaceful world. MacArthur is placing a few big bets that truly significant progress is possible on some of the world's most pressing social challenges, including over-incarceration, global climate change, nuclear risk, and significantly increasing financial capital for the social sector. In addition to the MacArthur Fellows Program, the foundation continues its historic commitments to the role of journalism in a responsible and responsive democracy, as well as to the strength and vitality of its headquarters city, Chicago.

### Background

Incorporated in 1970 in IL - Founded by the late John D. and Catherine T. MacArthur. A midwestern businessman and real estate magnate, Mr. MacArthur was one of the country's wealthiest men. He built a vast fortune, primarily through ownership and development of Bankers Life and Casualty Co. of Chicago, the largest health and casualty underwriter in the nation, as well as other businesses including considerable property in Florida and New York. His wife, Catherine T. MacArthur, a board member of both Bankers Life and Casualty and the foundation, died in 1981.

### Program Areas (10)

#### 100&Change

A competition for a \$100 million grant to fund a single proposal that promises real and measurable progress in solving a critical problem of our time.

#### Chicago

The program supports the foundation's hometown city's civic, cultural, and community infrastructure.

#### Climate Solutions

The program works to prevent climate change by curbing emissions and supporting global leadership on climate solutions, particularly by the United States, China, and India.

#### Criminal Justice

The program works to address over-incarceration by changing the way America thinks about and uses jails.

#### Journalism and Media

The program works to strengthen American democracy by informing, engaging, and activating Americans through deep investments in independent journalism and media.

#### MacArthur Award for Creative and Effective Institutions

Annual awards that recognize exceptional foundation grantees and help ensure their sustainability.

#### MacArthur Fellows

The MacArthur Fellows program awards unrestricted \$625,000 fellowships to talented individuals who have shown extraordinary originality and dedication in their creative pursuits and a marked capacity for self-direction.

#### Nuclear Challenges

The program works to reduce nuclear threats by decreasing the availability and use of weapons-usable material.

#### On Nigeria

The program promotes an atmosphere of accountability, transparency, and good governance in Nigeria by reducing retail corruption and strengthening Nigerian-led anti-corruption efforts.

#### Program-Related Investment

By providing flexible loans and other financial instruments, known as impact investments, the foundation helps nonprofit and social enterprises grown and leverage capital to meet the needs of underserved people and communities.

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## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

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## Applications/RFPs

Please do not send the letter of inquiry by fax. Send it by mail to the office of Grants Management or by e-mail. Direct applications for MacArthur Fellows programs not accepted. Grants increasingly initiated by the board

Application form not required.

Applicants should submit the following:

1. Listing of additional sources and amount of support
2. Copy of current year's organizational budget and/or project budget
3. Contact person
4. Explanation of why grantmaker is considered an appropriate donor for project
5. Name, address and phone number of organization
6. Statement of problem project will address
7. Results expected from proposed grant
8. Detailed description of project and amount of funding requested

Initial Approach: Letter of inquiry (2 to 3 pages) and one-page summary

Copies of proposal: 1

Board meeting date(s): Mar., June, Sept., and Dec.

Deadline(s): None

Final notification: 8 to 10 weeks

Additional information: The foundation acknowledges receipt of proposals and grants interviews at their discretion. Additional application information is available on the foundation's home page

### REQUESTS FOR PROPOSALS (RFPs)

[MacArthur Foundation Announces Culture, Equity, and the Arts in Chicago Initiative](#)

POSTED: 2019-08-08

DEADLINE: 2019-12-31

## GIVING LIMITATIONS

Giving on a national and international basis.

No support for religious programs, political activities or campaigns.

No grants for fundraising appeals, institutional benefits, honorary functions or similar projects, tuition expenses, scholarships, or fellowships (other than those sponsored by the foundation).

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## Financials

### FOR FISCAL YEAR ENDED 2017-12-31

\$6,999,766,070

\$255,597,682

Gifts Received: N/A

TOTAL ASSETS

TOTAL GIVING

Expenditures: \$355,725,665

Qualifying Distributions: \$317,601,895

Giving Activities include:

\$255,597,682 for grants

\$9,288,924 for loans/program-related investments

---

## Who's Who

See who can introduce you to someone at John D. and Catherine T. MacArthur Foundation

### Officers and Directors (26)

Julia Stasch C.E.O. and Pres. and Director

Daniel Huttenlocher Chair. and Director

John Palfrey Pres.

Marc P. Yanchura V.P., and C.F.O.

Cecilia Conrad V.P., MacArthur Fellows Prog.

John J. Mohr Chief Inf. Off.

Kristen J. Molyneaux V. P., Social Impact, Lever for Change

Jeff Ubois V.P., Knowledge Mgmt., Lever for Change

Susan E. Manske V.P. and C.I.O.

Joshua J. Mintz V.P., Genl. Counsel, and Secy.

### Staff (168)

Emma Belcher Prog. Off., Intl. Peace and Security

Buck Betten Mgr., Derivative Strategies

Lucy Blanco Team Coord., Climate Solutions

Elizabeth Kane Managing Dir., Core Svcs.

Valerie Chang Managing Dir., Progs.

Allison Clark Assoc. Dir., Impact Investing

Maurice Classen Prog. Off., Community and Economic Devel.

Raoul J. Davion Assoc. Dir., Girls' Secondary Education

David A. Greenwald Managing Dir., Absolute Return and Fixed Return

Patrick Griffin Sr. Prog. Off., Criminal Justice

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Kevin Doherty Cont.  
John Seely Brown  
Jamie S. Gorelick  
Mary Graham  
Donald R. Hopkins, MD  
Joi Ito  
Julie T. Katzman  
Paul Klingenstein  
Martha Minow  
Dr. James Manyika  
Dr. Olufunmilayo Falusi Olopade  
Mario J. Molina  
Sendhil Mullainathan  
Dana Rice V.P., Philanthropy, Lever for Change  
Claude M. Steele  
Kenneth M. Jones, II V.P. and C.F.O.

Sean Harder Comms. Off.  
Alaina J. Harkness Prog. Off., Community and Economic Devel.  
Judith F. Helzner Special Advisor to Pres. and V.P.  
Jennifer J. Humke Dep. Dir., Digital Media and Learning  
Chantell Johnson Managing Dir., Evaluation  
Ianna Kachoris Prog. Off.  
Murray Lamond Strategy Advisor  
Jonathan Leight Managing Dir., Asset Allocation and Rice Mgmt.  
Soledad McGrath Prog. Off.  
Lisa Montez Dep. General Counsel  
Lauren Pabst Prog. Off.  
Mary R. Page Prog. Dir., Human Rights and Intl. Justice  
Melissa Richlen Mgr., Private Equities  
Joe Rumph Sr. Analyst  
Susan M. Salaba Prog. Admin.  
Laura E. Scholl Prog. Assoc., Fellows Prog.  
Debra D. Schwartz Managing Dir., Impact Investments  
Eric Sears Prog. Off., Human Rights and Intl. Justice  
Urmi Sengupta Sr. Assoc., Impact Investing  
Kole A. Shettima Dir., Nigeria Office  
Erin Sines Acting Dir., Population and Reproductive Health  
John W. Slocum Dir., Migration  
Eric A. Vaang Managing Dir., Intl. Investments  
Tamra L. Valadez Sr. Project Mgr.  
Mijo Vodopic Sr. Prog. Off., Climate Solutions  
John Watkin Prog. Off., Conservation and Sustainable Devel.  
Maureen P. Atwell Prog. Admin., Fellows Prog.  
Katie Barnes Sr. Prog. Off., Conservation and Sustainable Devel.  
Sharon Bissell Sotelo Dir., Mexico  
Marlies A. Carruth Sr. Prog. Off., Fellows Prog.  
Steven J. Casey Mgr., Grants and Budget  
Andrew Solomon Managing Dir., Comms.  
Steve Cornelius Prog. Off., Conservation and Sustainable Devel.  
Simm Cosgrove Prog. Off., Human Rights and Intl. Justice  
Yvonne Darkwa-Poku Assoc. Dir., Girl's Secondary Education in Developing Countries  
John F. Fei Prog. Off.  
Melissa Flagg Sr. Prog. Off., Fellows Prog.  
Cate A. Fox Prog. Off.  
Mark J. Franke Managing Dir., Private Equities  
Sharon I. Furiya Dir., Systems Services  
Laurie R. Garduque Dir., Justice Reform  
Elsa Gutierrez Prog. Admin.  
Timothy J. Hoepfner Managing Dir., Real Estate  
Phillis D. Hollice Prog. Admin.  
Christopher Holtz Prog. Off., Conservation and Sustainable Devel.  
Craig Howard Dir., Comm. and Economic Devel.  
Kathy K. Im Dir., Media, Culture, and Special Initiatives  
Richard J. Kaplan Assoc. V.P., Institutional Research and Grants Mgmt.  
James Kinser Sr. Assoc., Digital Comms.  
Meredith Klein Sr. Comms. Off.  
Rebecca K. Levine Prog. Dir., Admin. and Comm.  
Christina Lovely Prog. Assoc., Fellows Prog.  
Liliane Loya Prog. Off., Mexico  
Tara Magner Dir., Chicago Commitment  
Don Meyer Prog. Off.  
Liliana Monroy Flores Prog. Admin., Mexico  
Dipa Nag Chowdhury Dep. Dir., India Office  
Sharon K. Nikonchuk Mgr., Real Estate  
Oladayo Olaide Dep. Dir., Nigeria Office  
Stephanie Platz Managing Dir., Progs.  
Krista L. Pospisil Prog. Off.  
George B. Ptacin Dir., Finance and Tax  
Ellen S. Romberg Chief HR Off.  
Moutushi Sengupta Dir., India Office  
Carrie Shield Assoc. Dir., Data Mgmt.  
Douglas G. Siegel Grants Admin.  
Gerry Sims Prog. Assoc.  
Julie W. Squire Prog. Admin.  
Stephen R. Stinson Prog. Admin., Justice Reform  
Gabriela Suarez Sr. Grants Mgr.  
Jorgen Thomsen Dir., Climate Solutions  
Mikhail Troitskiy Assoc. Dir., Russia Office

**Amina Usman** Prog. Admin., Nigeria  
**Laura Young** Prog. Assoc.  
**Igor Zevelev** Dir., Russia Office  
**Linda I. Zillner** Sr. HR/Benefits Admin.  
**Joanna Cohen** Prog. Off., Evaluation  
**Maurice Samuels** Prog. Off., Evaluation  
**Amy Rosenthal** Prog. Off., Conservation and Sustainable Devel.  
**Tawa Mitchell** Sr. Prog. Off., Chicago Commitment  
**Theo Kalionzes** Prog. Off., International Peace and Security  
**Angela Schlater** Prog. Off., International Peace and Security  
**Cecilia A. Conrad** Managing Dir., 110&Change, MacArthur Fellows, and the MacArthur Awards for Creative and Effective Institutions  
**Michael Meadows** Managing Dir., People and Culture  
**Charles Coustan** Portfolio Mgr., Impact Investments  
**Mariela Evans** Admin. Coord., Lever for Change, 100&Change  
**Jay Goodwin** Sr. Prog. Off., MacArthur Fellows  
**Regina Shoykhet** Project Mgr., Lever for Change  
**John Balbach** Assoc. Dir., Impact Investments  
**Barbara Taylor** Team Admin., Climate Solutions  
**Elsa Leon** Grants Mgr.  
**Claire Poelking** Prog. Assoc., Conservation & Sustainable Development  
**Kalle Eko** Digital Comms. Assoc.  
**Kristen Mack** Sr. Comms. Off.  
**Jennifer Martinez-Roth** Comms. Off.  
**Lisa Reilly** Comms. Admin.  
**Maria Speiser** Comms. Off.  
**Jamie Waters** Comms. Assoc.  
**Helen Harrison** Assoc. to the Managing Dir.  
**Geoffrey Banks** Prog. Off., Chicago Commitment  
**Janice A. Dunbar** Sr. Grants Mgr.  
**Cate A. Fox** Sr. Prog. Off., Chicago Commitment  
**Karen S. Hott** Team Admin., Chicago Commitment  
**Mindy Martinez** Team Coord., Chicago Commitment  
**Louise Powell** Team Coord., Chicago Commitment  
**Marci Chin** Coord.  
**Carmen Garcia** Assoc. to the Managing Dir.  
**Elizabeth Oo** Eval. Off.  
**Whitney Burr** Payroll & Tax Specialist  
**Sandra Hernandez** Investment Accountant  
**Scott Kowalsky** Mgr., Investment Accounting  
**Marie Claire Louis** Staff Accountant  
**Lucy Lovejoy** Tax Mgr.  
**Steven J. Casey** Assoc. Dir., Grants Mgmt.  
**Marion Goldfinger** Sr. Grants Mgr., Impact Investments  
**Quinn Hanzel** Grants Mgr.  
**Phillis D. Hill** Sr. Grants Mgr.  
**Elizabeth Powley** Dir., Grants Mgmt.  
**Douglas G. Siegel** Grants Mgr., Client Specialist  
**Carlene A. Williams** Dept. Admin., Grants Mgmt.  
**LaShonda Baldwin** Admin., HR  
**Daniel Dimberger** Assoc. Dir., HR  
**LeMinh Hoang** Dir., Learning and Devel.  
**Rebecca K. Levine** Dir., Special Initiatives, People and Culture  
**Hope McKinnis** Talent Acquisition Assoc.  
**Linda I. Zillner** Benefits Mgr.  
**Chris J. Andreen** Dir., Devel. and Software Architect  
**Ryan Bautista** Application Systems Engineer  
**Tom Dreixler** System Automation and Release Engineer  
**Elizabeth Gonzalez** Business Systems Analyst  
**Cathy Hosek** Training & Application Support Specialist  
**Allison Kaufmann** Sr. Business Systems Analyst  
**Keith Krellwitz** System Automation and Release Engineer  
**Deborah M. Mickles** Project Asst., IT  
**Daniel J. Murphy** Application Systems Engineer  
**Risa Prezzano** Web Designer and Developer  
**Yasser Saleh** Mgr., Service Desk  
**Tamra L. Valdez** Mgr., Project Mgmt. Office  
**Joy E. Moss** Dept. Asst., Knowledge Mgmt.  
**Elizabeth Quinlan** Foundation Librarian  
**Katherine Senkpeil** Contact Mgmt. Specialist  
**Jennifer Bartlett** Assoc. to the V.P.  
**Emily B. Friedman** Sr. Assoc. Genl. Counsel  
**Audette M. Garitano** Legal Admin. Asst.

Vicki Glinski-White Legal Admin. Asst.  
 Debby L. Little Legal Specialist  
 Jorge Lopez Assoc. Genl. Counsel  
 Nancy A. Rinder Paralegal  
 Chelsey Ziegler Assoc. Genl. Counsel  
 Peter Chytla Meeting Planner  
 Stephen Hildebrand Meeting Planner  
 Shayne Purdue Sr. Assoc., Meeting & Event Planning  
 Michelle Williams Meeting Planner  
 Joanne Cloonan Sr. Assoc. to the Pres.  
 Michael Venegas Admin.  
 Ken Woodhouse Assoc. to the Pres.  
 Stephen R. Stinson Lead Research Assoc.  
 Robyn Trem Research Assoc.

**Donors (2)**

*Note: If a donor is deceased, the symbol (‡) follows the name.*

John D. MacArthur‡  
 Catherine T. MacArthur‡

**John D. and Catherine T. MacArthur Foundation** Independent foundation

**EIN** 237093598

**BRIDGE NUMBER** 7920531339

**PUBLICATIONS**

Annual report  
 Newsletter

**LOCATION**

140 S. Dearborn St., Ste. 1200  
 Chicago, IL United States 60603-5285

**ADDITIONAL LOCATION INFORMATION**

County: Cook  
 Metropolitan area: Chicago-Naperville-Elgin, IL-IN-WI  
 Congressional district: Illinois District 07

**CONTACT INFORMATION**

Contact: Richard J. Kaplan, Assoc. V.P.,  
 Institutional Research and Grants Mgmt.  
 Telephone: 3127268000  
 Fax: (312) 920-6258  
 E-mail: [4answers@macfound.org](mailto:4answers@macfound.org)  
 URL: [www.macfound.org](http://www.macfound.org)

**ADDITIONAL CONTACT INFORMATION**

TDD: (312) 920-6285

**MEMBERSHIPS**

**Affinity Groups**

|  |  |
|--|--|
| Africa Grantmakers' Affinity Group           | Grantmakers in Health                          |
| Consultative Group on Biological Diversity   | Grantmakers in the Arts                        |
| Funders Network of Pop Rep H and R           | International Human Rights Funders Group       |
| Grantmakers for Children, Youth and Families | Mission Investors Exchange                     |
| Grantmakers for Education                    | National Committee for Responsive Philanthropy |
| Neighborhood Funders Group                   |  |
| Non-Profit Technology Enterprise Network     |  |
| Peace & Security Funders Group               |  |
| Youth Transition Funders Group               |  |

**Associations and Other Philanthropic Organizations**

|                                    |  |
|------------------------------------|--|
| Africa Grantmakers' Affinity Group | Funders Network of Pop Rep H and R       |
| Ariadne Network                    | International Human Rights Funders Group |
| Candid                             | Peace & Security Funders Group           |
| Russian Donors Forum               |  |
| Youth Transition Funders Group     |  |

# National Endowment for Financial Education

Denver, CO, United States | [www.nefe.org](http://www.nefe.org) | 303-224-3503 | [ldj@nefe.org](mailto:ldj@nefe.org)

## Funding Interests

|                           |   |
|---------------------------|---|
| <b>SUBJECTS</b>           | Community and economic development, Economic development, Education, Employment, Financial counseling, Financial services, Foundations, Higher education, Pensions, Social sciences |
| <b>GEOGRAPHIC FOCUS</b>   | National, Indiana, District of Columbia, Kansas, Wisconsin, Virginia, North Carolina, New York, Ohio  |
| <b>POPULATION GROUPS</b>  | Academics, Adults, Children and youth, Economically disadvantaged people, Low-income people, Retired people   |
| <b>SUPPORT STRATEGIES</b> | Financial sustainability, Program evaluations, Program support, Public engagement and education, Research, Research and evaluation  |
| <b>ORGANIZATION TYPES</b> | Foundations   |

## About

### Purpose and Activities

The National Endowment for Financial Education (NEFE) is the only private, nonprofit, national foundation wholly dedicated to improving the financial well-being of all Americans. The mission of the National Endowment for Financial Education is to help individual Americans acquire the knowledge and skills necessary to take control of their financial destiny. NEFE's mission is grounded in the belief that regardless of background or income level, financially informed individuals are better able to 1) take control of their circumstances, 2) improve their quality of life, and 3) ensure a stable future for themselves and their families. NEFE's guiding principles are stated in eight initiatives. These initiatives describe how NEFE achieves its mission, and outlines the goals and standards that guide the foundation's activities. Every project or program undertaken by NEFE must fit within the scope of at least one initiative. NEFE accomplishes its mission primarily by partnering with other organizations to: 1) provide practical, reliable, and unbiased financial education to members of the public, 2) accomplish research in the field of financial literacy education, and 3) create demand for financial education. NEFE's activities place special emphasis on those who face financial challenges that are not being addressed by others. Among the target audiences are: youth, low-income individuals and families, and people in difficult or unusual life circumstances. NEFE's partnerships and the foundation's own efforts result in a wide range of free and low-cost activities and materials, including: resources for consumers, materials for educators and facilitators, the NEFE High School Financial Planning Program, which includes joint efforts with numerous nonprofit, for-profit, and government entities to develop financial literacy resources for specific audiences, research, conferences, and think tanks on a variety of financial literacy topics, and grant awards to organizations and academic institutions whose work can contribute to the field of financial literacy.

### Background

Established in 1972 in CO

### Program Areas (2)

#### Education Programs

Although not restricted to a particular age group, the Education Programs area has been oriented primarily to providing financial planning information to youth, including NEFE's longest-standing public service effort, the NEFE High School Financial Planning Program Effort. Strategic Programs and Alliance: This action area works to help Americans improve the quality of their lives through financial education provided in cooperation with other nonprofit organizations and foundations, and occasional corporate sponsors. Multimedia Access: This action area represents NEFE's commitment to sharing its expertise in financial planning education with all those who might benefit from it, including consumers, educators, and the media. Innovative Thinking: The goal of this action area is to inspire creative ideas and new perspectives on personal finance, to communicate them broadly, and to assist in their actualization where appropriate. This action area supports fellowships programs, grantmaking, and research and strategic activities.

#### NEFE Fellows Program

The NEFE Fellows Program recruits qualified individuals from diverse backgrounds who share a common interest in working on projects within NEFE's broad scope of activities and in gaining non-profit operations and management experience. NEFE fellowships are funded for periods of up to two years and directed primarily at new college graduates at the bachelor's and master's degree levels. Fellows are assigned to projects, and work with staff at NEFE headquarters in the Denver area, to gain both practical skills and meaningful professional experience through their tenure with the foundation. Fellows receive a salary of \$27,150 per year and an attractive benefits package. Application guidelines and contact information are available on foundation web site.

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

## Applications/RFPs

Application form required.

Initial Approach: Complete the Concept Inquiry form after checking guidelines and eligibility

Copies of proposal: 1

Board meeting date(s): Apr. and Nov.

Deadline(s): June and Dec.

Final notification: 5 business days following board meetings

## GIVING LIMITATIONS

Giving on a national basis.

No support for organizations lacking 501(c)(3) IRS status, or foreign organizations.

No grants to individuals, or for general operating support, deficits, salaries, capital costs, computer equipment, pass-through funding, conferences, seminars, fundraisers, sponsorships, endowments, challenge grants, matching funds, scholarships, or for registration fees.

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## Financials

### FOR FISCAL YEAR ENDED 2017-12-31

\$180,178,391

\$476,724

Gifts Received: \$875,876

TOTAL ASSETS

TOTAL GIVING

Expenditures: \$8,413,874

Qualifying Distributions: \$6,465,656

Giving Activities include:

\$476,724 for grants

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## Who's Who

See who can introduce you to someone at National Endowment for Financial Education

### Governing Body (14)

Theodore J. Beck President & CEO

Mary Merrell Bailey Vice Chair

Michael A. Bedke Chair

David J. Kaus Cfo & Coo

Greg Maged Member

Kevin Plummer Member

James M. Kooler Member

Denise Crawford Member

Karen Vahouny Emerita

David G. Strege Member

Patrick Bannigan Member

Helen Norris Member

Francisco C. Rodriguez Member

Colleen Walker Member

### Staff (5)

Brent Neiser Sr. Director, SPA

Patricia Seaman Sr. Director of Communications

Billy Hensley Director, Education

Susan Sharkey Jirector of High School Program

Annette Fowler Director, E Learning

### Donors (2)

*Note: If a donor is deceased, the symbol (#) follows the name.*

Arendas v. Citibank Inc. cy distribution

Haddock v. Nationwide Class Action Administrator

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## National Endowment for Financial Education Operating foundation

**EIN** 840632115

**BRIDGE NUMBER** 2194974912

### PUBLICATIONS

Application guidelines

Informational brochure

Newsletter

### LOCATION

1331 17TH STREET SUITE 1200

Denver, CO United States 80202

### ADDITIONAL LOCATION INFORMATION

County: Denver

Metropolitan area: Denver-Aurora-Lakewood,  
CO

Congressional district: Colorado District 01

### CONTACT INFORMATION

Telephone: 303-224-3503

Fax: (303) 220-0838

E-mail: [ldj@nefe.org](mailto:ldj@nefe.org)

URL: [www.nefe.org](http://www.nefe.org)

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### MEMBERSHIPS

Associations and Other Philanthropic Organizations

Colorado Nonprofit Association

# Public Welfare Foundation, Inc.

Washington, DC, United States | [www.publicwelfare.org](http://www.publicwelfare.org) | (202) 965-1800 | [info@publicwelfare.org](mailto:info@publicwelfare.org)

## Funding Interests

|                           |  |
|---------------------------|--|
| <b>SUBJECTS</b>           | Antidiscrimination, Communication media, Corrections and penology, Courts, Economic development, Higher education, Human rights, Human services, Legal services, Organized labor, Prison alternatives, Public health, Public policy, Social rights, Venture philanthropy |
| <b>GEOGRAPHIC FOCUS</b>   | National, South Africa, Massachusetts, District of Columbia, Mexico, Haiti, New York, El Salvador, California  |
| <b>POPULATION GROUPS</b>  | Children and youth, Economically disadvantaged people, Incarcerated people, Low-income people  |
| <b>SUPPORT STRATEGIES</b> | Continuing support, General support, Program support, Public engagement and education, Publications, Research, Seed money, Systems reform  |
| <b>TRANSACTION TYPES</b>  | Employee matching gifts  |

## About

### Purpose and Activities

The Public Welfare Foundation supports efforts to ensure fundamental rights and opportunities for people in need. It looks for carefully defined points where our funds can make a difference in bringing about systemic changes that can improve lives. The Public Welfare Foundation focuses on three program areas: 1) Criminal Justice, 2) Juvenile Justice and 3) Workers' Rights. A small number of grants will also be made under the Special Opportunities and President's Discretionary categories.

### Background

Incorporated in 1947 in TX; reincorporated in 1951 in DE - Mr. Marsh was an Ohio native who owned a newspaper group, General Newspapers, Inc., and was active in other fields such as banking, oil, gas, and real estate. He donated three newspapers to the foundation, from which it received income for its charitable purposes. The papers, The Spartanburg (SC) Herald & Journal, The Tuscaloosa (AL) News, and the Gadsden (AL) Times, were sold in 1985. The concept of the foundation developed by Mr. Marsh, who died in 1964, and perpetuated by its board of trustees, is broad and remains committed to supporting organizations that help people overcome barriers to full participation in society. The grantmaker is a signatory to Philanthropy's Promise, an initiative of the National Committee for Responsive Philanthropy (NCRP). By signing on, the grantmaker has committed to allocating the majority of its grantmaking dollars to marginalized communities and at least 25 percent to social justice strategies, such as advocacy, community organizing, and civic engagement.

### Program Areas (5)

#### Criminal Justice

The U.S. criminal justice system is failing. More than two million people are held in American prisons-the largest inmate population in the world. The number is growing daily, largely because of federal and state laws prescribing mandatory minimum sentences, even for non-violent offenders. Most significantly, more than 60 percent of the people in prison are now racial and ethnic minorities. Locking up increasing numbers of people-disproportionately people of color-at great expense to taxpayers, and later releasing them with little access to rehabilitation and drug treatment services, has not made our streets safe. The foundation's Criminal Justice Program supports groups working to end over-incarceration of adult offenders in America. In particular, the program makes grants to groups that are working to: 1)Reduce incarceration rates through the reform of sentencing laws and parole and probation systems, including the use of diversion and alternatives to incarceration; 2)Reduce jail populations through the reform of pretrial detention policies and practices; 3)Develop and promote innovative strategies to reduce the overrepresentation of racial and ethnic minorities in the criminal justice process.

#### Juvenile Justice

Juvenile justice systems nationwide are failing youth, families and communities. Each year, an estimated 400,000 youth-the overwhelming majority of whom are accused of minor and non-violent offenses-are locked up in detention or correctional facilities. Despite research showing that incarceration leads to high juvenile recidivism rates, as well as poor education, employment, and health outcomes for youth, systems often fail to use alternatives to incarceration that have been shown to be more effective at rehabilitating young people. Moreover, an estimated 250,000 youth are tried in the adult criminal justice system annually, and nearly 10,000 youth are housed in adult jails or prisons on any given night. These policies ignore the well-established differences between youth and adults, increase recidivism rates, and expose youth in adult jails and prisons to high rates of sexual abuse and suicide. Youth of color are disproportionately likely to suffer the harms of these failed policies and practices. The foundation's Juvenile Justice Program supports groups working to end the criminalization and over-incarceration of youth in the United States. In particular, the program makes grants to groups that are working to advance systems reforms that will: 1)Reduce youth incarceration rates in the juvenile justice system (through policies that, for example, limit the use of incarceration, expand the use of community-based alternatives to incarceration, reduce lengths of stay, and/or decriminalize minor misbehaviors or otherwise divert youth from the juvenile court system); 2)End the practice of trying, sentencing, and incarcerating youth as adults; and 3)Promote more fair and equitable treatment of youth of color by the juvenile justice system.

#### President's Discretionary Fund

The fund offers very small grants to advance the foundation's mission. Relatively few of these grants are given. The foundation is unable to support unsolicited applications in this program area.

#### Special Opportunities

A small number of grants are made under the Special Opportunities category reflecting the foundation's mission and underlying values, including its longstanding commitment to racial equity and justice. These are one-time only grants that are especially timely and compelling. At times this kind of grant serves as a laboratory for new ideas. Relatively few of these grants are given. The foundation is unable to support unsolicited applications in this program

area.

### Workers' Rights

Work just isn't working for too many in America today. The government agencies charged with protecting workers' health and safety have abandoned scores of regulatory priorities and scaled back enforcement efforts, leaving millions of workers under-protected. Millions of people work without such basic rights as paid sick days. Too many who try to organize in order to negotiate improved working conditions in their workplaces end up fired or find their efforts undermined by anti-organizing campaigns. Those whose rights are violated sometimes discover they lack meaningful remedies, as they either must depend on government agencies that may not respond to their problems or face obstacles to exercising their right to take their cases to court. The foundation's Workers' Rights Program supports groups seeking policy and system reforms to improve the lives of low-wage working people, with a focus on securing their basic legal rights to safe, healthy, and fair conditions at work. Specifically, the program makes grants to groups seeking reforms that will do the following: 1) Make Work Safe and Healthy-by preventing illness, injury, and death on the job, and improving workers' compensation; 2) Make Work Pay-by empowering workers to hold low-road employers accountable for wage theft, misclassification, and contingent work abuse, particularly through policies that create or improve private rights of action and fee-shifting; and 3) Build Workers' Advocacy Power-by improving the ability of low-wage workers to act collectively for policy and system reform. Additionally, the program supports investigative journalism, national broadcast news coverage, and other high-profile media and public education about workers' rights issues.

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## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

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## Applications/RFPs

There is a two-step application process, lasting four to six months: 1) The applicant creates an account and submits an online letter of inquiry, up to five pages. Please read letter of inquiry content guide on the foundation web site. Once a letter of inquiry arrives at the foundation, the staff determines whether the proposed project fits the foundation's funding guidelines. Please read the program guidelines carefully before applying. The foundation staff will let applicants know if they are invited to submit a full proposal; and 2) Only full proposals that have been invited will be considered. Applicants will be invited by e-mail to submit full proposals and will be sent an online link to the same account login page used to submit the letter of inquiry. There, they will be able to access an online form for submitting a full proposal

**Application form required.**

**Applicants should submit the following:**

1. Listing of additional sources and amount of support
2. Copy of current year's organizational budget and/or project budget
3. Contact person
4. Listing of board of directors, trustees, officers and other key people and their affiliations
5. Explanation of why grantmaker is considered an appropriate donor for project
6. How project's results will be evaluated or measured
7. Name, address and phone number of organization
8. Population served
9. Statement of problem project will address
10. Qualifications of key personnel
11. Results expected from proposed grant
12. Timetable for implementation and evaluation of project
13. Copy of most recent annual report/audited financial statement/990
14. Detailed description of project and amount of funding requested
15. Geographic area to be served
16. Brief history of organization and description of its mission

**Initial Approach:** Online letter of inquiry (all applicants, new and those renewing grants, must complete this step); Please see the foundation web site: <http://www.publicwelfare.org/ApplyGrant.aspx>

**Copies of proposal:** 1

**Board meeting date(s):** Board (or a committee of the board) meets 3 times annually

**Deadline(s):** Letters of inquiry are accepted throughout the year but it is recommended to submit a LOI 4 to 6 weeks before proposal deadlines. See the foundation's web site for full and invited proposals deadlines

**Final notification:** For LOI: 30 working days; for full and invited proposals, successful applicants receive an award letter by e-mail, and generally funds are disbursed within 45 days of approval. Entire application process generally takes 4 to 6 months

**Additional information:** Steps for Renewal Funding: The foundation's review and evaluation process takes three to four months. Grantees interested in receiving uninterrupted funding must submit a letter of inquiry and required progress report prior to the end of the grant period. Applicants should decide when they would like to receive grant approval for their renewal grant and plan ahead. Renewal applicants are required to submit a letter of inquiry and narrative and financial progress report for the current grant. Progress reports are to be submitted via email to [submit@publicwelfare.org](mailto:submit@publicwelfare.org) with the organization's name and the time period covered in the subject line.

### GIVING LIMITATIONS

Giving is limited to the U.S.

No support for international funding.

No funding for individuals, scholarships, direct services, international projects or endowment campaigns.

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## Financials

FOR FISCAL YEAR ENDED 2017-09-30

\$513,754,962  
TOTAL ASSETS

\$21,066,287  
TOTAL GIVING

Gifts Received: \$0  
Expenditures: \$27,176,218  
Qualifying Distributions: \$25,228,899  
Giving Activities include:  
\$21,066,287 for grants

## Who's Who

See who can introduce you to someone at Public Welfare Foundation, Inc.

### Officers and Directors (17)

Lydia Micheaux Marshall Chair. and Director  
Myrtis H. Powell Vice-Chair. and Director  
Candice Jones Pres.-elect  
Phillipa P. Taylor C.F.O. and C.A.O. and Secy.-Treas.  
Shirley Sagawa  
Ellen Gordon Cont.  
Craig Aase  
Stephanie Bell-Rose  
Jackie M. Clegg  
David Dodson  
Juliet Villarreal Garcia  
Yolanda Orozco  
Maria Otero  
Cliff Sloan  
Landis Zimmerman  
Colin Diver  
Hon. Eric T. Washington

### Staff (14)

Sarah Joy Albrecht Prog. Analyst  
Diane Camper Comms. Off.  
Seema Gajwani Prog. Off., Criminal Justice  
Josh Perry Admin. Assoc.  
Margie Robinson Grants. Mgr.  
Ana Yanez-Correa Prog. Dir., Criminal Justice  
Katayoon Majd Prog. Dir., Youth Justice  
Teo Owen Admin. Coord., Progs.  
Alyssa Piccirilli Mgr., Admin.  
Robert Shull Prog. Dir., Workers Rights  
Kaitlin Heinz Acctg. Mgr.  
Derek Johnson Prog. Asst.  
John Bae Prog. Dir., Criminal Justice  
Galit Lipa Prog. Dir., Youth Justice

### Donors (1)

*Note: If a donor is deceased, the symbol (#) follows the name.*  
Charles Edward Marsh#

### Public Welfare Foundation, Inc. Independent foundation

EIN 540597601

BRIDGE NUMBER 6415155010

#### PUBLICATIONS

Newsletter  
Application guidelines  
Financial statement  
Grants list

#### LOCATION

1200 U St. N.W.  
Washington, DC United States 20009-4443

#### ADDITIONAL LOCATION INFORMATION

County: District of Columbia  
Metropolitan area: Washington-Arlington-Alexandria, DC-VA-MD-WV  
Congressional district: District of Columbia District At-large

#### CONTACT INFORMATION

Contact: Grants Mgmt.  
Telephone: (202) 965-1800  
Fax: (202) 265-8851  
E-mail: [info@publicwelfare.org](mailto:info@publicwelfare.org)  
URL: [www.publicwelfare.org](http://www.publicwelfare.org)

#### MEMBERSHIPS

##### Affinity Groups

Grantmakers for Income Security Taskforce  
International Human Rights Funders Group  
Peak Grantmaking, Inc.  
Youth Transition Funders Group  
National Committee for Responsive Philanthropy  
Neighborhood Funders Group

##### Associations and Other Philanthropic Organizations

International Human Rights Funders Group  
Youth Transition Funders Group  
The Nonprofit Roundtable of Greater Washington

# Research Corporation for Science Advancement

Tucson, AZ, United States | [www.rescorp.org](http://www.rescorp.org) | (520) 571-1111 | [awards@rescorp.org](mailto:awards@rescorp.org)

## Funding Interests

|                           |  |
|---------------------------|--|
| <b>SUBJECTS</b>           | Astronomy, Biochemistry, Chemistry, Education services, Engineering, Family services, Foundations, Higher education, Human services, International peace and security, Museums, Nonprofits, Physics, Science, Venture philanthropy |
| <b>GEOGRAPHIC FOCUS</b>   | National, Canada, Arizona, California, Pennsylvania, Massachusetts, New York   |
| <b>POPULATION GROUPS</b>  | Academics  |
| <b>SUPPORT STRATEGIES</b> | Program support, Research  |

## About

### Purpose and Activities

Giving to advance academic science research and teaching. The awards programs include the Cottrell College Science Awards, Cottrell Scholars Awards, and Scialog Awards and are open to U.S. colleges and universities to support basic research in the physical sciences (physics, chemistry, and astronomy).

### Background

Incorporated in 1912 in NY - The technology transfer program for identifying, patenting and licensing inventions from academic institutions, operated for many years by Research Corporation, was transferred to Research Corporation Technologies, a nonprofit corporation subject to regular corporate income tax laws, on March 2, 1987, in accordance with Section 1605(c) of the Tax Reform Act of 1986. The foundation also transferred \$35 million as a program-related investment and all its patent rights to the new organization in accordance with the act

### Program Areas (4)

#### Cottrell College Science Awards

The Cottrell College Science Award program is aimed at helping young faculty to start vigorous research programs at primarily undergraduate institutions. The awards support research in science disciplines with significant overlap with chemistry, physics, and astronomy. The program had initiatives for single investigators. The multi-investigator Cottrell College Science Award initiative accepts applications from teams with two or three members from the same institution. Total requests for Research Corporation (RC) funds for two-year awards must be \$35,000, with \$10,000 of institutional match for Single-Investigator Cottrell College Science Awards and \$75,000 or \$100,000, with \$25,000 institutional match for Multi-Investigator Cottrell College Science Awards coming from teams with two or three members, respectively. Expenses are limited to equipment, supplies, summer stipends for travel to conduct research outside the home institution. No indirect costs or fringe benefits (other than FICA at 7.65%) are allowed from award funds. Principal investigators must have faculty appointments in a department of chemistry, physics or astronomy, or if from another department, propose research that significantly overlaps with research in one of these three disciplines. For the Single-Investigator Initiative, applicants must be within the first three years of first faculty appointment. For the Multi-Investigator initiative, at least one team member must be within the first six years of first faculty appointment. Qualifying departments must offer at least the baccalaureate, but not doctoral, degrees. Proposed projects are judged on the basis of scientific originality, significance, feasibility, and ability of the principal investigator(s) and institution to sustain the activity. The potential of a proposed research project to add to scientific knowledge is a prime criterion in its evaluation, as is its potential for developing into long-term capable programs of research, capable of attracting future support from other agencies. For complete guidelines and description visit the program web site.

#### Cottrell Scholar Awards

Cottrell Scholar Awards are for beginning faculty members who are committed to excel at both research and teaching. Applications should be tenure-track beginning faculty members whose primary appointment is in a Bachelor's and Ph.D. degree-granting department of astronomy, biochemistry, biophysics, chemistry, or physics, but not in a school of medicine or engineering. Eligibility is limited to those in the third year after their first tenure-track appointment. The proposal consists of brief proposals for both research and teaching. Originality, feasibility, and the prospect for significant fundamental advances to science are the main criteria for the research plan. Contributions to education, especially at the undergraduate level, aspirations for teaching, and the proposed strategies to achieve educational objectives are factors in assessment of the teaching plan. The ability of applicants to mount a strong research program and their commitment to teaching are weighed in the two-phase review process. The deadline for receipt of proposals is 5 pm (MST) on Aug. 1. Applications must conform to guidelines and directions, and need to be endorsed by the institution. Potential applicants can begin the online submission process by completing the online submission Eligibility Quiz. Consult the complete program guidelines on the website for full details.

#### Grants to Individuals Program

Grants and awards for faculty research in the natural sciences (physics, chemistry, and astronomy) at colleges and universities in the U.S. and Canada. Awards are intended to help strengthen the linkage between research and teaching from high school through the graduate level, and Research Opportunity Awards by nomination only.

#### Scialog Awards

The Scialog Award program is aimed at seeding highly innovative, high risk/high reward fundamental research in targeted areas that address problems of global significance and require multidisciplinary solutions. The Scialog program funds groundbreaking research by recently tenured faculty members, who are designated Scialog Fellows, at U.S. academic institutions. Scialog Fellows are convened annually, along with other world class researchers, to share critical feedback on their projects and further develop research ideas. The conferences have an unusual format with a limited number of formal presentations and significant time spent in breakout sessions and other forms of dialog. Participants are challenged to form small teams and write short collaborative proposals "on the spot"; for additional seed funding based on new ideas that emerge during the conference. The awards support research in science disciplines relevant to the targeted problem, which includes chemistry, physics, materials science and engineering. The first Scialog initiative, begun in 2010, is

focused on basic research to enable clean, sustainable, affordable energy for a world rapidly heading toward a doubling of its energy needs by midcentury. The initiative is aimed at accelerating underlying scientific advances on the molecular and nano scales to improve the efficiency and scalability of technologies that use solar energy to produce electricity and fuels such as hydrogen. Since 2010, when Scialog was launched, 30 Scialog Fellows have received awards totaling \$3.25 million. In addition, nine teams, composed of Scialog Fellows and other researchers, have received Collaborative Innovation Awards totaling \$900 thousand, based on their proposals written at Scialog conferences. There is no budget required for awards and principle investigators are given wide latitude for how funds are expended. No indirect costs or fringe benefits (other than FICA/Medicare at 7.65%) and institutionally mandated fringe benefits for postdoctoral fellows are allowed from award funds. Principal investigators must have faculty appointments at U.S. academic colleges or universities that are at least baccalaureate granting institutions in disciplines that are relevant to the targeted area of research. For complete guidelines and description visit the program website.

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
 No similar funders found at this time.

## Applications/RFPs

Application form required.

Initial Approach: Fill out application request form on foundation web site

Board meeting date(s): Feb., Apr., and Nov.

Deadline(s): Deadlines vary from year to year

Final notification: Differs by program

### GIVING LIMITATIONS

Giving limited to the U.S.

No grants to individuals directly, or for building or endowment funds, indirect costs, common supplies and services, tuition, research leave to start new projects, faculty academic year salaries, post-doctoral or graduate student stipends, secretarial assistance, general support, scholarships, fellowships, publications, travel expenses to scientific meetings, or matching gifts; no loans.

## Financials

### FOR FISCAL YEAR ENDED 2017-12-31

|                     |                     |                                       |
|---------------------|---------------------|---------------------------------------|
| \$174,518,565       | \$3,984,865         | Gifts Received: N/A                   |
| <b>TOTAL ASSETS</b> | <b>TOTAL GIVING</b> | Expenditures: \$9,817,982             |
|                     |                     | Qualifying Distributions: \$7,086,153 |
|                     |                     | <b>Giving Activities include:</b>     |
|                     |                     | \$3,984,865 for grants                |

## Who's Who

See who can introduce you to someone at Research Corporation for Science Advancement

### Officers (14)

Dr. Daniel I. Linzer PRESIDENT AND BOARD MEMBER  
 Dr. Robert N. Shelton PRESIDENT AND BOARD MEMBER  
 Dr. Elizabeth Nccornack CHAIR OF THE BOARD  
 Mr. G. Scott Clemons TREASURER AND BOARD MEMBER  
 Mr. Jonathan Hook TREASURER AND BOARD MEMBER  
 Dr. Brent Iverson SECRETARY AND BOARD MEMBER  
 Mr. David Wenner SECRETARY AND BOARD MEMBER  
 Mr. Daniel Gasch CHIEF FINANCIAL OFFICER/INTERIM PRES.  
 Dr. Lars Bildsten BOARD MEMBER  
 Dr. Peter Dorhout BOARD MEMBER  
 Dr. Eugene Flood BOARD MEMBER  
 Dr. Gayle P. W. Jackson BOARD MEMBER  
 Dr. Catherine J. Murphy BOARD MEMBER  
 Dr. Joan Woodard BOARD MEMBER

### Staff (5)

Dr. Richard Wiener PROGRAM DIRECTOR  
 Dr. Silvia Ronco PROGRAM DIRECTOR  
 Ms. Gail Craig-Jager FINANCIAL ADMINISTRATOR  
 Mr. Dan Huff COMMUNICATIONS DIRECTOR  
 Mr. Thomas Goodenow IT DIRECTOR

### Donors (10)

Note: If a donor is deceased, the symbol (‡) follows the name.

Paul C. Mangelsdorf  
 Charles H. Townes  
 Rachel Brown‡  
 Frederick Gardner Cottrell‡  
 Elizabeth Hazen‡  
 Donald F. Jones‡  
 Edward C. Kendall‡  
 Robert E. Waterman‡  
 Robert R. Williams‡  
 Robert B. Woodward‡

**Research Corporation for Science Advancement** Operating foundation

EIN 131963407

BRIDGE NUMBER 0541368134

#### PUBLICATIONS

Application guidelines  
 Annual report

#### LOCATION

4703 E. Camp Lowell Dr., Ste. 201  
 Tucson, AZ United States 85712-1292

#### ADDITIONAL LOCATION INFORMATION

County: Pima

#### CONTACT INFORMATION

Telephone: (520) 571-1111

Fax: (520) 571-1119

E-mail: [awards@rescorp.org](mailto:awards@rescorp.org)

URL: [www.rescorp.org](http://www.rescorp.org)



## Funding Interests

|                           |  |
|---------------------------|--|
| <b>SUBJECTS</b>           | Art museums, Arts and culture, Arts education, Arts services, Communication media, Community recreation, Education services, Higher education, Historical activities, Humanities, Libraries, Museums, Social rights, Visual arts |
| <b>GEOGRAPHIC FOCUS</b>   | National, International, Illinois, Europe, Asia, South America, Germany, United Kingdom, Italy, Massachusetts, New York, France, California, Canada, District of Columbia, Pennsylvania  |
| <b>POPULATION GROUPS</b>  | Academics, Children and youth, Students  |
| <b>SUPPORT STRATEGIES</b> | Fellowships, Presentations and productions, Program support, Translation   |
| <b>ORGANIZATION TYPES</b> | Multipurpose centers   |

## About

### Purpose and Activities

The foundation is dedicated to fostering exploration, understanding, and enjoyment of the visual arts of the United States for national and international audiences. Recognizing the importance of experiencing original works of art, the foundation provides opportunities for interaction and study, beginning with the presentation and growth of its own art collection in Chicago. To further cross-cultural dialogue on American art, the foundation supports and collaborates on innovative exhibitions, research, and educational programs. The foundation's grant areas are Exhibition, Academic and Public Programs, Chicago K-12 Education, and Publication. Publication grants will provide support for publication projects on historical American art (circa 1500 to 1980) that make a significant contribution to scholarship and have an international dimension.

### Background

Classified as a private operating foundation in 1981 in IL - The Terra Foundation for American Art was established in 1978 by businessman and art collector Daniel J. Terra (1911-1996) who believed that the art of the United States is a dynamic and powerful expression of the nation's history and identity. The governing mission of the foundation remains to promote a greater understanding and appreciation of historical American art through acquisition, exhibition, interpretation, and scholarship.

### Program Areas (14)

#### Academic Program Grants

The program supports projects that encourage international scholarship on American art topics, as well as scholarly projects with focused theses that explore American art in an international context. Academic funding is available for symposia, colloquia, and scholarly convenings on American art (pre-1980) that take place in Chicago or outside the United States, or in the United States that examine American art within an international context and/or include a significant number of international participants. If the foundation responds to a letter of inquiry by requesting a proposal, the organization applying for a grant will be expected to complete an application. Only proposals from institutions with United States 501(c)(3) status or the international equivalent are accepted. Grants are not made to individuals.

#### Chicago K-12 Education Program

The program supports activities that bring American art into Chicago's classrooms, with the aim of helping teachers enrich the curriculum and enhance learning. To achieve these goals, the foundation funds projects that strengthen Chicago teachers' knowledge of historical American art (pre-1980) and the ability to teach with it effectively. Only proposals from institutions with United States 501(c)(3) status or governmental organizations are accepted. Applicants must be based in the city of Chicago and their project must be directed primarily toward teachers in Chicago schools, unless projects for which funding is requested take place in the Chicago area and teachers from schools in the city of Chicago make up the majority of the audience served.

#### Chicago Public Program

The program supports a variety of informal learning opportunities designed to make historical American art accessible and relevant to Chicago residents. The foundation welcomes proposals from a broad range of organizations, including those that may not typically have an American art focus. Grant funding is available for projects and programs about historical American art and visual culture (pre-1980) that are grounded in sound scholarship and designed primarily for adult learners in Chicago who may not have specialized knowledge of American art or art history. Only proposals from institutions with United States 501(c)(3) status are accepted. Applicants must be based in the city of Chicago unless the projects for which funding is requested take place in the Chicago area and Chicago residents make up the majority of the audience served.

#### Exhibition Grants

Supports for exhibitions that enlarge the understanding and appreciation of historical American art made between 1500 and 1980. To be eligible for funding, exhibitions that take place internationally or in Chicago may be aimed at the general public, scholars, or both; exhibitions that take place only in the United States, but outside of Chicago, must present historical American art in an international context and reach a scholarly audience. If the foundation responds to a letter of inquiry by requesting a proposal, the organization applying for a grant will be expected to complete an application. Only proposals from institutions with United States 501(c)(3) status or the international equivalent are accepted. Grants are not made to individuals.

#### Exhibition Research and Development Grants

The grants are to encourage and enrich international partnerships between art museums, and to enable curators outside the United States both to learn more about historical American art and to develop exhibitions of American art at their home institutions. Two types of grants are offered: Convening Grants for Internationally Collaborative Exhibitions and Curatorial Travel Grants to the United States. Applications will be judged competitively on an annual basis. Only proposals from institutions with United States 501(c)(3) status or the international equivalent are accepted. Grants are not made to individuals.

#### **Fellowships at the Smithsonian American Art Museum**

These one-year residential fellowships at the Smithsonian American Art Museum in Washington, D.C., support full-time independent and dissertation research by scholars from abroad researching historical American art (pre-1980) or by U.S. scholars, particularly those who are investigating international contexts for American art. Predoctoral fellows receive \$32,500, plus research and travel allowances, senior or postdoctoral fellows receive \$47,500, plus research and travel allowances.

#### **Fulbright-Terra Foundation Awards in the History of American Art**

The program offers applications to teach American art history in China, at Tsinghua University and Peking University, and in one or more European Union countries (except France, Germany, and the U.K.). The awards to teach in China target senior U.S. scholars at the associate or full professor level. The awards to teach in Europe are open to early career U.S. scholars. To apply, see the Fulbright U.S. Scholar Program website: <http://catalog.cies.org/searchResults.aspx?kw=terra+foundation>.

#### **Grants to Individuals Program**

Residencies, prizes, grants, professorships, and postdoctoral and teaching fellowships to artists and scholars to study American art in the U.S. or the European Union.

#### **International Essay Prize**

The prizes recognize excellent scholarship by a non-U.S. scholar in the field of historical American art (circa 1500–1980). The winning manuscript will advance understanding of American art, demonstrating new findings and original perspectives, and will be translated and published in *American Art*, the Smithsonian American Art Museum's scholarly journal, which covers the cost of image rights and reproduction. The winner receives a \$1,000 cash award and a travel stipend of up to \$3,000 to give a presentation in Washington, D.C. Essays should be submitted by e-mail to [terraessayprize@si.edu](mailto:terraessayprize@si.edu).

#### **Postdoctoral Teaching Fellowships**

These fellowships seek to increase the opportunities in Europe for graduate study of historical American art while providing recipients the opportunity to pursue individual study and research. The fellowships are offered by the Courtauld Institute of Art in London, and the Institut National d'Histoire de l'Art in Paris. The fellowship program that is integrated with the Courtauld Institute of Art is a two-year program that supports advanced inquiry in the history of art, conservation, and museum studies. The fellow will teach three historical American art courses, participate in scholarly activities organized by the Courtauld Institute of Art, and organize an international scholarly event. The stipend for the two-year fellowship is \$134,564 including health benefits, travel, research, and lodging. The fellowship integrated with the Institut National d'Histoire de l'Art is a two-year program that is focused on the history of American art and visual culture. The fellow will teach undergraduate and master's-level students, participate in local seminars, and organize academic programs on related research topics. The stipend for the two-year fellowship is \$107,000, including travel, research, and lodging.

#### **Publication Program**

The program provides support for publication projects on historical American art (circa 1500 to 1980) that make a significant contribution to scholarship and have an international dimension. Applicants are highly encouraged to contact TFAA ([publications@terraamericanart.eu](mailto:publications@terraamericanart.eu)) in advance of the deadline to confirm eligibility and discuss the project.

#### **Research Travel Grants to the United States**

The grants enable scholars outside the United States to consult resources that are only available within the United States. These grants provide support for research on topics concerning American art and visual culture prior to 1980. Nine to thirteen grants are awarded annually. Up to \$6,000 per grant for doctoral students from Europe, North America, Australia and New Zealand, up to \$7,000 per grant for doctoral candidates from Asia, Africa, and Latin America, and up to \$9,000 per grant for all postdoctoral and senior scholars. Up to five grants are specifically dedicated to scholars from Asia, Africa, and Latin America. The foundation only accepts proposals from doctoral students, postdoctoral and senior scholars outside the United States.

#### **Terra Summer Residency in Giverny**

The foundation annually offers ten residential fellowships to emerging artists and doctoral in the history of American art and visual culture at its properties in Giverny, France. The residency offers opportunities to pursue individual work within a framework of interdisciplinary exchange and cross-cultural dialogue. During the nine-week period, fellows interact regularly with invited senior advisors and guest lecturers, who direct weekly seminars and hold individual meetings. Fellows are selected on the basis of intellectual and creative excellence, scholarly accomplishments, and ability to contribute in a collegial and interdisciplinary community, and receive a stipend of \$5,000 (artists receive an additional \$300 for the purchase of materials), provided with travel and lodging. Application by nomination.

#### **Visiting Professorships**

This program seeks to increase the opportunities in Europe for graduate study of historical American art while providing recipients the opportunity to pursue individual study and research. The professorships are offered by the Courtauld Institute of Art in London, the Freie Universität Berlin, and the Institut National d'Histoire de l'Art in Paris.

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## **Other Funders to Consider**

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

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## **Applications/RFPs**

Application form not required.

Applicants should submit the following:

1. Results expected from proposed grant
2. Timetable for implementation and evaluation of project
3. Detailed description of project and amount of funding requested
4. Plans for cooperation with other organizations, if any

- 5. Brief history of organization and description of its mission
- 6. Statement of problem project will address

**Initial Approach:** Letter of inquiry (1 copy only, 3 pages maximum, written in English and e-mailed to Grants Mgr.)

**Copies of proposal:** 5

**Board meeting date(s):** 3 times annually

**Deadline(s):** See foundation web site for current deadlines

**Final notification:** Within 3 weeks

### REQUESTS FOR PROPOSALS (RFPS)

[Terra Foundation Accepting Applications for Chicago K-12 Education Grants](#)

POSTED: 2019-08-16

DEADLINE: 2019-09-16

### GIVING LIMITATIONS

Giving in the U.S., with emphasis on Chicago, IL, and internationally.

No support for artwork conservation.

## Financials

### FOR FISCAL YEAR ENDED 2017-06-30

\$562,241,908

\$6,802,991

Gifts Received: \$585,000

TOTAL ASSETS

TOTAL GIVING

Expenditures: \$20,304,360

Qualifying Distributions: \$14,887,019

Giving Activities include:

\$6,802,991 for grants

## Who's Who

See who can introduce you to someone at Terra Foundation for American Art

### Governing Body (22)

Gerhard Casper Director, Chair  
 Elizabeth Glassman CEO, President  
 Max Berry Director  
 Nora Daley Director  
 Ruth Fine Director  
 Mimi Gardner Gates Director, Vice  
 Chet Gougis Director, Treasu  
 Joseph Gromacki Director  
 Charles Harper Director  
 Peter Krivkovich Director  
 Michael Leja Director  
 Henri Loyrette Director  
 Peter Lunder Director  
 Anne M Munsch CFO, Assistant Secre  
 Donald Ratner Cfo  
 Larry Richman Director  
 Gloria Scoby Dir, Secretary  
 Michael Shapiro Director  
 Marilyn Thoma Director  
 Greg Williamson Director  
 Amy Zinck  
 Amy Zinck Executive VP

### Staff (5)

Carrie Haslett Program Officer  
 Jennifer Siegenthaler Program Officer  
 Catherine Ricciardelli Registrar  
 Veerle Thielemans Head of Int'l A  
 Peter J Brownlee Curator

### Donors (3)

*Note: If a donor is deceased, the symbol (‡) follows the name.*

Lawter Intl.  
 James D. Terra  
 Daniel J. Terra‡

### Terra Foundation for American Art Independent foundation

**EIN** 362999442

**BRIDGE NUMBER** 1456712569

#### PUBLICATIONS

Application guidelines  
 Annual report  
 Grants list

#### LOCATION

120 E Erie Street  
 Chicago, IL United States 60611

#### ADDITIONAL LOCATION INFORMATION

County: Cook  
 Metropolitan area: Chicago-Naperville-Elgin, IL-IN-WI  
 Congressional district: Illinois District 07

#### CONTACT INFORMATION

Telephone: 312-654-2269  
 Fax: (312) 664-2052  
 E-mail: [contact@terraamericanart.org](mailto:contact@terraamericanart.org)  
 URL: [www.terraamericanart.org](http://www.terraamericanart.org)

#### ADDITIONAL CONTACT INFORMATION

Additional e-mail:  
[contact@terraamericanart.org](mailto:contact@terraamericanart.org) and  
[tsr@terraamericanart.org](mailto:tsr@terraamericanart.org)

### MEMBERSHIPS

Affinity Groups



# The Andrew W. Mellon Foundation

New York City, NY, United States | [www.mellon.org](http://www.mellon.org) | (212) 838-8400 | [inquiries@mellon.org](mailto:inquiries@mellon.org)

## Funding Interests

|                           |   |
|---------------------------|---|
| <b>SUBJECTS</b>           | Arts and culture, Arts services, Communication media, Cultural awareness, Education, Environment, Graduate and professional education, Higher education, Historical activities, Humanities, Interdisciplinary studies, Libraries, Museums, Performing arts, Philanthropy, Public affairs, University education, Visual arts |
| <b>GEOGRAPHIC FOCUS</b>   | National, International, South Africa, Massachusetts, New York, Germany, Canada, California, Pennsylvania, United Kingdom, Illinois   |
| <b>POPULATION GROUPS</b>  | Academics   |
| <b>SUPPORT STRATEGIES</b> | Continuing support, Endowments, Fellowships, Grantee relations, Program support, Research   |
| <b>TRANSACTION TYPES</b>  | Matching grants, Program-related investments  |

## About

### Purpose and Activities

The foundation's grantmaking philosophy is to build, strengthen and sustain institutions and their core capacities, rather than be a source for narrowly defined projects. As such, it develops thoughtful, long-term collaborations with grant recipients and invests sufficient funds for an extended period to accomplish the purpose at hand and achieve meaningful results. Institutions and programs receiving support are often leaders in fields of foundation activity, but they may also be promising newcomers, or in a position to demonstrate new ways of overcoming obstacles to achieve program goals. The foundation concentrates most of its grantmaking in a few areas: higher education, art history, conservation, museums, performing arts, scholarly communications and information technology.

### Background

Trust established in 1940 in DE as Avalon Foundation; incorporated in 1954 in NY; merged with Old Dominion Foundation and renamed The Andrew W. Mellon Foundation in 1969 - Founded in the name of the late Andrew W. Mellon (1855-1937) by his children. His daughter Ailsa Mellon Bruce established the Avalon Foundation in 1940 and his son Paul Mellon established The Old Dominion Foundation in 1941. The two foundations consolidated, resulting in The Andrew W. Mellon Foundation. Mellon was a banking magnate who financed several industrial companies including Aluminum Company of America (ALCOA) and Carborundum Company. He served as Secretary of the United States Treasury from 1921 through 1932. During his life, Mellon gave away nearly \$10 million. Much of it went to educational and charitable institutions in his native Pittsburgh, but his most famous gift was the money and the pictures that were used to establish the National Gallery of Art in Washington, DC

### Program Areas (11)

#### Art History, Conservation, and Museums

The program seeks to advance innovative work in the study, preservation, and display of humanity's artefactual and visual heritage by supporting institutions dedicated to that mission. The program makes grants, by invitation, in four primary areas: curatorial initiatives; art conservation; art museums and higher education; and art history research. Grants frequently entail collaborations between institutions that build scholarly and conservation community. Support for museums is concentrated on the curatorial and conservation programs that constitute the museum's intellectual capital, with a focus on positions, training, and research. Most museums that receive support have wide-ranging collections of international caliber. The foundation also supports select conservation graduate programs, and initiatives that bolster the role of science in conservation. Grants in the art museums and higher education category support the integration of campus museums in the academic life of their host institutions, foster collaborations between universities and major museums, and seek to increase diversity in the pipeline of curatorial and conservation professionals. To support networks of scholars and practitioners of art history and conservation, the program makes grants to research institutes that have broad mandates to advance art history and visual studies. These centers receive support for research, publication, and exchanges of international groups of scholars and museum professionals. Select grants support the development of the research base for the study and conservation of contemporary art. The program does not support individuals, capital and building campaigns, K-12 education, loan exhibitions, conservation treatments, or basic digitization.

#### Diversity Initiatives

Grantmaking activities are focused on pipeline programs of various kinds: dissertation writing and completion grants, support for early career faculty, and other efforts to promote graduate student success. These programs are seen in the light of efforts to complement and extend the work of the Mellon Mays Undergraduate Fellowship Program. Grantees in this area include many individual research universities as well as organizations interested in this work, such as the American Indian College Fund, the United Negro College Fund (UNCF), the Organization for Tropical Studies, and The Salzburg Seminar. Direct initial inquiries by email to: Livia Calvet, [lac@mellon.org](mailto:lac@mellon.org).

#### Historically Black Colleges and Universities (HBCU)

The program provides multi-year grants to a small group of private, four-year HBCUs whose mission is to provide an undergraduate liberal arts education. Currently, the foundation works with between 12-15 HBCUs. Related organizations include The Robert W. Woodruff Library, UNCF, and the Southern Education Foundation, Inc. In general, grants awarded in this program are aimed at: 1) institution building--supporting HBCU presidents as they advance specific areas of their strategic plans; 2) faculty development--supporting faculty research and library support and 3) curricular development--assisting institutions as they seek to refresh curricular offerings. Direct initial inquiries by email to: Amy Erwin, [ae@mellon.org](mailto:ae@mellon.org).

#### Liberal Arts Colleges

The program regards the arts, humanities and human sciences as its primary domain of interest. In general, the program's grants focus on faculty development across the professorial life cycle, curricular and pedagogical innovation, undergraduate research, interdisciplinary study, information technology, especially in the digital humanities and blended learning, and institutional renewal. In addition, foundation staff work closely with college presidents and the leaders of national and regional consortia to promote administrative and academic collaboration across the liberal arts college sector and between liberal arts colleges and research universities.

#### **Performing Arts**

The program provides multi-year grants to a small number of leading orchestras, theater companies, opera companies, single choreographer dance companies, and presenters based in the United States. It seeks to support institutions that contribute to the development and preservation of their art form, provide creative leadership in solving problems or addressing issues unique to the field, and which present the highest level of institutional performance. Grants are awarded on the basis of artistic merit and leadership in the field, and concentrate on achieving long-term results. Applications are by invitation only.

#### **Program-Related Investment**

The foundation has made a program-related investment in the form of a loan to support an increase in a program-related investment funding a zero-interest loan pool for a non-profit's performing arts grantees.

#### **Public Affairs**

The foundation makes a limited number of grants reflecting the interests and expertise of foundation staff.

#### **Scholarly Communications and Information Technology**

The program supports the development of an information environment for use in research and teaching in the arts, humanities, and humanistic social sciences. Within scholarly communications, the foundation's grantmaking has three main objectives: (1) to support libraries and archives in their efforts to preserve and provide access to materials of broad cultural and scholarly significance; (2) to assist scholars in the development of specialized resources, including primary sources and reference materials, which promise to open or advance fields of study; and (3) to strengthen the publication of humanistic scholarship and its dissemination to the widest possible audience. Information technology includes the design, development, implementation, and support of computer-based systems for converting, storing, protecting, processing, retrieving, and transmitting information in electronic form. Grantmaking in information technology focuses on the development of computer-based applications and systems that advance the objectives of the five core areas of foundation interest: the liberal arts and humanistic scholarship in higher education, scholarly communications, museums and art conservation, performing arts, and conservation and the environment. Because the foundation is rarely able to respond positively to unsolicited requests, prospective applicants for support in the program are encouraged to explore their ideas informally with program staff in a short e-mail describing their funding needs before submitting formal proposals. Letters of inquiry regarding ideas that fall within the program described above are welcome and reviewed throughout the year. Please direct all inquiries to: Donald J. Waters, email: [djw@mellon.org](mailto:djw@mellon.org), or Helen Cullyer, email: [hc@mellon.org](mailto:hc@mellon.org).

#### **Scholarship Program: Mellon Mays Undergraduate Fellowship Program (MMUF)**

MMUF is a long-term effort to help remedy the problem of underrepresentation in the faculty ranks of higher education. MMUF aims to create a legacy of engaged scholars who will provide opportunities for all students to experience and learn from the perspectives of diverse faculty members. Established in 1988, MMUF works to achieve its mission by identifying and supporting students of great promise and helping them to become scholars of the highest distinction. Average Scholarship: Varies. What Scholarship Covers: Tuition or other educational expenses. Degree Program: Graduate degree; post-graduate research. Geographic Scope of Student: USA. Host Countries: USA. Population Served: Scholars from diverse backgrounds who wish to conduct significant, interdisciplinary research. Program Model: Tradition; project-based. Additional Resources: 1) <https://mellon.org/programs/higher-education-and-scholarship-humanities/fellowships/sawyer-seminars/> 2) <https://mellon.org/resources/news/articles/reforming-doctoral-education-1990-2015-recent-initiatives-and-future-prospects/>.

#### **Scholarship Program: Research Universities and Scholarship in the Humanities**

Enables scholars in the humanities to work on problems that interest them most, at an appropriately advanced level of sophistication. In addition to facilitating the work of individual faculty members, these awards should benefit scholarship in the humanities more generally by encouraging the highest standards in cross-disciplinary research. Average Scholarship: Varies. What Scholarship Covers: Tuition or other educational expenses. Degree Program: Graduate degree; post-graduate research. Geographic Scope of Student: USA. Host Countries: USA. Population Served: Scholars from diverse backgrounds who wish to conduct significant, interdisciplinary research. Program Model: Tradition; project-based. Additional Resources: 1) <https://mellon.org/programs/higher-education-and-scholarship-humanities/fellowships/sawyer-seminars/> 2) <https://mellon.org/resources/news/articles/reforming-doctoral-education-1990-2015-recent-initiatives-and-future-prospects/>.

#### **South Africa**

In the 1990s the foundation's representative in South Africa worked with a relatively broad mandate that allowed for grants reflecting the interests of programs in the arts, information technology, and scholarly communications as well as those in higher education. Since 2005 grants have been focused in the higher-education sector, with a half-dozen major research universities as the principal beneficiaries. A South African wing of the foundation's Mellon Mays Undergraduate Fellowship has been established, and discrete efforts to promote links between North American and South African universities have been supported. Invitations to seek grants that are currently issued to South African universities emphasize the development of humanities fields and related social sciences and commonly seek to enable students from groups and regions that were disadvantaged during the apartheid era to attain advanced degrees and enter the professoriate. Like the foundation's programs in the US, the program in South Africa rarely funds unsolicited proposals and makes grants only to institutions, never to individuals. The program is not able to consider funding initiatives outside of South Africa. Send inquiries to: Stuart J. Saunders (e-mail: [stuartsa@iafrica.com](mailto:stuartsa@iafrica.com)) with a copy to Ekaterina Luehanskaya (e-mail: [eil@mellon.org](mailto:eil@mellon.org)).

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## **Other Funders to Consider**

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

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## **Applications/RFPs**

Please direct inquiries to appropriate program officers. Contact should be by writing or e-mail. Unsolicited applications are accepted but most proposals are by invitation. The program officer will provide instructions after reviewing the initial letter.

**Application form not required.**

**Applicants should submit the following:**

1. Additional materials/documentation
2. Listing of additional sources and amount of support
3. Detailed description of project and amount of funding requested
4. Statement of problem project will address
5. Qualifications of key personnel
6. Results expected from proposed grant
7. What distinguishes project from others in its field
8. Explanation of why grantmaker is considered an appropriate donor for project
9. Name, address and phone number of organization
10. Signature and title of chief executive officer
11. How project will be sustained once grantmaker support is completed
12. Timetable for implementation and evaluation of project
13. Copy of current year's organizational budget and/or project budget
14. Contact person
15. Plans for cooperation with other organizations, if any

**Initial Approach:** Letter

**Copies of proposal:** 1

**Board meeting date(s):** Mar., June, Sept., and Dec.

**Deadline(s):** None

**GIVING LIMITATIONS**

Giving on a national basis with some international giving, primarily focused on South Africa.  
 No support for primarily local organizations.  
 No grants to individuals (including scholarships).

**Financials**

**FOR FISCAL YEAR ENDED 2018-12-31**

|                        |                      |
|------------------------|----------------------|
| <b>\$6,556,456,000</b> | <b>\$316,840,000</b> |
| <b>TOTAL ASSETS</b>    | <b>TOTAL GIVING</b>  |

**Gifts Received:** N/A  
**Expenditures:** \$339,461,000  
**Qualifying Distributions:** \$316,840,000  
**Giving Activities include:**  
 \$316,840,000 for grants  
 \$2,900,000 for foundation-administered programs

**Who's Who**

See who can introduce you to someone at The Andrew W. Mellon Foundation

**Officers and Trustees (18)**

- Kathryn A. Hall** Chair. and Trustee
- Elizabeth Alexander** Pres.
- Mariet Westermann** Exec. V.P., Progs. and Research
- John E. Hull** V.P., Finance and C.I.O.
- Michele S. Warman** V.P., Genl. Counsel and Secy.
- Lewis W. Bernard**
- Richard H. Brodhead**
- Katherine G. Farley**
- Paul LeClerc**
- Glenn D. Lowry**
- Eric M. Mindich**
- Jane L. Mendillo**
- Sarah E. Thomas**
- L. Rafael Reif**
- John E. Hull** V.P., Fin. and C.I.O.
- Michele M. Dinn** Sr. Portfolio Mgr.
- Thelma Golden**
- Joshua Friedman**

**Staff (74)**

- Vanessa Cogan** Grant Info. Sys. Mgr.
- Armando Bengochea** Prog. Off.
- Lee Bynum** Prog. Assoc. and Assoc. Dir., MMUF
- Helen Cullyer** Assoc. Prog. Off.
- Susan Feder** Prog. Off.
- Alison Gilchrest** Prog. Off.
- Donald Waters** Sr. Prog. Off., Scholarly Communications
- Saleem Badat** Prog. Dir., International Higher Educ. and Strategic Proj.
- Karen Brooks Hopkins** Sr. Fellow
- Christie Collins Judd** Sr. Prog. Off., Higher Education and Scholarship in the Humanities
- Eugene Tobin** Sr. Prog. Off.
- Heather Kim** Dir., Institutional Research
- Ella Baff** Sr. Prog. Off., Arts and Cultural Heritage
- Katie Steger** Sr. Prog. Assoc. and Project Mgr., Arts and Cultural Heritage
- Liz Alsina** Prog. Assoc., Arts and Cultural Heritage
- Hannah Durack** Prog. Assoc., Arts and Cultural Heritage
- Holly Harrison** Prog. Assoc., Arts and Cultural Heritage
- Mary Bates** Prog. Assoc., Higher Education and Scholarship in the Humanities
- Susan I. Dady** Prog. Assoc., Higher Education and Scholarship in the Humanities
- Martha Sullivan** Prog. Assoc., Higher Education and Scholarship in the Humanities
- Leah Schulson** Prog. Asst., Higher Education and Scholarship in the Humanities
- Sunita Iqbal** Prog. Asst., Arts and Cultural Heritage

**Connor O'Brien** Prog. Asst., Arts and Cultural Heritage  
**Patricia Hswe** Prog. Off., Scholarly Communications  
**Kristen C. Ratanatharathorn** Sr. Prog. Assoc., Scholarly Communications  
**Tasha Garcia** Prog. Assoc., Scholarly Communications  
**Elizabeth Foley** Prog. Assoc., Diversity  
**Emma Taati** Prog. Assoc., Diversity  
**Chris Jo** Prog. Asst., Diversity  
**Doreen N. Tinajero** Prog. Assoc., International Higher Education and Strategic Projects  
**Sharon Blackwell** Exec. Asst.  
**Emily Waters** Exec. Asst.  
**Alexis Ortiz** Prog. Assoc., Arts and Cultural Heritage  
**Jane Bowers** Prog. Asst., Arts and Cultural Heritage  
**Sophie Fishbein** Prog. Asst., Arts and Cultural Heritage  
**Jennifer Jin** Admin. Asst., Arts and Cultural Heritage  
**Donald J. Waters** Sr. Prog. Off., Scholarly Comms.  
**Molly McGrane-Cleary** Admin. Asst., Scholarly Comms.  
**Armando I. Bengochea** Prog. Off., Diversity  
**Doreen N. Tinajero** Prog. Assoc. and Prog. Mgr., Our Compelling Interests-- International Higher Education and Strategic Projs.  
**Alvin Bradbury** Prog. Assoc., International Higher Education and Strategic Projs.  
**Mirabel Rouze** Admin. Asst., International Higher Education and Strategic Projs.  
**James Shulman** Sr. Fellow  
**Patricia J. Diaz** Assoc. Genl. Counsel  
**Rebecca Feit** Asst. Genl. Counsel  
**Jocelyn Brekken** Grant Acctg. Mgr.  
**Alex Alberti** Grant Mgmt. Assoc.  
**Andrew Fox** Grant Mgmt. Assoc.  
**Inna Livitz** Grant Mgmt. Assoc.  
**Michael Gossett** Database Analyst  
**Laura Washington** Dir., Comms.  
**Rachel Clift** Content Writer and Multimedia Producer, Comms.  
**Timothy Wroten** Comms. Specialist  
**Annette J. Phillips** Dir., Human Resources  
**Itsahn Christie** Human Resources Generalist  
**Kimberly Tredinnick** Human Resources Specialist  
**Douglas Torre** Dir., IT  
**Matthew Thompson** Sr. IT Svc. Engineer  
**Susan Pichler** Librarian  
**Lisa M. Bonifacic** Assoc. Librarian  
**Ellen Nasto** Assoc. Librarian  
**Ronald M. Sheppard** Dir., Facilities  
**David Hughes** Asst. Facilities Mgr.  
**Anthony Parler** Facilities Asst.  
**Marek Szczesny** Office Svcs. Asst.  
**Mark Almozara** Office Svcs. Asst.  
**Kaitlin Quinn** Receptionist/Admin. Asst.  
**Vivienne Ying** Receptionist  
**Karen Inal** Sr. Portfolio Mgr.  
**Monica C. Spencer** Sr. Portfolio Mgr.  
**Abigail Kahn Archibald** Portfolio Mgr.  
**Kevin Graham** Dir., Investment Opers.  
**Dianne S. Harris** Sr. Prog. Off., Higher Education and Scholarship in the Humanities.  
**Emil Kang** Prog. Dir., Arts and Cultural Heritage

## Donors (2)

Note: If a donor is deceased, the symbol (#) follows the name.

Paul Mellon#  
 Ailsa Mellon Bruce#

### The Andrew W. Mellon Foundation Independent foundation

**EIN** 131879954  
**BRIDGE NUMBER** 0825408747

**PUBLICATIONS**  
 Annual report  
 Grants list

**LOCATION**  
 140 E. 62nd St.  
 New York City, NY United States 10065-8124

**ADDITIONAL LOCATION INFORMATION**  
 County: New York  
 Metropolitan area: New York-Newark-Jersey City, NY-NJ-PA

**CONTACT INFORMATION**  
 Contact: Michele S. Warman, V.P., General Counsel and Secy.  
 Telephone: (212) 838-8400  
 Fax: (212) 888-4172  
 E-mail: [inquiries@mellon.org](mailto:inquiries@mellon.org)  
 URL: [www.mellon.org](http://www.mellon.org)

**MEMBERSHIPS**

**Affinity Groups**

Consortium of Foundation Libraries

Grantmakers in the Arts

**Associations and Other Philanthropic Organizations**

Candid

# The Annie E. Casey Foundation

Baltimore, MD, United States | [www.aecf.org](http://www.aecf.org) | 4105476600 | [webmail@aecf.org](mailto:webmail@aecf.org)

## Funding Interests

|                           |   |
|---------------------------|---|
| <b>SUBJECTS</b>           | Antidiscrimination, Child welfare, Courts, Economic development, Education, Elementary and secondary education, Ethnic and racial minority rights, Foundations, Higher education, Human services, Legal services, Nonprofits, Philanthropy, Public affairs, Social rights, Sustainable development, Urban development, Youth organizing |
| <b>GEOGRAPHIC FOCUS</b>   | National, California, Connecticut, Colorado, Rhode Island, Washington, Maryland, Wisconsin, Iowa, Texas, Georgia (U.S. state), Kentucky, Indiana, Puerto Rico, District of Columbia, Virgin Islands of the United States, Haiti, Mexico, New York   |
| <b>POPULATION GROUPS</b>  | Adults, Children, Children and youth, Economically disadvantaged people, Foster and adoptive children, Low-income people, People of African descent   |
| <b>SUPPORT STRATEGIES</b> | Convening, Fellowships, General support, Grantee relations, Leadership and professional development, Participatory grantmaking, Program evaluations, Program support, Publications, Research, System and operational improvements, Technical assistance   |
| <b>TRANSACTION TYPES</b>  | Grants to individuals, Mission-related investments, Pro bono consulting services, Program-related investments   |

## About

### Purpose and Activities

The primary mission of the foundation is to foster public policies, human service reforms, and community supports that more effectively meet the needs of today's vulnerable children and families. In pursuit of this goal, the foundation makes grants that help states, cities, and communities fashion more innovative, cost-effective responses to these needs.

### Background

Incorporated in 1948 in CA - Founded by the late James E. "Jim" Casey, one of the founders of United Parcel Service, and his siblings, George, Harry, and Marguerite, who named the philanthropy in honor of their mother

### Program Areas (8)

#### Child Welfare/Permanence

The foundation grants and partners with public systems on reform efforts, and thereby supports communities in ensuring that all children, regardless of circumstance, achieve the best outcomes possible and have lifelong connections to a caring, nurturing family. Related initiatives include: 1) Child Welfare Strategy Group, which uses an intensive, embedded consulting model, closely collaborating with clients to spark significant, measurable transformations in public child welfare systems; 2) Jim Casey Youth Opportunities Initiative, which promotes efforts to help former foster care kids with education, employment, health care, housing, and more importantly, linking them to supportive, permanent connections with caring adults.

#### Children and Family Fellowship

The fellowship is a 20-month leadership development program for accomplished professionals. It explicitly strives to increase the pool of leaders with the vision and ability to frame and sustain major system reforms and community capacity-building initiatives that benefit large numbers of children and families. The foundation solicits nominations for the fellowship program from a prestigious, national network of organizations and individuals who lead many of the most dynamic systems, institutions, and programs in America today. Once nominated, candidates are invited to complete a fellowship application. The Fellowship Selection Committee, comprised of a diverse team of Casey staff, Fellowship alumni, and partners in the Foundation's work, reviews all applications and recommends candidates for further consideration. For more information see web site or contact Barbara Squires, Dir. of Leadership Development, tel.: (800) 222-1099, extension 2938. .

#### Community Change

The foundation is fully invested in building better futures for millions of disadvantaged children in tough neighborhoods -- areas where poverty is concentrated, crime is common, safety is elusive, and essential services are unreliable. The foundation's two-generation approach seeks to change the future for large numbers of at-risk kids by finding ways to help their parents succeed in the present. Related initiatives are: 1) Social Investments, which uses the foundation's endowment dollars to generate a financial return and support its investment strategies; 2) Responsible Redevelopment, which focuses on people rather than buildings and on making redevelopment work to improve conditions for low-income families and children that are impacted by these activities; 3) Civic Sites, which refers to Atlanta, GA, and Baltimore, MD, where the foundation has close hometown ties and where it anticipates maintaining a significant, sustained philanthropic role for years to come; 4) Families Count, through which the foundation celebrates and supports organizations that improve the odds for vulnerable children by helping them have what they need most—strong, capable and economically successful families.

#### Economic Security

The program's work emphasizes family economic improvement, community-level system reform, and comprehensive neighborhood transformation. Related initiatives are: 1) Faith-Based, which focuses on positioning long-time grantees to continue as leaders in the fields of prisoner re-entry and children with incarcerated parents; 2) Family Economic Success, which helps low-income working families build strong financial futures in strong neighborhoods by integrating three key components: workforce development, family economic supports, and asset building; 3) Income Security, which are investments that advance policies and programs that help low-income families move from poverty to financial stability; 4) Immigrants and Refugees, which helps vulnerable immigrant children and families to succeed in learning English and have access to high quality, low cost social and financial services in their native languages in order to become productive family members and members of their communities; 5) Responsible Fatherhood/Healthy Marriage, which makes investments to promote responsible fatherhood and healthy marriage to provide long-term stability for children; 6) Rural Family Economic Success, which offers strategies to

help rural families increase their income, stabilize their finances, acquire assets, and build wealth; 7) Southwest Border and Indian Families, which supports family economic success to improve the lives of children and families in these communities where child poverty rates are often higher than in the poorest big cities.

#### Education

The program is directed toward supporting high-quality options -- such as charters and small public schools -- for improving opportunities for children and families, particularly those of color living in tough neighborhoods. The program is now making 3rd grade reading achievement an increasingly important element of its place-based work, as well as a national imperative. It supports the Grade-Level Reading Campaign, a collaborative effort by dozens of funders across the nation to: close the gap in reading achievement that separates many low-income students from their peers; raise the bar for reading proficiency so that all students are assessed by world-class standards; and ensure that all children, including and especially children from low-income families, have an equitable opportunity to meet those higher standards. Related initiatives are: 1) Closing the Achievement Gap Series, which is a series of stories, results, and lessons learned from seven years of the Casey Foundation's education investing; 2) Early Childhood and School Readiness, whose vision is that for all families to have high quality, comprehensive, culturally appropriate early childhood services and support—formal and informal—right in their own neighborhoods.

#### Grants to Individuals Program

Fellowships to individuals to work and study at youth and family organizations and agencies.

#### Juvenile Justice

The juvenile justice reform agenda is designed to improve the odds that delinquent youth can make successful transitions to adulthood, primarily by reforming juvenile justice system so that they lock up fewer youth, rely more on proven, family-focused interventions, and create opportunities for positive youth development. Related initiative: 1) Juvenile Detention Alternatives Initiative promotes changes to policies, practices and programs to: reduce reliance on secure confinement; improve public safety; reduce racial disparities and bias; save taxpayers' dollars; and stimulate overall juvenile justice reforms.

#### Program-Related Investment

The foundation's Social Investment Unit employs three investment approaches to direct a portion of foundation assets in support of programmatic activities that improve outcomes for vulnerable children and families: 1) Mission Related Deposits - made in federally insured depository institutions including traditional banks, community development financial institutions, and credit unions, which are structured as insured CDs or share certificates; 2) Program Related Investments - to support charitable purposes aligned with the foundation's mission through below market risk-adjusted loans, loan guarantees, or equity investments; 3) Mission Related Investments - to generate market rates of return and have a programmatic and/or social benefit.

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## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

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## Applications/RFPs

The foundation does not often fund unsolicited grant applications. The foundation's approach to grant making focuses on making multi-year, multi-site commitments that enable them to invest in long-term strategies and partnerships that strengthen families and communities. Most grantees are by invitation  
**Application form not required.**

**Applicants should submit the following:**

1. Brief history of organization and description of its mission
2. Population served
3. Results expected from proposed grant
4. Detailed description of project and amount of funding requested

**Initial Approach:** Letter (no more than 3 pages)

**Board meeting date(s):** 5 times annually

**Deadline(s):** None

**Final notification:** Approximately 30 days after receiving letter

### GIVING LIMITATIONS

Giving on a national basis, with emphasis on the ten sites that consist of the Making Connections initiative, as well as the foundation civic sites in Baltimore, MD, New Haven, CT, and Atlanta, GA.

No support for political committees -529s (PACs).

No grants to individuals (except for Casey Children and Family Fellowship Program), or for capital projects or medical research.

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## Financials

### FOR FISCAL YEAR ENDED 2016-12-31

\$2,646,360,009

\$95,746,443

Gifts Received: \$4,376,595

TOTAL ASSETS

TOTAL GIVING

Expenditures: \$180,968,122

Qualifying Distributions: \$170,732,851

**Giving Activities include:**

\$95,746,443 for grants

\$12,142,067 for foundation-administered programs

\$5,278,208 for loans/program-related investments

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## Who's Who

See who can introduce you to someone at The Annie E. Casey Foundation

### Officers and Trustees (32)

**Michael L. Eskew** Chair. and Trustee  
**Lisa M. Hamilton** C.E.O. and Pres.  
**Stefan Strein** V.P. and C.I.O.  
**Ryan Chao** V.P., Civic Sites and Community Change  
**Bob Giloth** V.P., Ctr. for Community and Economic Opportunity  
**Donna Stark** V.P., Talent Mgmt. and Leadership Devel.  
**Teresa Markowitz** V.P., Center for Systems Innovation  
**Debra Joy Perez** V.P., Research, Evaluation and Learning  
**Maurice Agresta**  
**John Kim** C.A.O.  
**Sam Zamarripa**  
**Ralph Smith** Sr. V.P.  
**Kenneth M. Jones, II** V.P. and C.F.O.  
**Diana M. Bonta**  
**Robert J. Clannin**  
**D. Scott Davis**  
**John Engler**  
**Joseph R. Moderow**  
**Gabriella E. Morris**  
**Teri Plummer McClure**  
**Lea N. Soupata**  
**Arnold Wellman**  
**Jim Winestock**  
**David P. Abney**  
**John McDevitt**  
**David G. Nichols, MD**  
**Lea N. Soupata**  
**Cynthia Guy** V.P., Research, Evaluation, Evidence, and Data  
**May Ng** V.P. and C.I.O.  
**Leslie Boissiere** V.P., External Affairs  
**Jack Markell**  
**Thomasina Hiers** V.P., Center for Civic Sites and Community Change

### Staff (35)

**Suzanne Barnard** Dir., Evidence-Based Practice Group  
**Bill Buckner** Dir., Capacity Devel.  
**Dennis Campa** Assoc. Dir., Policy Reform and Advocacy  
**Sophie Dagenais** Dir., Baltimore Civic Site  
**Henry Dennig** Dir., Information and Comms. Technology  
**Satonya C. Fair** Dir., Grants Mgmt.  
**Tracey Feild** Dir. and Mgr., Child Welfare Strategy Group  
**Bonnie Howard** Dir., National Partnerships  
**Tracy Kartye** Dir., Social Investments  
**Michael Laracy** Dir., Policy Reform and Advocacy  
**Karen Miller** Dir., Finance  
**Miriam Shark** Assoc. Dir., Philanthropic Partnerships and Strengthening Rural Families  
**Georgianna Skarlatos** Dir., Facilities and Operations  
**Laura Speer** Assoc. Dir., Policy Reform and Advocacy  
**Scot Spencer** Assoc. Dir., Community Change Influence  
**Barbara Squires** Dir., Leadership Development  
**Norris West** Dir., Strategic Communications  
**Kent Berkley** Sr. Assoc. Dir., Jim Casey Youth Opportunities Initiative  
**Nate Balis** Dir., Juvenile Justice Strategy Group  
**Leonard Burton** Chief Operating Off., Jim Casey Youth Opportunities Initiative  
**Patrice Cromwell** Dir., Strategic Initiatives  
**Robert Geen** Dir., Policy Reform and Advocacy  
**Cindy Guy** Dir., Research and Evaluation  
**Irene Lee** Dir., Family Economic Success  
**Gary Stangler** Exec. Dir., Jim Casey Youth Opportunities Initiative  
**Rodney Brittingham** Assoc. Dir., Child Welfare Strategy Group  
**Kimberley Brown** Dir., Human Resources and Talent Strategies  
**Kweku Forstall** Dir., Atlanta Civic Site  
**Sandra Gasca-Gonzalez** Dir., Jim Casey Youth Opportunities Initiative  
**Thomasina Hiera** Dir., Baltimore Civic Site  
**Amoretta Morris** Dir., National Community Strategies  
**Kimberly Spring** Dir., Research and Evaluation  
**Nonet Sykes** Dir., Equity and Inclusion  
**John Talieri** Dir., Business Technology  
**Katie Tetrault** Dir., Finance

### Donors (2)

*Note: If a donor is deceased, the symbol (†) follows the name.*

Annie E. Casey†  
James E. "Jim" Casey†

### The Annie E. Casey Foundation Independent foundation

**EIN** 521951681

**BRIDGE NUMBER** 8279441143

#### PUBLICATIONS

Informational brochure  
Newsletter  
Occasional report  
Financial statement

#### LOCATION

701 St Paul Street  
Baltimore, MD United States 212022311

#### ADDITIONAL LOCATION INFORMATION

County: Baltimore  
Metropolitan area: Baltimore-Columbia-Towson, MD  
Congressional district: Maryland District 07

#### CONTACT INFORMATION

Contact: Satonya C. Fair, Dir., Grants Mgmt.  
Telephone: 4105476600  
Fax: (410) 547-6624  
E-mail: [webmail@aecf.org](mailto:webmail@aecf.org)  
URL: [www.aecf.org](http://www.aecf.org)

#### ADDITIONAL CONTACT INFORMATION

E-mail for S.C. Fair: [sfair@aecf.org](mailto:sfair@aecf.org)

#### MEMBERSHIPS

##### Regional Associations of Grantmakers

Association of Baltimore Area Grantmakers  
Southeastern Council of Foundations

Philanthropy Northwest

#### Affinity Groups

|   |  |
|---|--|
| Asian Americans/Pacific Islanders in Philanthropy, Inc.       | Grantmakers Concerned with Immigrants and Refugees |
| Association of Black Foundation Executives, Inc.              | Grantmakers for Children, Youth and Families       |
| Center for Nonprofit Excellence                               | Grantmakers for Education                          |
| Consortium of Foundation Libraries                            | Grantmakers for Effective Organizations            |
| Early Childhood Funders Collaborative                         | Grantmakers in Health                              |
| Emerging Practitioners in Philanthropy                        | Grassroots Grantmakers                             |
| Exponent Philanthropy   | Hispanics in Philanthropy                          |
| Funders Network of Pop Rep H and R                            | Mission Investors Exchange                         |
| National Center For Family Philanthropy Inc                   |  |
| National Committee for Responsive Philanthropy                |  |
| Native Americans in Philanthropy                              |  |
| Neighborhood Funders Group                                    |  |
| Social Venture Network Inc.                                   |  |
| The Funders' Network for Smart Growth and Livable Communities |  |
| Women's Funding Network                                       |  |
| Youth Transition Funders Group                                |  |

**Associations and Other Philanthropic Organizations**

|                                    |                               |
|------------------------------------|-------------------------------|
| Border Philanthropy Partnership    | Greater Baltimore Committee   |
| Funders Network of Pop Rep H and R | Hispanics in Philanthropy     |
| Grassroots Grantmakers             | Maine Philanthropy Center^The |
| Maryland Nonprofits                |                               |
| Women & Philanthropy               |                               |
| Youth Transition Funders Group     |                               |

# The Crowell Trust

Colorado Springs, CO, United States | [www.crowelltrust.org](http://www.crowelltrust.org) | (312) 630-6000 | [info@crowelltrust.org](mailto:info@crowelltrust.org)

## Funding Interests

|                           |  |
|---------------------------|--|
| <b>SUBJECTS</b>           | Christianity, Communication media, Elementary and secondary education, Family services, Graduate and professional education, Higher education, Human services, Individual liberties, International development, Libraries, Religion, Special population support, Theology, Youth development |
| <b>GEOGRAPHIC FOCUS</b>   | National, California, Colorado, India, China, Russia, Israel, Afghanistan, Illinois, Texas   |
| <b>POPULATION GROUPS</b>  | Academics, Children and youth, Christians, Economically disadvantaged people, Low-income people  |
| <b>SUPPORT STRATEGIES</b> | Curriculum development, Equipment, General support, Leadership and professional development, Program support, Publications, Scholarships, Technical assistance   |

## About

### Purpose and Activities

Created to aid evangelical Christianity by support to organizations having for their purposes its teaching, advancement, and active extension at home and abroad.

### Background

Trust established in 1927 in IL - Founded by the late Henry P. Crowell, founder and former chairman of the board of Quaker Oats Co. and the Henry P. Crowell Benevolence and Education Trust. A resident of Winnetka, IL and Augusta, GA, Crowell served as president of the board of Moody Bible Institute from 1902 until his death in 1944. The founder's son, the late Henry Coleman Crowell, was a trustee and an executive vice-president of Moody Bible Institute and was president of Missionary Equipment Service in Chicago.

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

## Applications/RFPs

Application form required.

Initial Approach: Use online application system on foundation web site

Board meeting date(s): Spring and fall

Deadline(s): See foundation web site for current deadlines

Final notification: 1 to 2 months

### GIVING LIMITATIONS

Giving on a national basis.

No support for churches or schooling from kindergarten through 12th grade.

No grants to individuals, or for endowment funds; no loans.

## Financials

### FOR FISCAL YEAR ENDED 2017-12-31

|                     |                     |                                       |
|---------------------|---------------------|---------------------------------------|
| \$110,458,478       | \$4,640,350         | Gifts Received: \$5,000               |
| <b>TOTAL ASSETS</b> | <b>TOTAL GIVING</b> | Expenditures: \$6,431,969             |
|                     |                     | Qualifying Distributions: \$5,281,852 |
|                     |                     | Giving Activities include:            |
|                     |                     | \$4,640,350 for grants                |

## Who's Who

See who can introduce you to someone at The Crowell Trust

### Officers (8)

Paul Borthwick Vice Chairman  
Jane Overstreet Chairperson  
The Northern Trust Company Trustee  
John T. Bass Trustee  
John Robinson Secretary

### Donors (1)

Note: If a donor is deceased, the symbol (#) follows the name.  
Pam Bosch

John Lewis Treasurer  
Candace Sparks Executive Director  
Thomas Lin Trustee

**The Crowell Trust**

Independent foundation

**EIN** 366038028

**BRIDGE NUMBER** 3382037134

**PUBLICATIONS**

Application guidelines

**LOCATION**

102 N. Cascade Ave., Ste. 300  
Colorado Springs, CO United States 80903-1418

**ADDITIONAL LOCATION INFORMATION**

County: El Paso  
Metropolitan area: Colorado Springs, CO  
Congressional district: Colorado District 05

**CONTACT INFORMATION**

Telephone: (312) 630-6000

Fax: (719) 418-2695

E-mail: [info@crowelltrust.org](mailto:info@crowelltrust.org)

URL: [www.crowelltrust.org](http://www.crowelltrust.org)

# The G. Harold & Leila Y. Mathers Charitable Foundation

Rye Brook, NY, United States | [mathersfoundation.org](http://mathersfoundation.org) | 9142420465 | [admin@mathersfoundation.org](mailto:admin@mathersfoundation.org)

## Funding Interests

|                           |  |
|---------------------------|--|
| <b>SUBJECTS</b>           | Anthropology, Arts and culture, Basic and emergency aid, Biology, Diseases and conditions, Graduate and professional education, Higher education, Historical activities, In-patient medical care, Medical specialties, Nursing care, Science |
| <b>GEOGRAPHIC FOCUS</b>   | National, Texas, Pennsylvania, France, California, Massachusetts, Connecticut, New York  |
| <b>POPULATION GROUPS</b>  | Academics, Researchers   |
| <b>SUPPORT STRATEGIES</b> | General support, Program support, Research, Research and evaluation  |

## About

### Purpose and Activities

The foundation is primarily interested in supporting fundamental basic research in the life sciences. Support is provided for specific projects from established researchers at top universities and independent research institutions within the United States.

### Background

Established in 1975 in NY

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

## Applications/RFPs

General inquiries can be made via e-mail. Specific detailed queries must be received by mail

**Application form not required.**

**Applicants should submit the following:**

1. Listing of additional sources and amount of support
2. Copy of current year's organizational budget and/or project budget
3. Qualifications of key personnel
4. Detailed description of project and amount of funding requested

**Initial Approach:** Letter

**Copies of proposal:** 1

**Board meeting date(s):** 2 or 3 times per year

**Deadline(s):** None

**Final notification:** Within 90 days of submission of request

### GIVING LIMITATIONS

Giving on a national basis.

No grants to individuals.

## Financials

FOR FISCAL YEAR ENDED 2017-12-31

\$285,461,776

\$11,700,646

Gifts Received: N/A

TOTAL ASSETS

TOTAL GIVING

Expenditures: \$14,970,441

Qualifying Distributions: \$12,645,870

Giving Activities include:

\$11,700,646 for grants

## Who's Who

See who can introduce you to someone at The G. Harold & Leila Y. Mathers Charitable Foundation

### Governing Body (7)

Donald E Handelman Pres, Dir

### Staff (3)

Howard A Chester, MD Executive Di

William Handelman Vp, Asst Tr, D  
Joseph Handelman Asst Secreta  
John Young Secretary, Di  
William S Miller Director  
Richard Handelman Treas, Direct  
David Boyle Director

Beverly Cheiken Associate Fd  
James Handelman Executive Di

**Donors (2)**

*Note: If a donor is deceased, the symbol (‡) follows the name.*

G. Harold Mathers‡  
Leila Y. Mathers‡

**The G. Harold & Leila Y. Mathers Charitable Foundation** Independent foundation

**EIN** 237441901

**BRIDGE NUMBER** 8076663079

**PUBLICATIONS**

Application guidelines

**LOCATION**

800 Westchester Ave., Ste. N-503  
Rye Brook, NY United States 10573

**ADDITIONAL LOCATION INFORMATION**

County: Westchester  
Metropolitan area: New York-Newark-Jersey  
City, NY-NJ-PA  
Congressional district: New York District 17

**CONTACT INFORMATION**

Telephone: 9142420465

Fax: (914) 242-0665

E-mail: [admin@mathersfoundation.org](mailto:admin@mathersfoundation.org)

URL: [mathersfoundation.org](http://mathersfoundation.org)

**ADDITIONAL CONTACT INFORMATION**

Additional e-mail (for James H. Handelman):  
[jh@mathersfoundation.org](mailto:jh@mathersfoundation.org)

# Jack DeLoss Taylor Charitable Trust

Madison, WI, United States | (608) 827-6400

## Funding Interests

|                           |  |
|---------------------------|--|
| <b>SUBJECTS</b>           | Basic and emergency aid, Biodiversity, Christianity, Community recreation, Education services, Health, Higher education, Human services, International development, International relations, Nonprofits, Shelter and residential care, Special population support, Youth development |
| <b>GEOGRAPHIC FOCUS</b>   | International, Developing Countries, District of Columbia, Nepal, Mississippi, Haiti, Ethiopia, Romania, Nicaragua, Wisconsin, United Kingdom, Missouri, California  |
| <b>POPULATION GROUPS</b>  | Children and youth, Economically disadvantaged people, Low-income people   |
| <b>SUPPORT STRATEGIES</b> | Capital and infrastructure, Emergency funds, Equipment, Program support  |
| <b>TRANSACTION TYPES</b>  | Matching grants  |

## About

### Purpose and Activities

Giving primarily to organizations which provide financial assistance to needy people throughout the world, with preference given to children of underdeveloped countries whose needs are the most fundamental, such as food and medical care.

### Background

Established in 1989 in WI

## Other Funders to Consider

Based on similar patterns of giving (subject area, geographic area served and grant amounts)  
No similar funders found at this time.

## Applications/RFPs

Application form required.

Applicants should submit the following:

1. Copy of IRS Determination Letter
2. Detailed description of project and amount of funding requested

Initial Approach: Letter

Copies of proposal: 1

Board meeting date(s): Early May

Deadline(s): Jan. 31

Final notification: June 30

### GIVING LIMITATIONS

Giving in the U.S., and in underdeveloped and developing countries.

No grants to individuals.

## Financials

FOR FISCAL YEAR ENDED 2018-06-30

|                     |                     |  |
|---------------------|---------------------|--|
| \$4,133,130         | \$524,500           | Gifts Received: N/A                                |
| <b>TOTAL ASSETS</b> | <b>TOTAL GIVING</b> | Expenditures: \$564,299                            |
|                     |                     | Qualifying Distributions: \$541,365                |
|                     |                     | Giving Activities include:<br>\$524,500 for grants |

## Who's Who

See who can introduce you to someone at Jack DeLoss Taylor Charitable Trust

### Officers (3)

Christopher Bugg TRUSTEE

Philip Foster TRUSTEE  
Dr. Catherine H. Taylor TRUSTEE

**Jack DeLoss Taylor Charitable Trust** Family foundation

**EIN** 396510710

**BRIDGE NUMBER** 3490574318

**LOCATION**

701 Deming Way, Ste. 100  
Madison, WI United States 53717-2916

**ADDITIONAL LOCATION INFORMATION**

County: Dane  
Metropolitan area: Madison, WI  
Congressional district: Wisconsin District 02

**CONTACT INFORMATION**

Telephone: (608) 827-6400  
Fax: (608) 827-6407